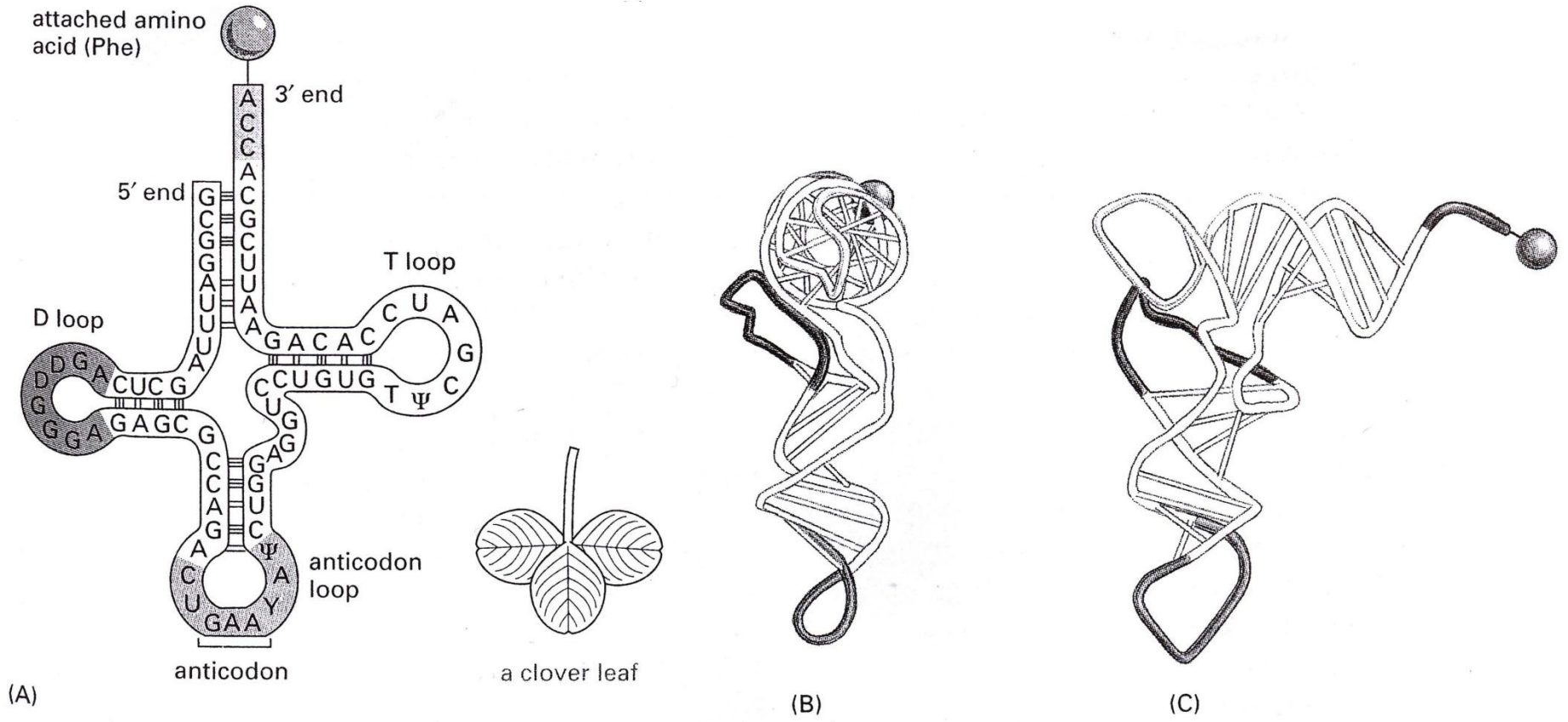


# 6.5 RNA Secondary Structure

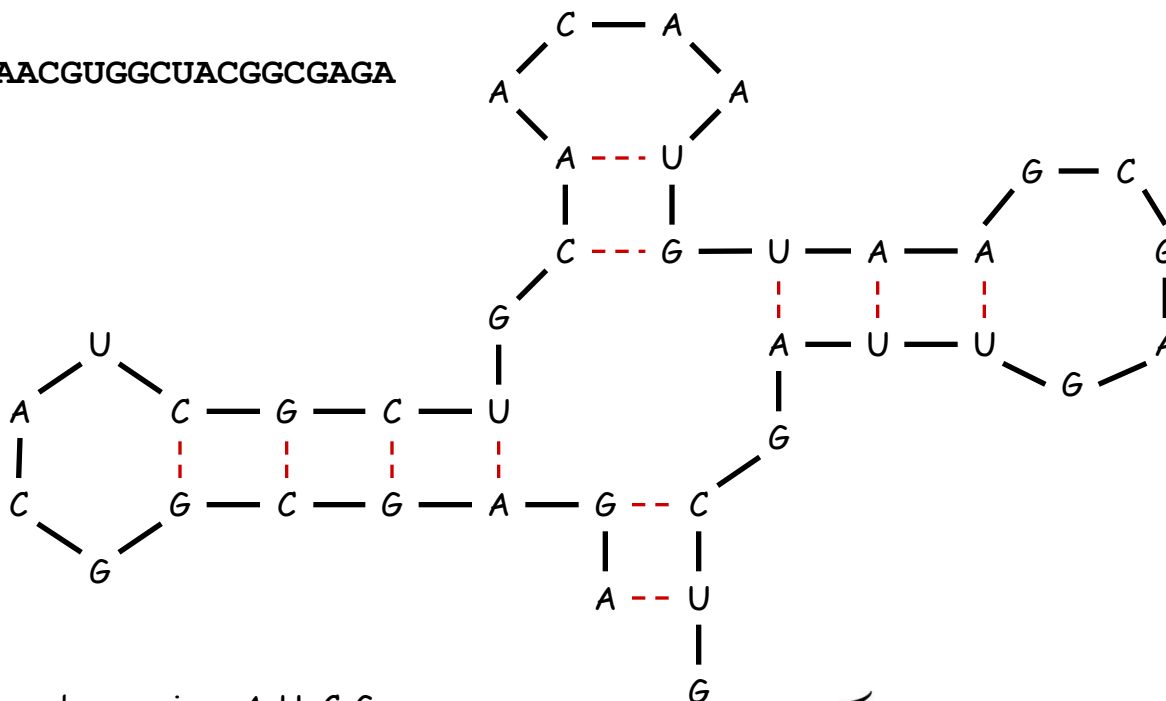


# RNA Secondary Structure

RNA. String  $B = b_1b_2\dots b_n$  over alphabet  $\{ A, C, G, U \}$ .

Secondary structure. RNA is single-stranded so it tends to loop back and form base pairs with itself. This structure is essential for understanding behavior of molecule.

Ex: GUCGAUUGAGCGAAUGUAACAACGUGGCUACGGCGAGA



complementary base pairs: A-U, C-G

# RNA Secondary Structure

**Secondary structure.** A set of pairs  $S = \{ (b_i, b_j) \}$  that satisfy:

- [Watson-Crick.]  $S$  is a matching and each pair in  $S$  is a **Watson-Crick complement**: A-U, U-A, C-G, or G-C.
- [No sharp turns.] The ends of each pair are separated by **at least 4** intervening bases. If  $(b_i, b_j) \in S$ , then  $i < j - 4$ .
- [**Non-crossing.**] If  $(b_i, b_j)$  and  $(b_k, b_l)$  are two pairs in  $S$ , then we cannot have  $i < k < j < l$ .

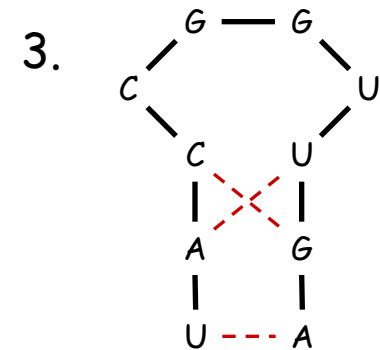
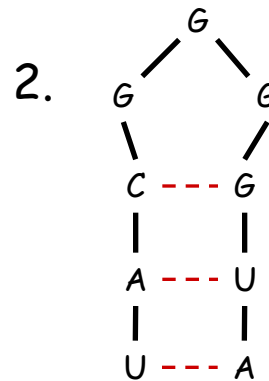
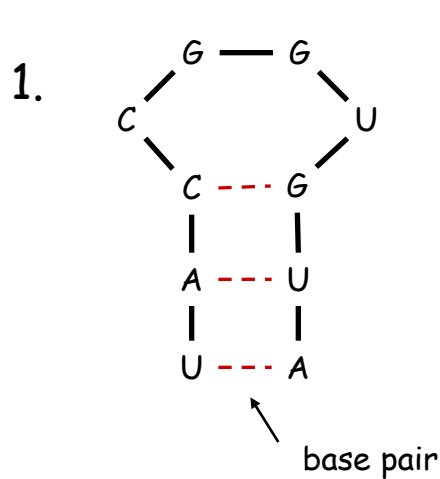
**Free energy.** Usual hypothesis is that an RNA molecule will form the secondary structure with the optimum total free energy.

↑  
approximate by number of base pairs

**Goal.** Given an RNA molecule  $B = b_1 b_2 \dots b_n$ , find a secondary structure  $S$  that maximizes the number of base pairs.

# RNA Secondary Structure: Examples

Q. Are the following structures OK and why (not)?



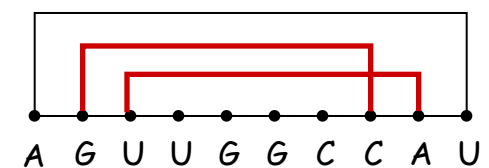
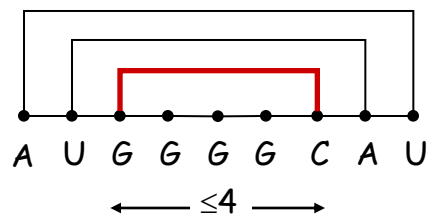
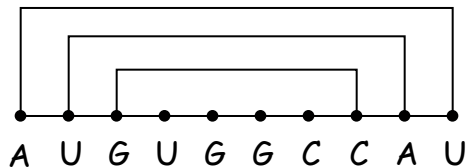
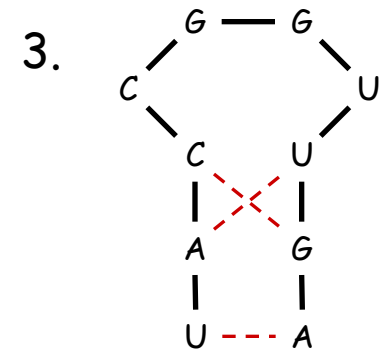
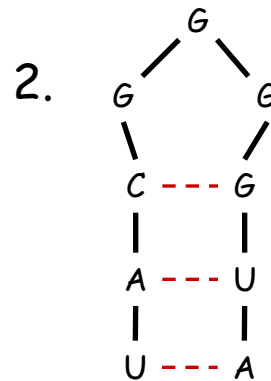
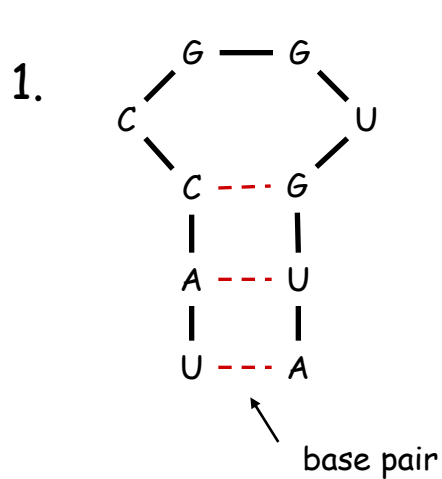
A U G U G G C C A U

A U G G G G C A U

A G U U G G C C A U

# RNA Secondary Structure: Examples

Q. Are the following structures OK and why (not)?



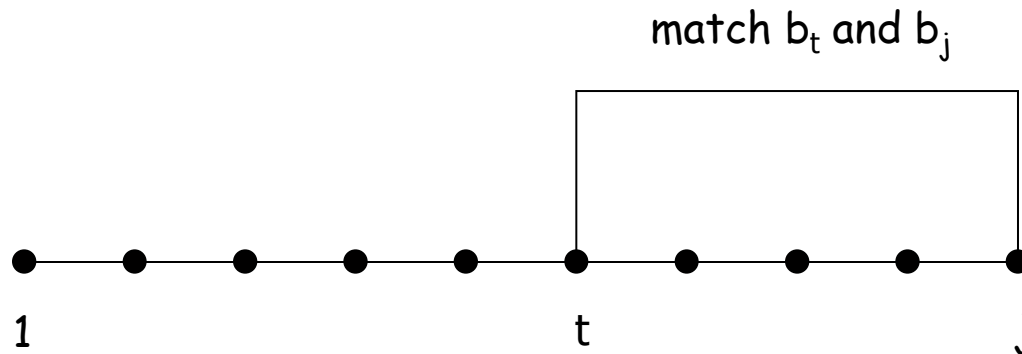
ok

sharp turn

crossing

## RNA Secondary Structure: Subproblems

First attempt.  $\text{OPT}(j)$  = maximum number of base pairs in a secondary structure of the substring  $b_1b_2\dots b_j$ .



Q. What are our sub-problems?

- Finding secondary structure in:  $b_1b_2\dots b_{t-1}$ . ←  $\text{OPT}(t-1)$
- Finding secondary structure in:  $b_{t+1}b_{t+2}\dots b_{j-1}$ . ← other type of sub-problem

So just a formula for  $\text{OPT}(j)$  is not enough!

Q. Which parameters do you need to express *any* sub-problem?

Q. And how to express the maximum number of pairs in terms of these sub-problems? (1 min)

# Dynamic Programming Over Intervals

**Notation.**  $\text{OPT}(i, j)$  = maximum number of base pairs in a secondary structure of the substring  $b_i b_{i+1} \dots b_j$ .

Q. What cases can we distinguish?

# Dynamic Programming Over Intervals

**Notation.**  $\text{OPT}(i, j)$  = maximum number of base pairs in a secondary structure of the substring  $b_i b_{i+1} \dots b_j$ .

**Q.** What cases can we distinguish?

**A.**

1.  $j$  cannot be involved in a pair, because  $i$  and  $j$  are too close
2. we choose to not pair  $j$
3. we choose to pair  $j$  with another base  $t$  (which is its Watson-Crick complement and is more than 4 bases away)



# Dynamic Programming Over Intervals

Recursively define value of optimal solution:

**Notation.**  $\text{OPT}(i, j)$  = maximum number of base pairs in a secondary structure of the substring  $b_i b_{i+1} \dots b_j$ .

•Case 1. If  $i \geq j - 4$  (i and j too close)

Q. How many base pairs are possible in this case?

•Case 2. We choose to let base  $b_j$  **not be involved** in a pair.

•Case 3. We choose to let base  $b_j$  **pair** with  $b_t$  for some  $i \leq t < j - 4$ .

# Dynamic Programming Over Intervals

Recursively define value of optimal solution:

**Notation.**  $\text{OPT}(i, j)$  = maximum number of base pairs in a secondary structure of the substring  $b_i b_{i+1} \dots b_j$ .

- Case 1. If  $i \geq j - 4$  (i and j too close)
  - $\text{OPT}(i, j) = 0$  by no-sharp turns condition.
- Case 2. We choose to let base  $b_j$  **not be involved** in a pair.
  - Q. How many base pairs are possible in this case?
- Case 3. We choose to let base  $b_j$  **pair** with  $b_t$  for some  $i \leq t < j - 4$ .

# Dynamic Programming Over Intervals

Recursively define value of optimal solution:

**Notation.**  $\text{OPT}(i, j)$  = maximum number of base pairs in a secondary structure of the substring  $b_i b_{i+1} \dots b_j$ .

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  - $\text{OPT}(i, j) = \text{OPT}(i, j-1)$
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- Case 3. We choose to let base  $b_j$  **pair** with  $b_t$  for some  $i \leq t < j - 4$ .
  - non-crossing constraint decouples resulting sub-problems
  - $\text{OPT}(i, j) = 1 + \max_t \{ \text{OPT}(i, t-1) + \text{OPT}(t+1, j-1) \}$

↑  
take max over  $t$  such that  $i \leq t < j-4$  and  
 $b_t$  and  $b_j$  are Watson-Crick complements

**Remark.** Same core idea in CKY algorithm to parse context-free grammars.

# Dynamic Programming Over Intervals

Recursively define value of optimal solution:

Notation.  $OPT(i, j)$  = maximum number of base pairs in a secondary structure of the substring  $b_i b_{i+1} \dots b_j$ .

- Case 1. If  $i \geq j - 4$  (i and j too close)
  - $OPT(i, j) = 0$  by no-sharp turns condition.
- Case 2. We choose to let base  $b_j$  not be involved in a pair.
  - $OPT(i, j) = OPT(i, j-1)$
- Case 3. We choose to let base  $b_j$  pair with  $b_t$  for some  $i \leq t < j - 4$ .
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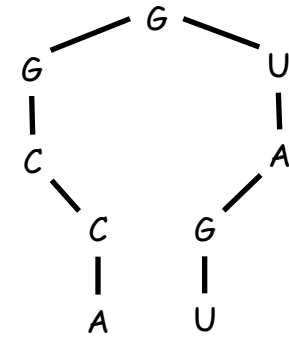
$\uparrow$   
 take max over  $t$  such that  $i \leq t < j-4$  and  
 $b_t$  and  $b_j$  are Watson-Crick complements

$$OPT(i, j) = \begin{cases} 0 & \text{if } i \geq j - 4 \\ \max \left\{ OPT(i, j-1), 1 + \max_{\substack{i \leq t < j-4 \\ \text{such that } b_t, b_j \text{ is a pair}}} \{ OPT(i, t-1) + OPT(t+1, j-1) \} \right\} & \text{otherwise} \end{cases}$$

# Bottom Up Dynamic Programming Over Intervals

Q. In what order to solve the sub-problems? (1 min)

A.



j

$$OPT(i, j) = \begin{cases} 0 & \text{if } i \geq j - 4 \\ \max \left\{ OPT(i, j-1), 1 + \max_{i \leq t < j-4 \text{ such that } b_t : b_j \text{ is a pair}} \{ OPT(i, t-1) + OPT(t+1, j-1) \} \right\} & \text{otherwise} \end{cases}$$

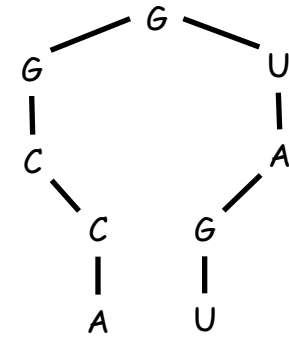
# Bottom Up Dynamic Programming Over Intervals

Q. What order to solve the sub-problems? (1 min)

A.  $OPT(i,j)$  requires

- $OPT(i,i)$  until  $OPT(i,j-1)$
- $OPT(i+1,j-1)$  until  $OPT(j-3,j-1)$

Compute value of optimal solution iteratively.



$$OPT(i, j) = \begin{cases} 0 & \text{if } i \geq j - 4 \\ \max \left\{ OPT(i, j-1), 1 + \max_{i \leq t < j-4 \text{ such that } b_t : b_j \text{ is a pair}} \{ OPT(i, t-1) + OPT(t+1, j-1) \} \right\} & \text{otherwise} \end{cases}$$

# Bottom Up Dynamic Programming Over Intervals

Q. What order to solve the sub-problems? (1 min)

A.  $OPT(i,j)$  requires

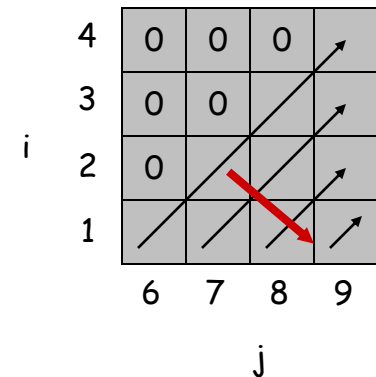
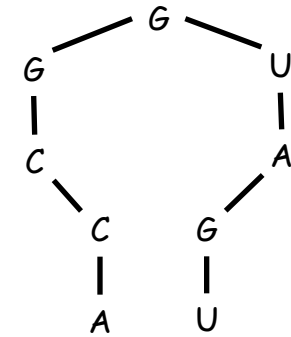
- $OPT(i,i)$  until  $OPT(i,j-1)$
- $OPT(i+1,j-1)$  until  $OPT(j-3,j-1)$

Compute value of optimal solution iteratively.

```

RNA( $b_1, \dots, b_n$ ) {
  for  $k = 5, 6, \dots, n-1$ 
    for  $i = 1, 2, \dots, n-k$ 
       $j = i + k$ 
      Compute  $M[i, j]$ 
  return  $M[1, n]$ 
}
    
```

↖ using recurrence



Q. What is the running time?

A.

$$OPT(i, j) = \begin{cases} 0 & \text{if } i \geq j - 4 \\ \max \left\{ OPT(i, j-1), 1 + \max_{i \leq t < j-4 \text{ such that } b_t : b_j \text{ is a pair}} \{ OPT(i, t-1) + OPT(t+1, j-1) \} \right\} & \text{otherwise} \end{cases}$$



# Bottom Up Dynamic Programming Over Intervals

Q. What order to solve the sub-problems? (1 min)

A.  $OPT(i,j)$  requires

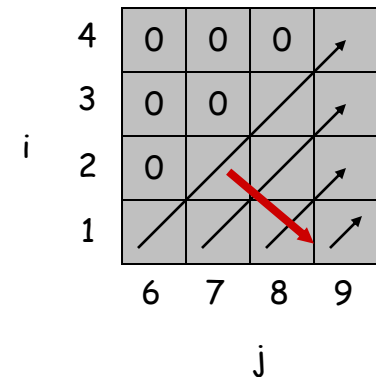
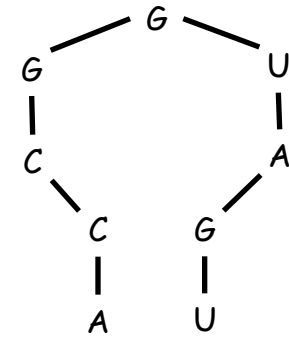
- $OPT(i,i)$  until  $OPT(i,j-1)$
- $OPT(i+1,j-1)$  until  $OPT(j-3,j-1)$

Compute value of optimal solution iteratively.

```

RNA (b1, ..., bn) {
  for k = 5, 6, ..., n-1
    for i = 1, 2, ..., n-k
      j = i + k
      Compute M[i, j]
  return M[1, n]
}
    
```

using recurrence



Q. What is the running time?

A.  $O(n^3)$ .

$$OPT(i, j) = \begin{cases} 0 & \text{if } i \geq j - 4 \\ \max \left\{ OPT(i, j-1), 1 + \max_{i \leq t < j-4 \text{ such that } b_t : b_j \text{ is a pair}} \{ OPT(i, t-1) + OPT(t+1, j-1) \} \right\} & \text{otherwise} \end{cases}$$

# Dynamic Programming Over Intervals: Finding a Solution

Construct optimal solution from computed information.

```
Run RNA()
Run Find-Solution(1,n)

Find-Solution(i,j) {
  if (i = 0 or w = 0)
    output nothing
  else if ( M[i,w] = M[i-1, w] )
    Find-Solution(i-1,w)
  else
    print i
    Find-Solution(i-1,w-wi)
}
```

# Dynamic Programming Summary

## Recipe.

1. Characterize structure of problem.
2. Recursively define value of optimal solution.
3. Compute value of optimal solution.
4. Construct optimal solution from computed information.

## Dynamic programming techniques.

- Binary choice: weighted interval scheduling.
- Multi-way choice: segmented least squares.
- Adding a new variable: knapsack.
- Dynamic programming over intervals (more subproblems): RNA secondary structure.

Top-down vs. bottom-up: different people have different intuitions.