

Spatial Tools in Water Resource Management

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3. Watersheds and ArcGIS



Acknowledgements

- ESRI:
(<http://webhelp.esri.com/arcgisdesktop/9.2>)
- HydroSHEDS
- Prof. Nick van de Giesen

Learning objectives

By the end of this lecture, you will be able to:

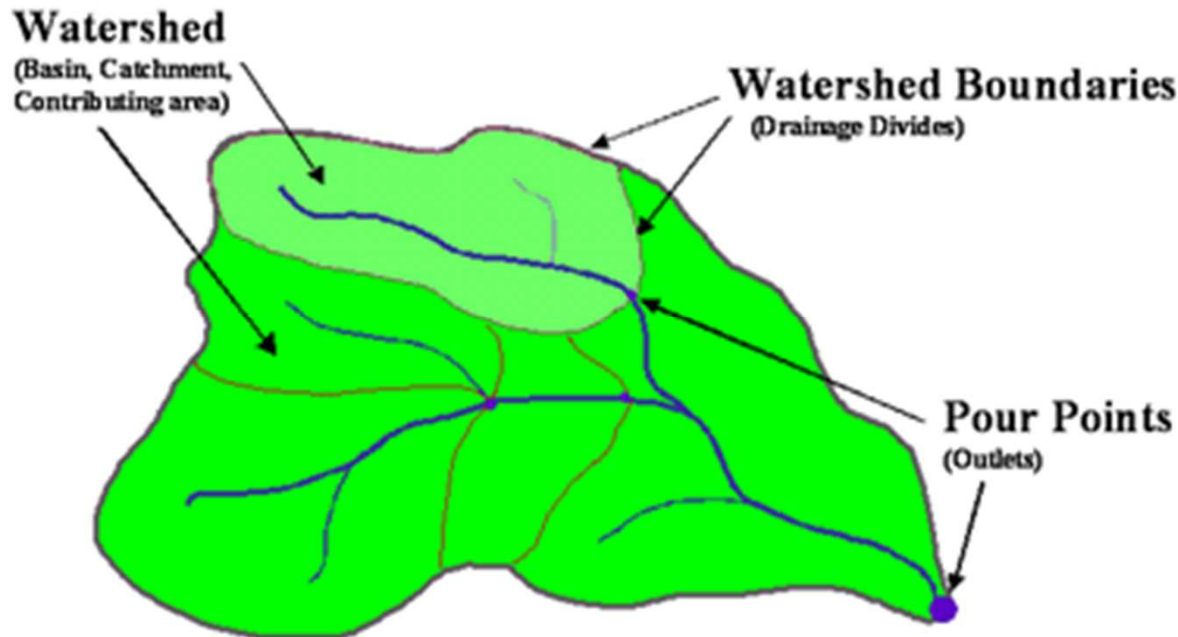
- 1) Explain what a watershed is
- 2) Explain how graph theory can be used to determine the watershed
- 3) Use ArcGIS to calculate the watershed from a digital elevation model.

Lecture 3: Watersheds and ArcGIS

- What is a watershed?
- Introduction to Graph Theory
- Determining watershed boundaries in ArcGIS
- HydroSHEDS

What is a watershed?

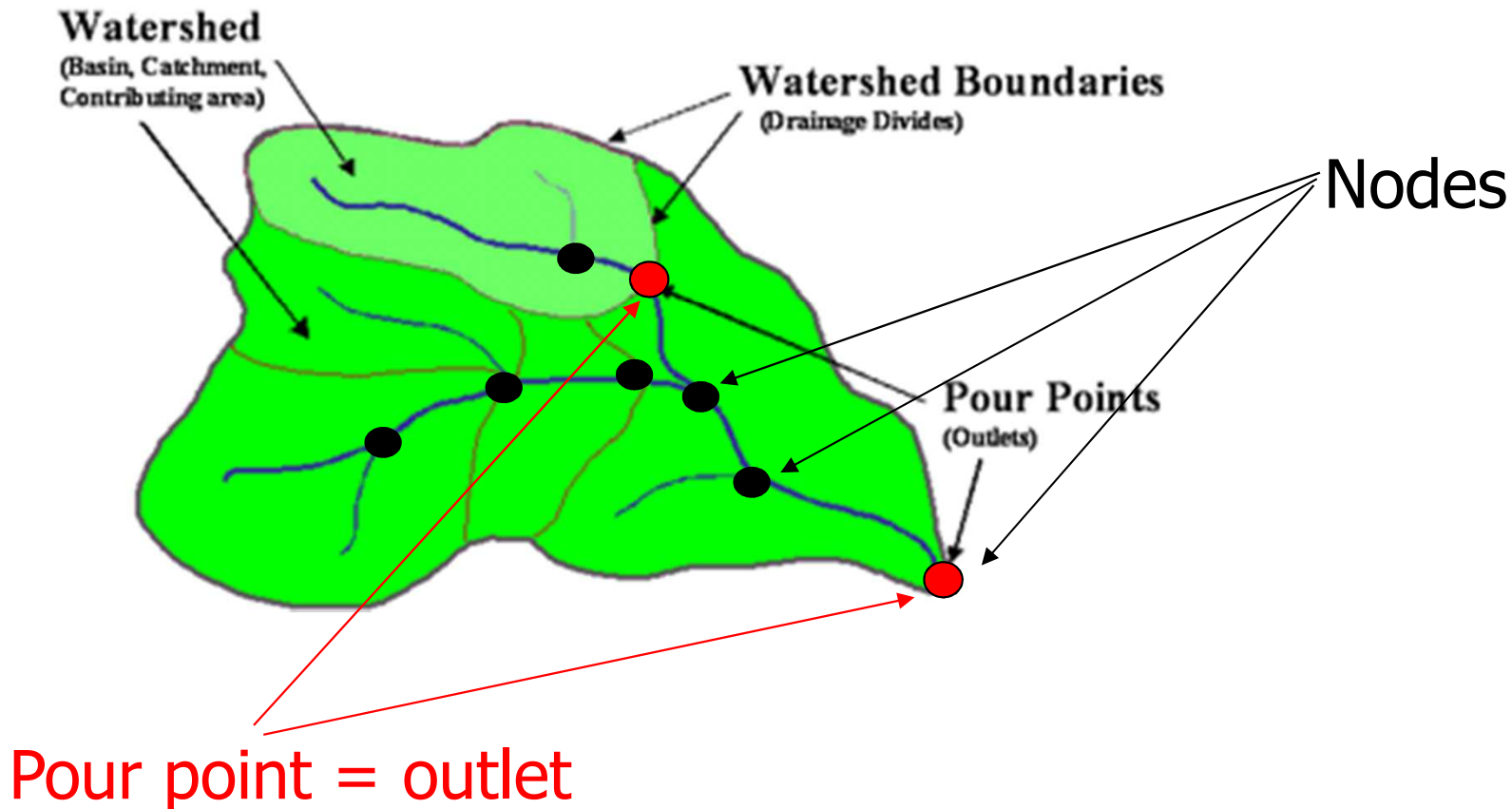
The area from which water drains to a common outlet.



A watershed is also known as a drainage basin, basin, catchment, or contributing area.

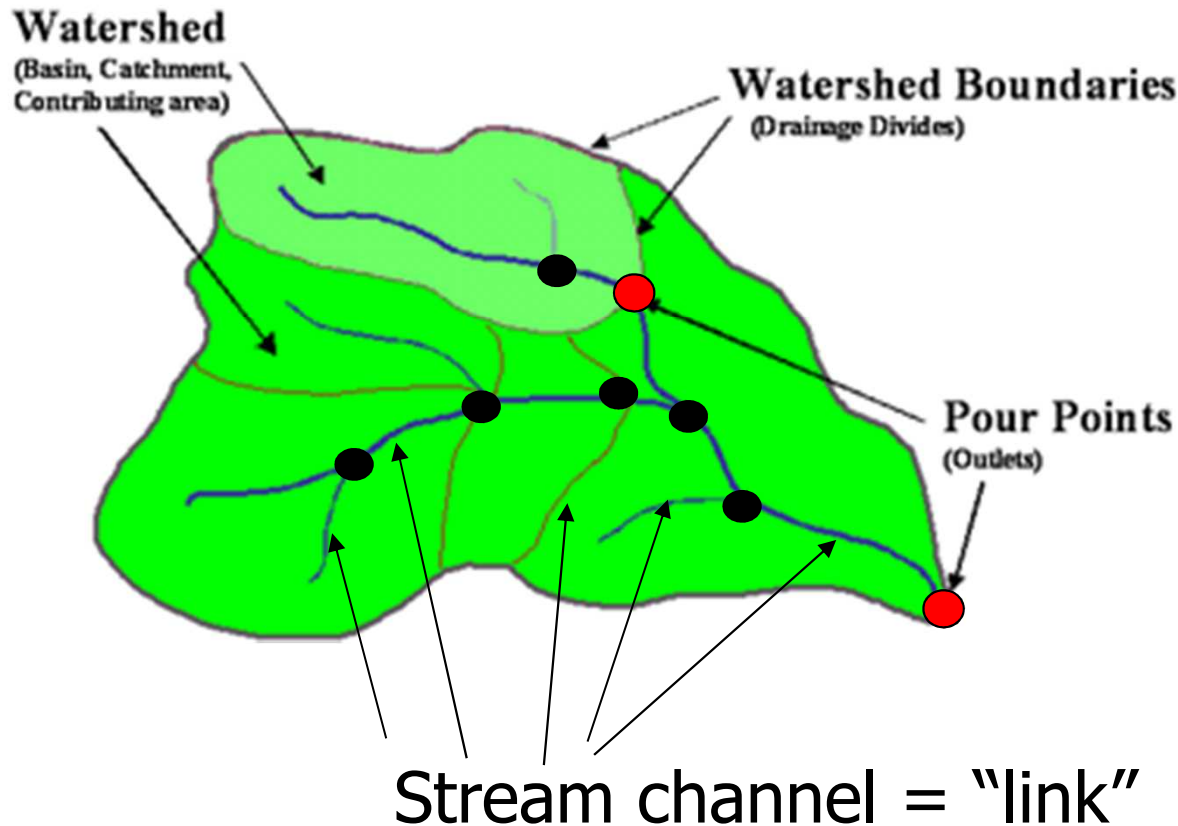
What is a watershed?

A stream network is made up of nodes and links



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A stream network is made up of nodes and links

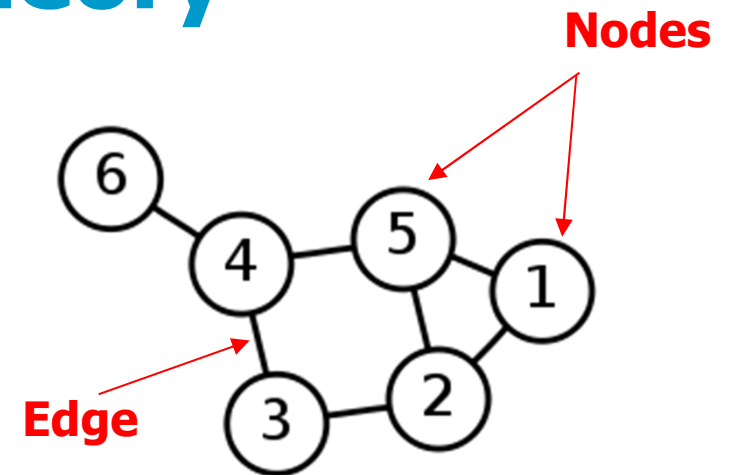


Introduction to Graph Theory

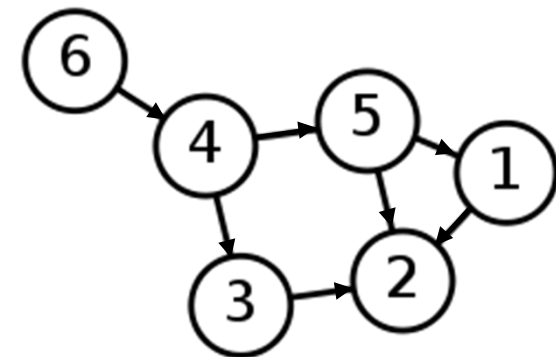
Graph theory = study of graphs

Graph = collection of ***nodes*** (aka vertices) and ***edges*** (links).

Graphs are used to model pairwise relations between objects



Undirected graph

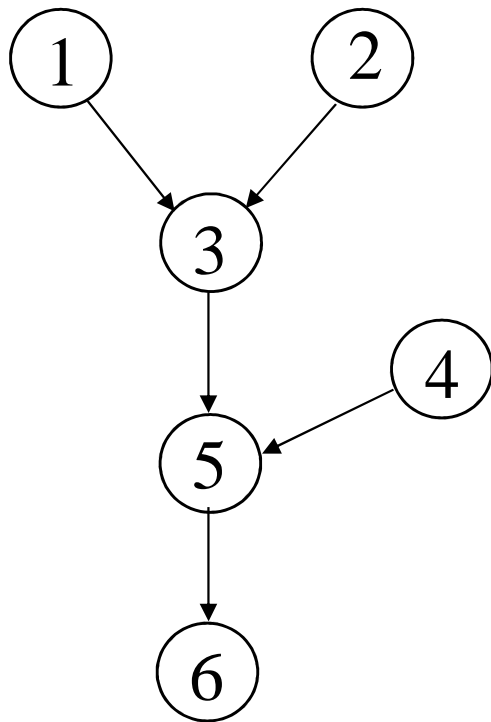


Directed graph aka network

Introduction to Graph theory

Adjacency Matrix

Which nodes are adjacent to which other nodes

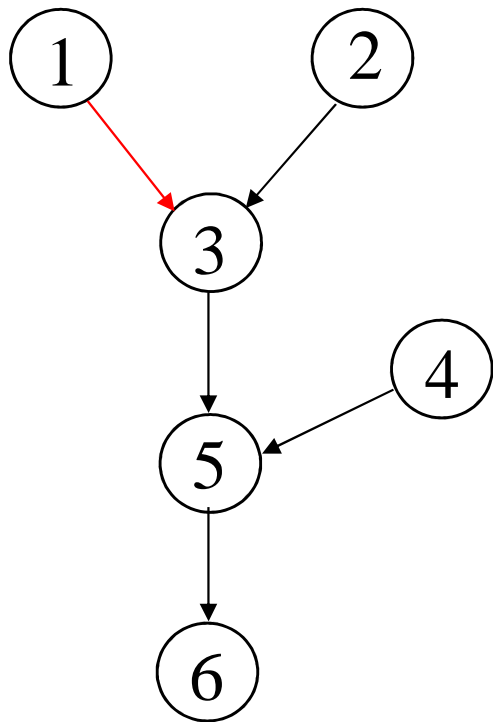


- $n \times n$ matrix
- If node i drains into node j , set $A(i,j)=1$.
- Otherwise, set to zero

Drainage patterns

Adjacency Matrix

Which nodes are adjacent to which other nodes:

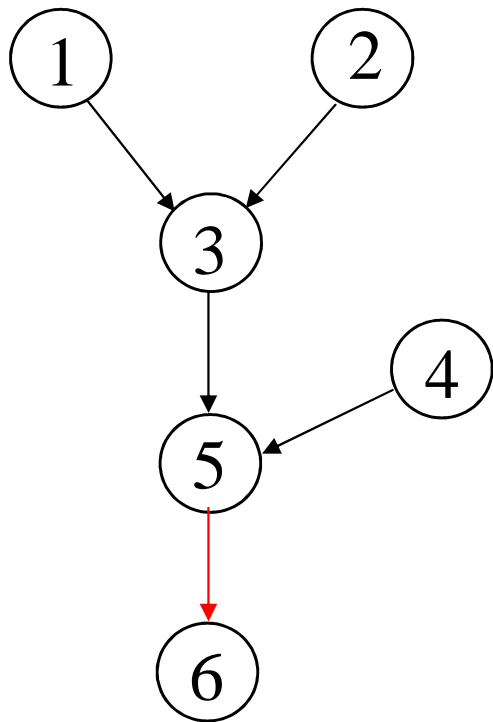


$$A = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Drainage patterns

Adjacency Matrix

Which nodes are adjacent to which other nodes:

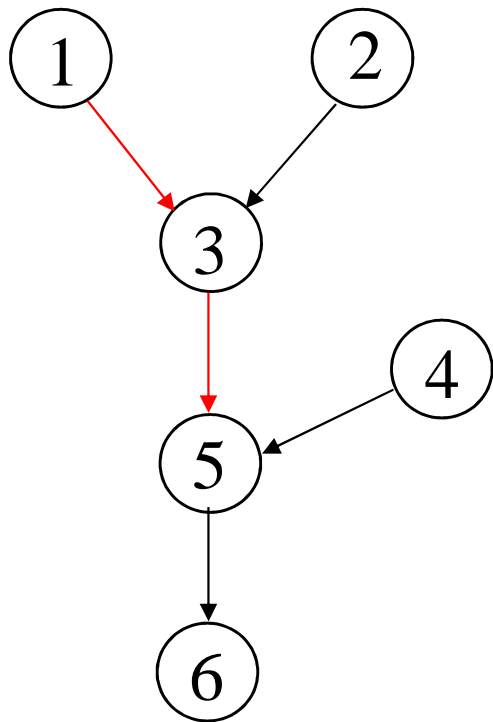


$$A = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Drainage patterns

Adjacency Matrix²

Which nodes are connected by two steps:

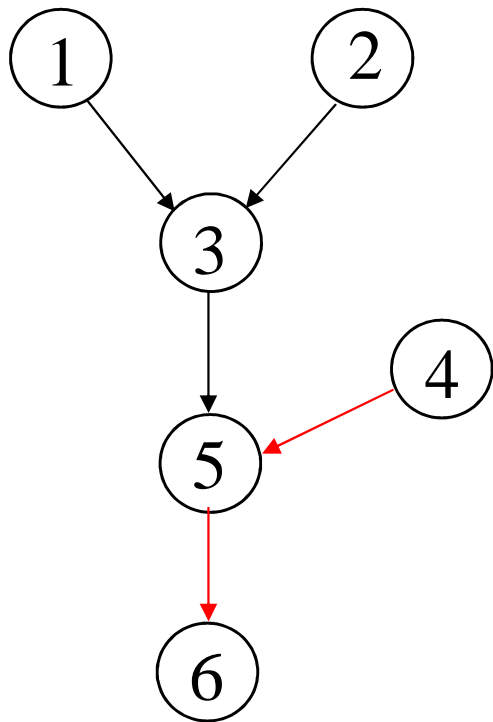


$$A^2 = \begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Drainage patterns

Adjacency Matrix²

Which nodes are connected by two steps:

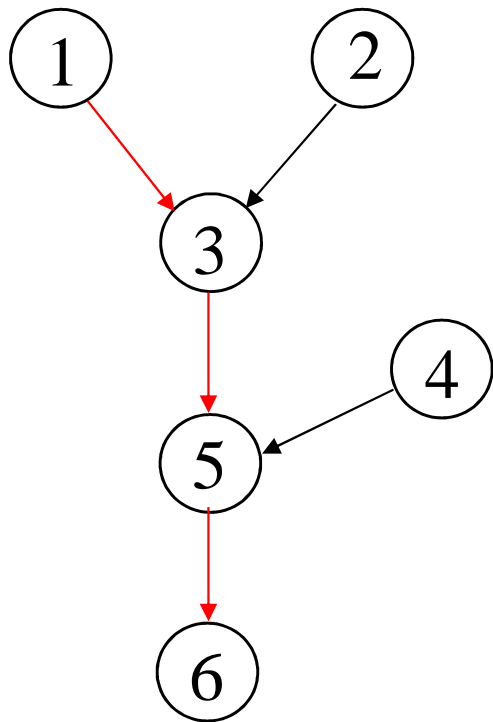


$$A^2 = \begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Drainage patterns

Adjacency Matrix³

Which nodes are connected by three steps:



$A^3 =$

0	0	0	0	0	1
0	0	0	0	0	1
0	0	0	0	0	0
0	0	0	0	0	0
0	0	0	0	0	0
0	0	0	0	0	0

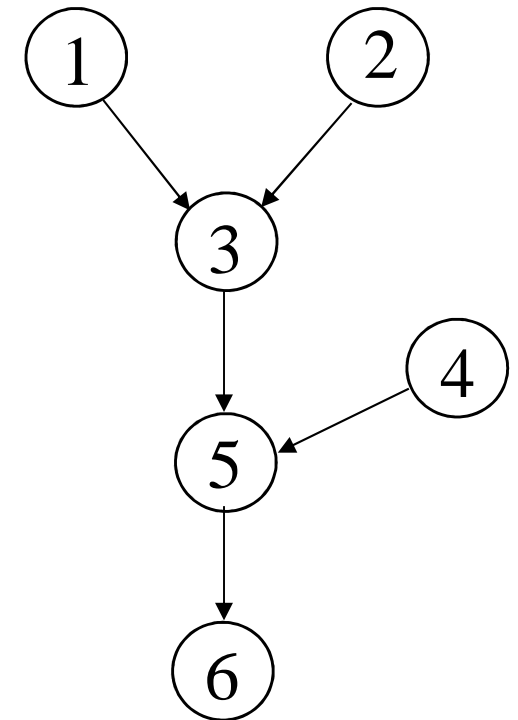
Drainage patterns

“Water availability matrix”:

$$\Sigma = A + A^2 + A^3$$

Columns show
“upstream” nodes!

$$\Sigma = \begin{bmatrix} 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$



Which nodes are upstream from node 3? Nodes 1 and 2

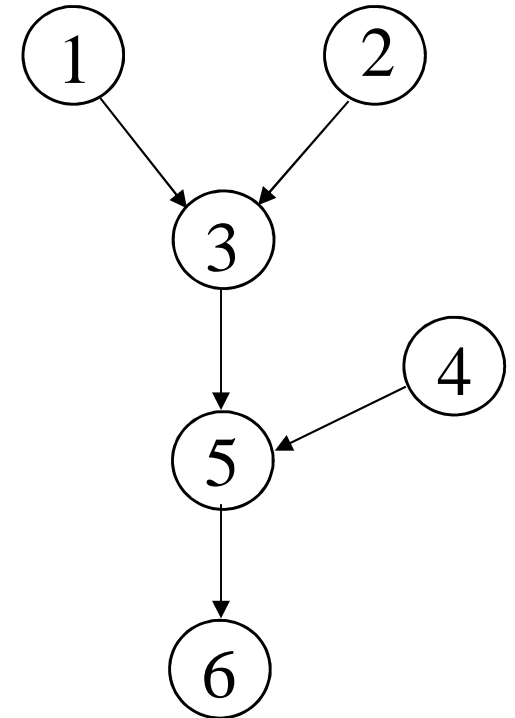
Drainage patterns

“Water availability matrix”:

$$\Sigma = A + A^2 + A^3$$

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Rows show
“downstream”
nodes!



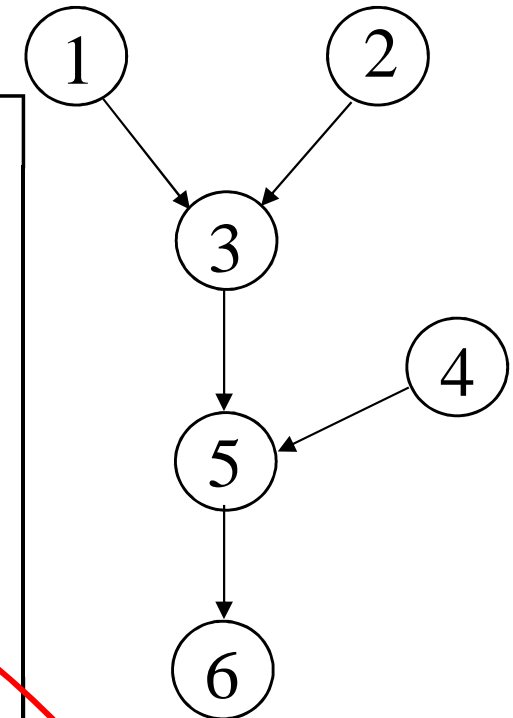
Which nodes are downstream from node 2? ... Nodes 3, 5 and 6.

Drainage patterns

“Watershed matrix”:

$$\Sigma + I = A + A^2 + A^3 + I$$

$$\Sigma + I = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$



We want to include the “zero-th” step, i.e. that a node drains itself
=> We get the real watershed matrix!

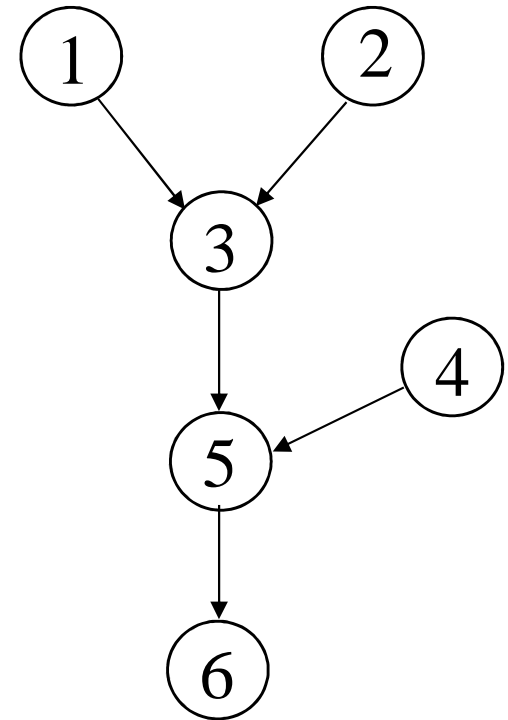
Drainage patterns

“Watershed matrix”:

$$\Sigma + I = A + A^2 + A^3 + I$$

$$\Sigma + I = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

1	1	3	1	5	6
---	---	---	---	---	---



Summing the columns gives the “drainage area” or watershed size for each pixel

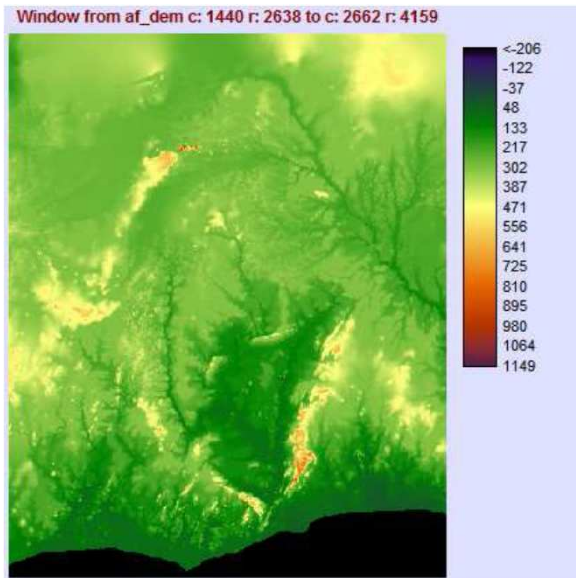
Drainage patterns

Adjacency/Watershed Matrix

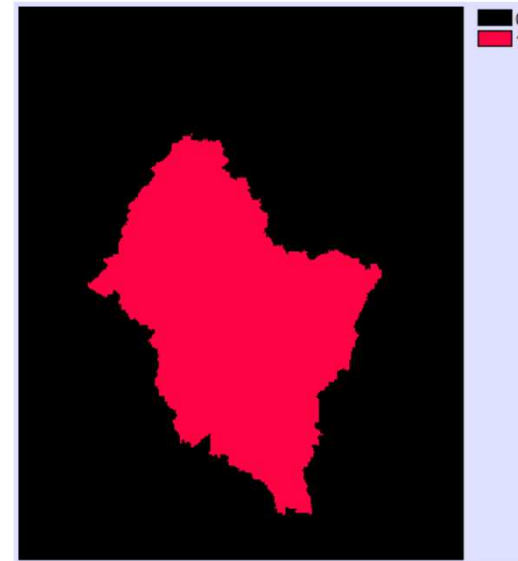
Interesting property:

$$I + A + A^2 + A^3 = (I - A)^{-1}$$

*Used in
GIS
software!*



GTOPO30 for the Volta
Basin and environs



Watershed of the
Akosombo dam.

Drainage patterns

Adjacency/Watershed Matrix

Interesting property:

$$\underbrace{I + A + A^2 + A^3}_{\text{All the information needed to describe watershed}} = (I - A)^{-1}_{\text{Adjacency Matrix i.e. "local information"}}$$

All the information needed to describe watershed

Adjacency Matrix
i.e. “local information”

Drainage patterns

Flow accumulation

So far, we assumed all pixels generated same amount of input.

In practice run-off from pixels is variable

Build a row vector Q containing the run-off produced at each node:

$$\Sigma + I = A + A^2 + A^3 + I$$

$$\Sigma + I = \begin{bmatrix} 1 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$Q = [3.2 \quad 2.3 \quad 0 \quad 0 \quad 0 \quad 0]$$

*e.g node 1 generates 3.2 units of water,
node 2 generates 2.3 units*

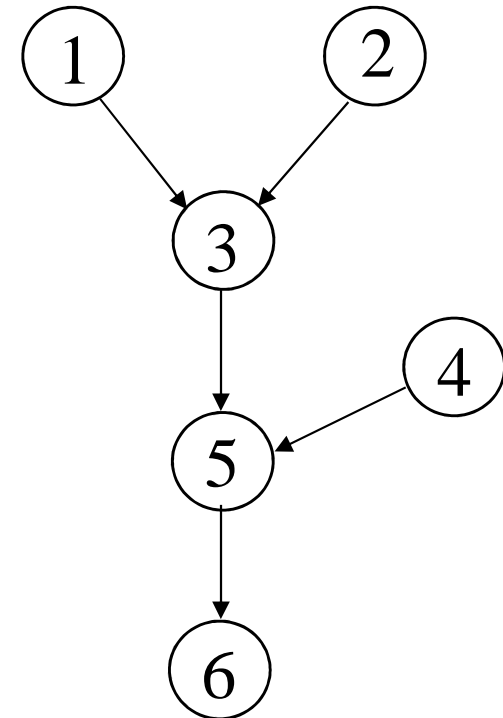
Drainage patterns

Flow accumulation

Build a row vector Q containing the run-off produced at each node:

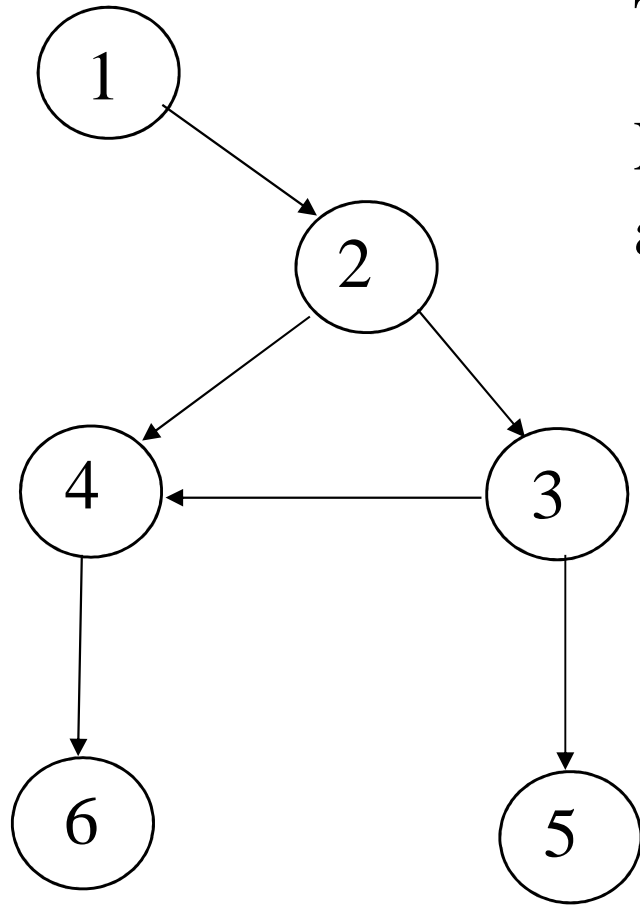
$$Q = [3.2 \quad 2.3 \quad 0 \quad 0 \quad 0 \quad 0]$$

$$Q * (\Sigma + I) = [3.2 \quad 2.3 \quad 5.5 \quad 0 \quad 5.5 \quad 5.5]$$



This gives the total flow accumulated in each node

Water use along river network



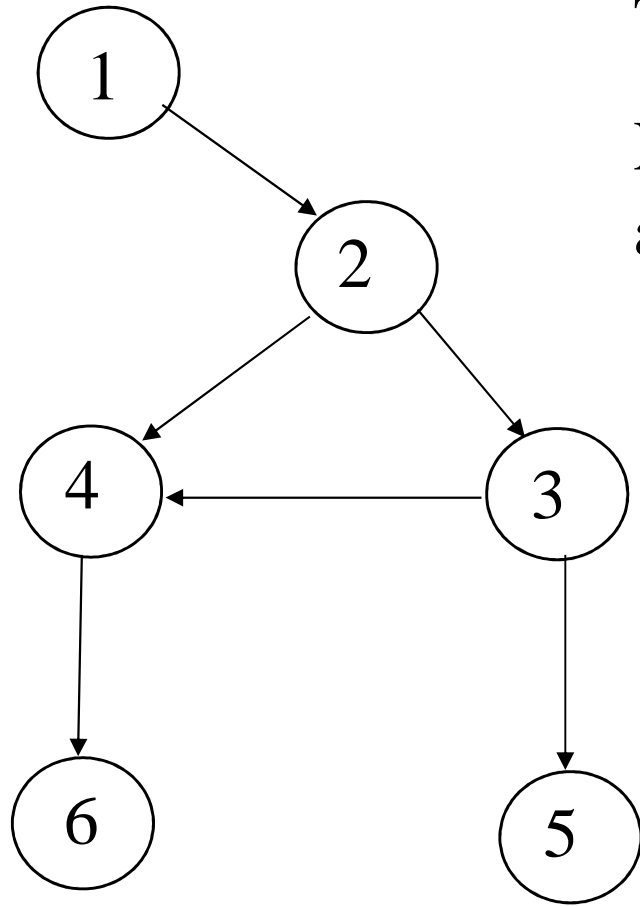
This case will be a bit more complicated.

Let's start by filling in the regular adjacency matrix

$W =$



Water use along river network

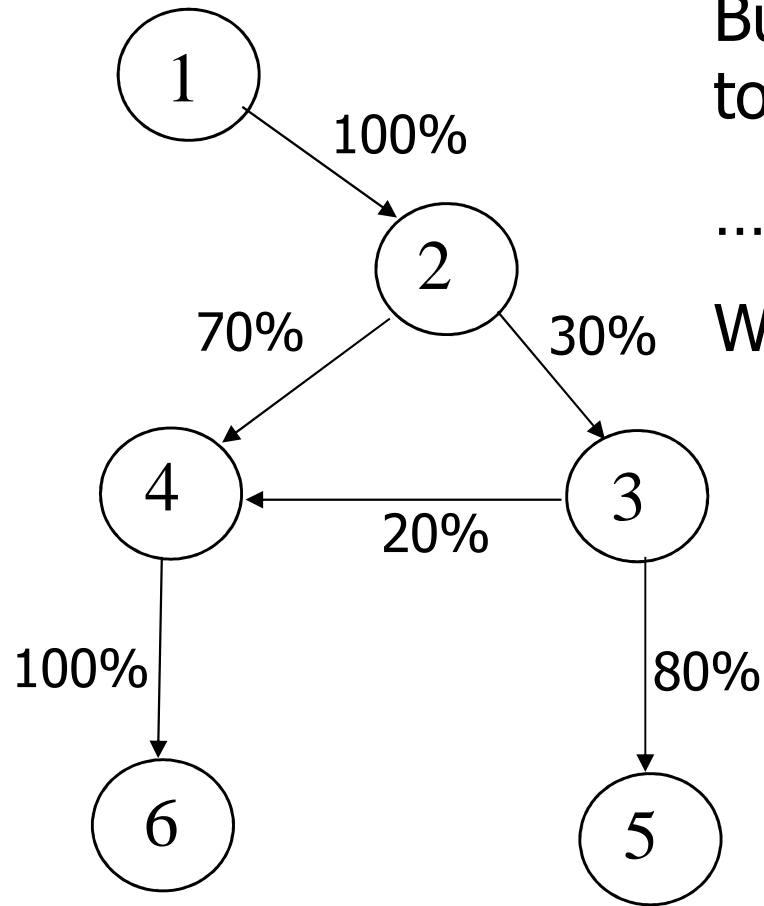


This case will be a bit more complicated.

Let's start by filling in the regular adjacency matrix

$$W = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Water use along river network



But how can all the water go from node 2 to both nodes 3 and 4?

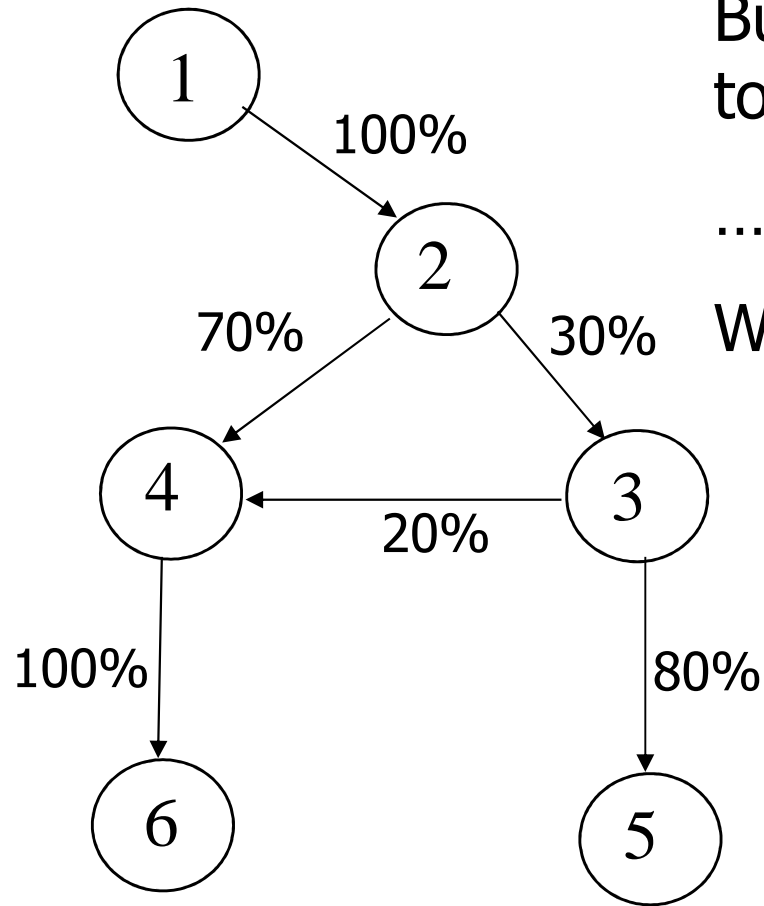
... It doesn't!

What happens to our adjacency matrix?

$W =$



Water use along river network



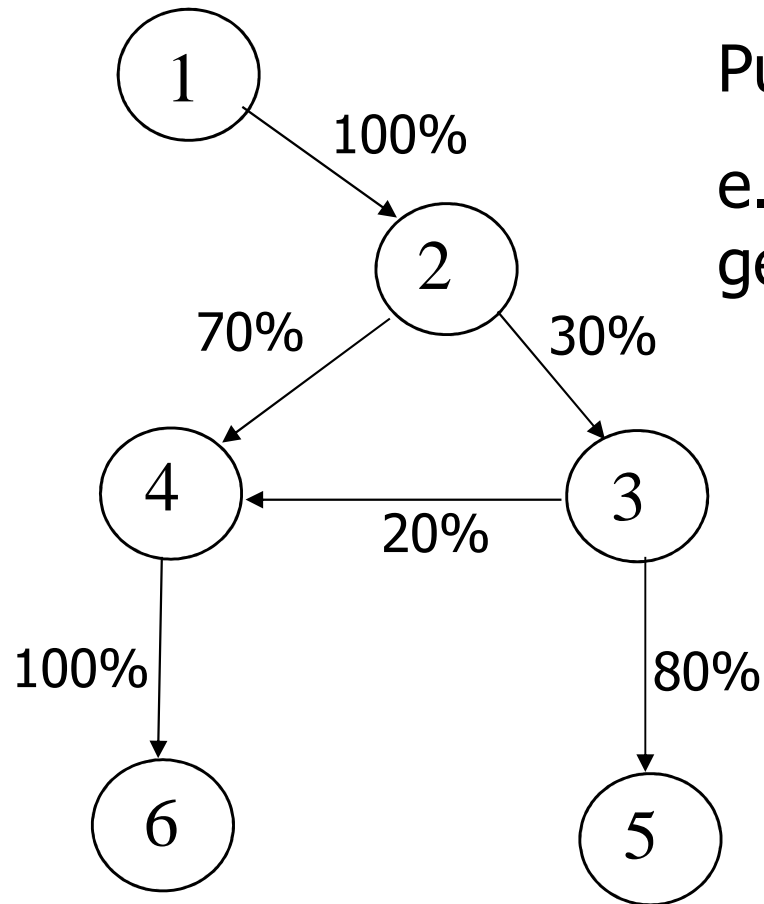
But how can all the water go from node 2 to both nodes 3 and 4?

... It doesn't!

What happens to our adjacency matrix?

$$W = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0.3 & 0.7 & 0 & 0 \\ 0 & 0 & 0 & 0.2 & 0.8 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Water use along river network

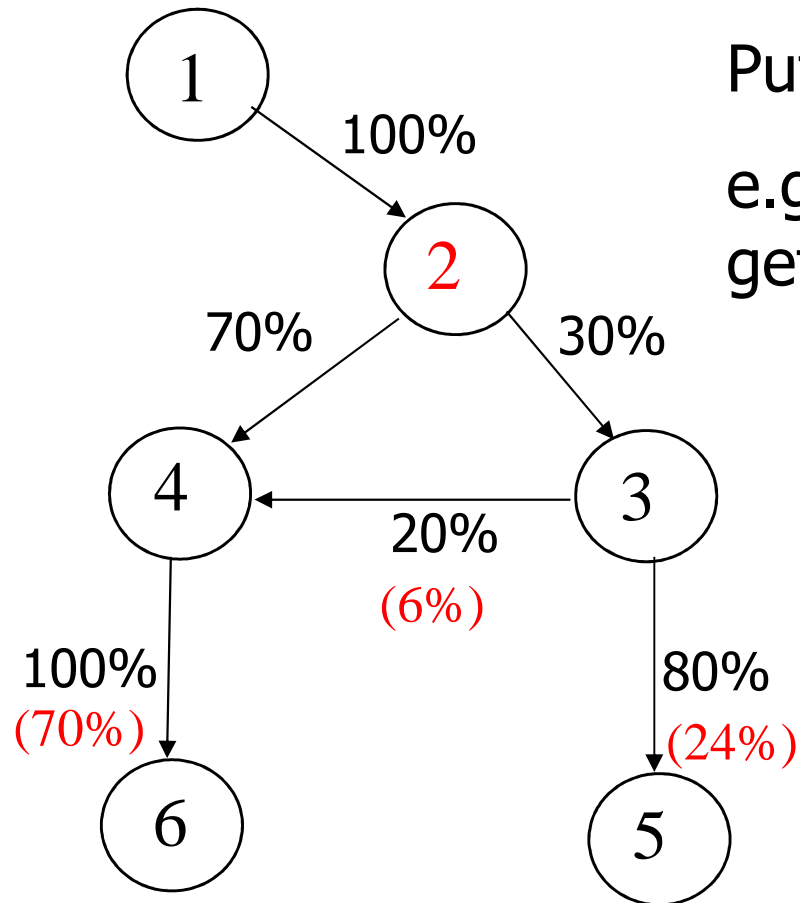


Put W into Matlab/excel and calculate W^2 :
e.g. Where does the water from node 2 get to in two steps?

$W^2 =$



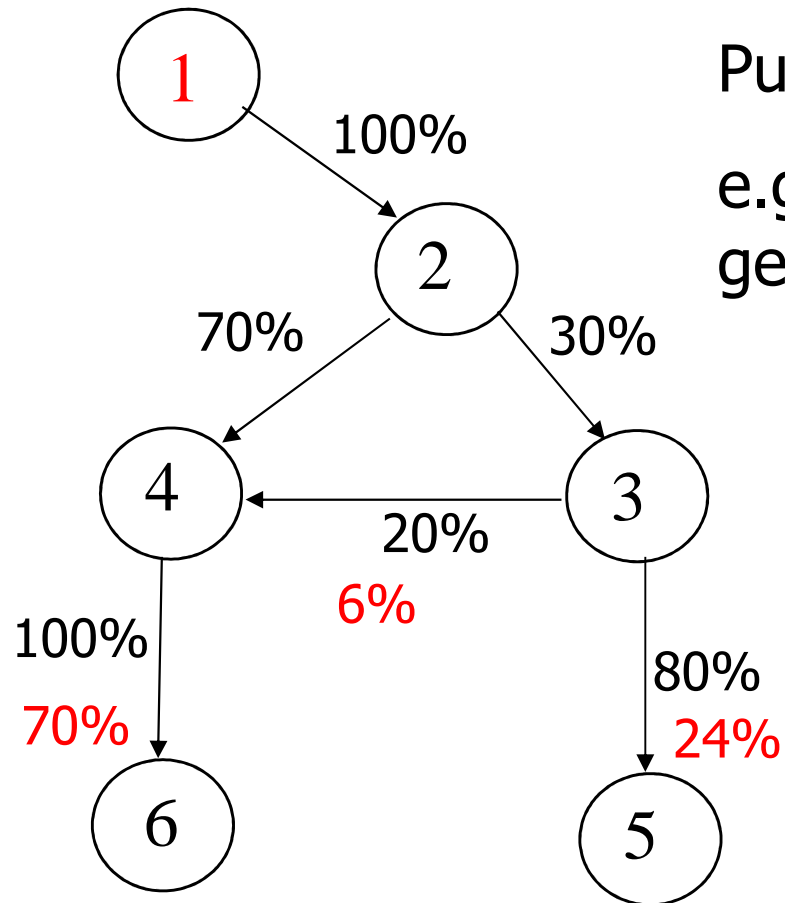
Water use along river network



Put W into Matlab/excel and calculate W^2 :
 e.g. Where does the water from node 2 get to in two steps?

$$W^2 = \begin{bmatrix} 0 & 0 & 0.3 & 0.7 & 0 & 0 \\ 0 & 0 & 0 & 0.06 & 0.24 & 0.7 \\ 0 & 0 & 0 & 0 & 0 & 0.2 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Water use along river network

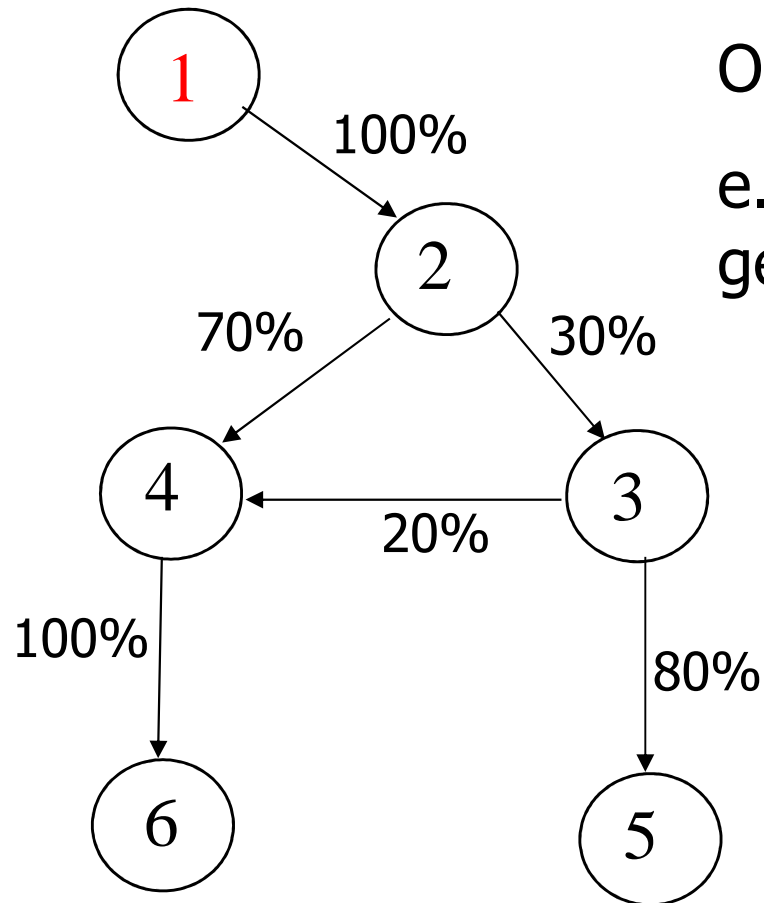


Put W into Matlab/excel and calculate W^3 :
e.g. Where does the water from node 1 get to in three steps?

$$W^3 = \begin{bmatrix} 0 & 0 & 0 & 0.06 & 0.24 & 0.7 \\ 0 & 0 & 0 & 0 & 0 & 0.06 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

After 3 steps, 94% of the water from (1) has made it to an outlet, but 6% is just getting to node 4!

Water use along river network

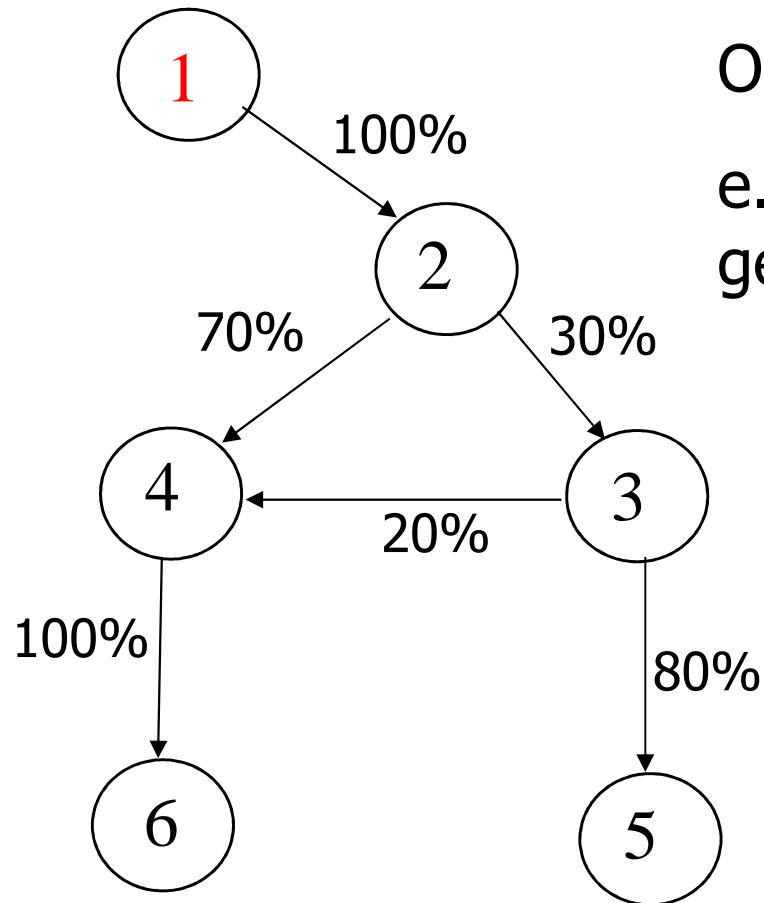


One last time step, let's calculate W^4 :

e.g. Where does the water from node 1 get to in four steps?

$$W^4 = \left[\begin{array}{c} \text{?} \end{array} \right]$$

Water use along river network

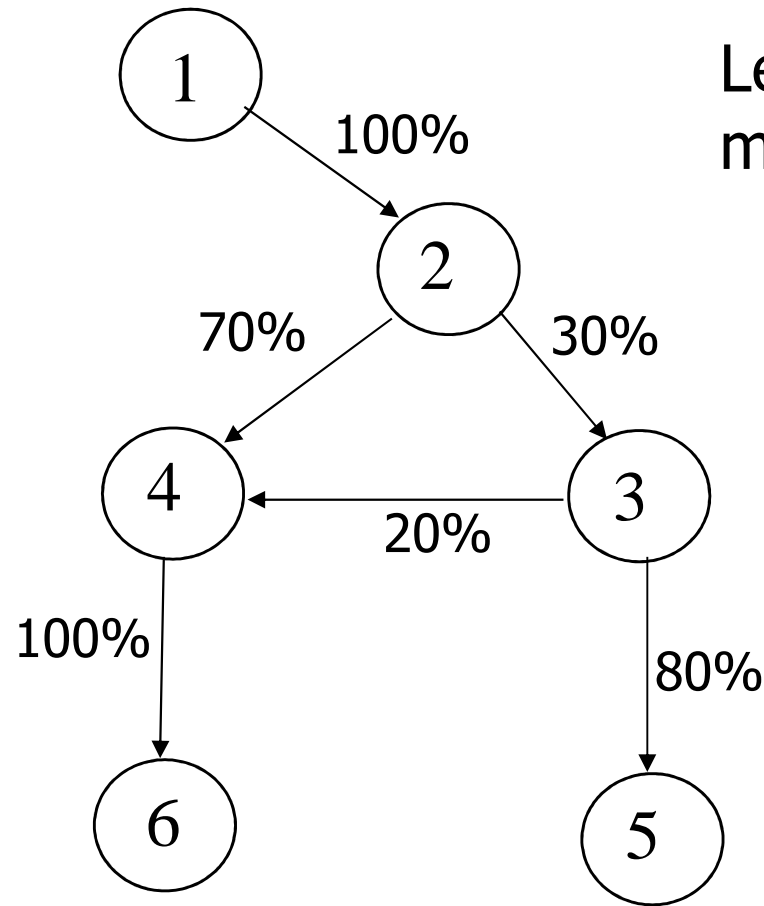


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$$W^4 = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0.06 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Water use along river network



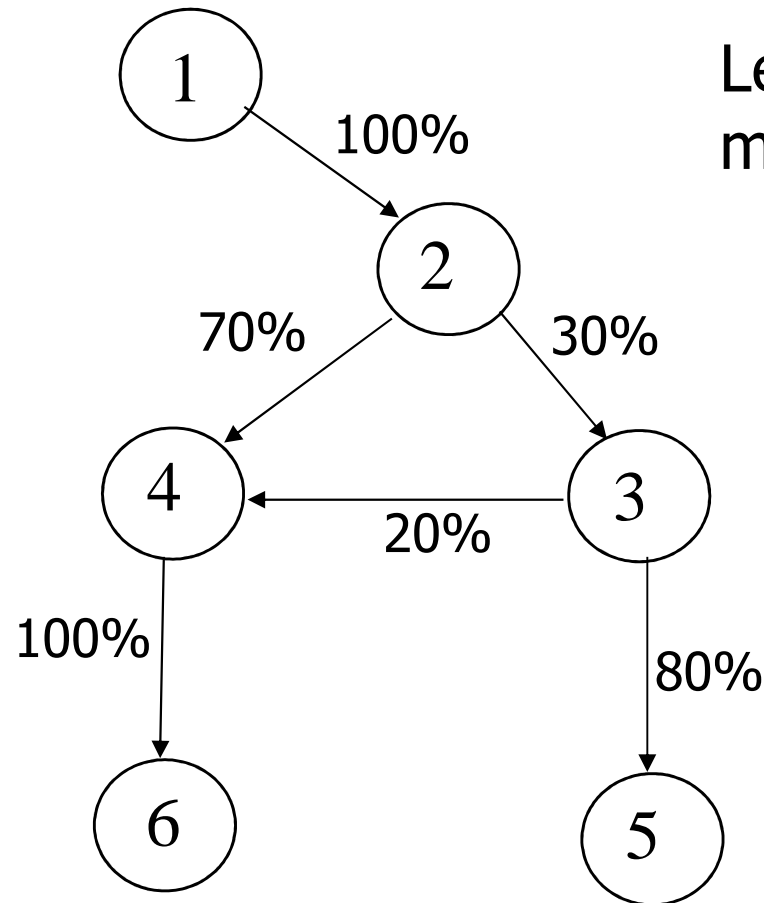
Let's calculate the water availability matrix, Ω , for this case:

$$\Omega = W + W^2 + W^3 + W^4$$

$\Omega =$



Water use along river network

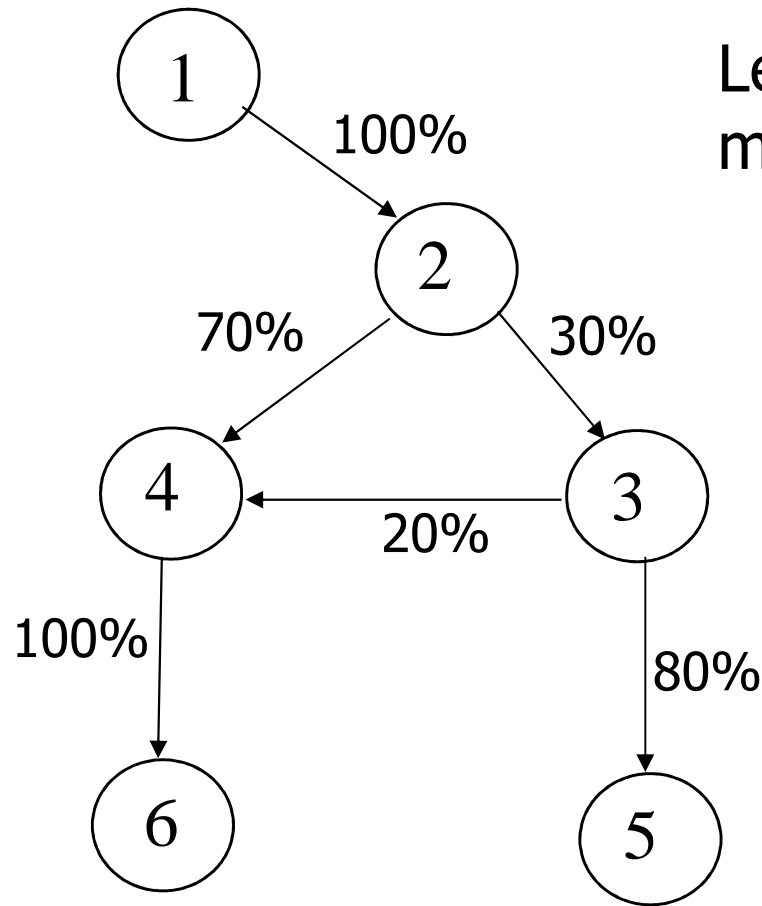


Let's calculate the water availability matrix, Ω , for this case:

$$\Omega = W + W^2 + W^3 + W^4$$

$$\Omega = \begin{bmatrix} 0 & 1.0 & 0.3 & 0.76 & 0.24 & 0.76 \\ 0 & 0 & 0.3 & 0.76 & 0.24 & 0.76 \\ 0 & 0 & 0 & 0.2 & 0.8 & 0.2 \\ 0 & 0 & 0 & 0 & 0 & 1.0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Water use along river network

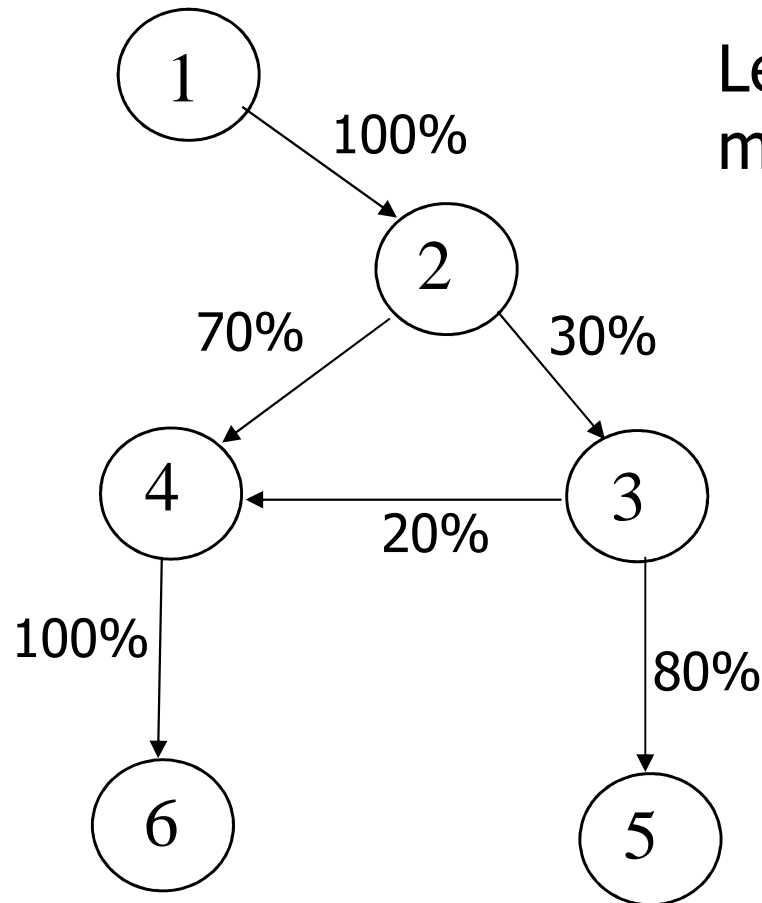


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Water use along river network

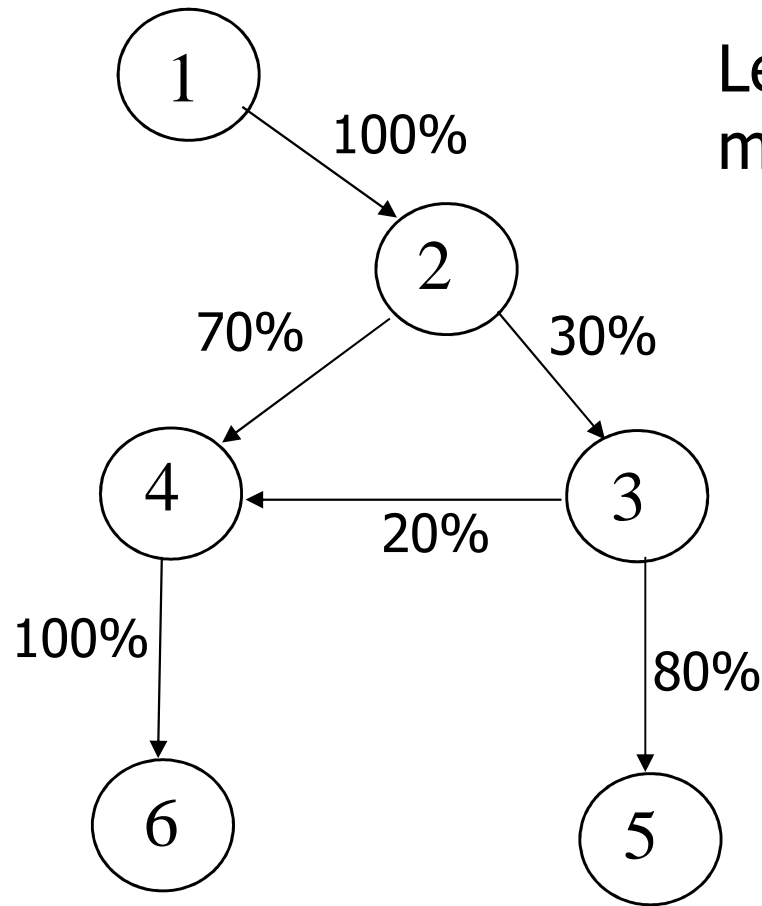


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Water use along river network

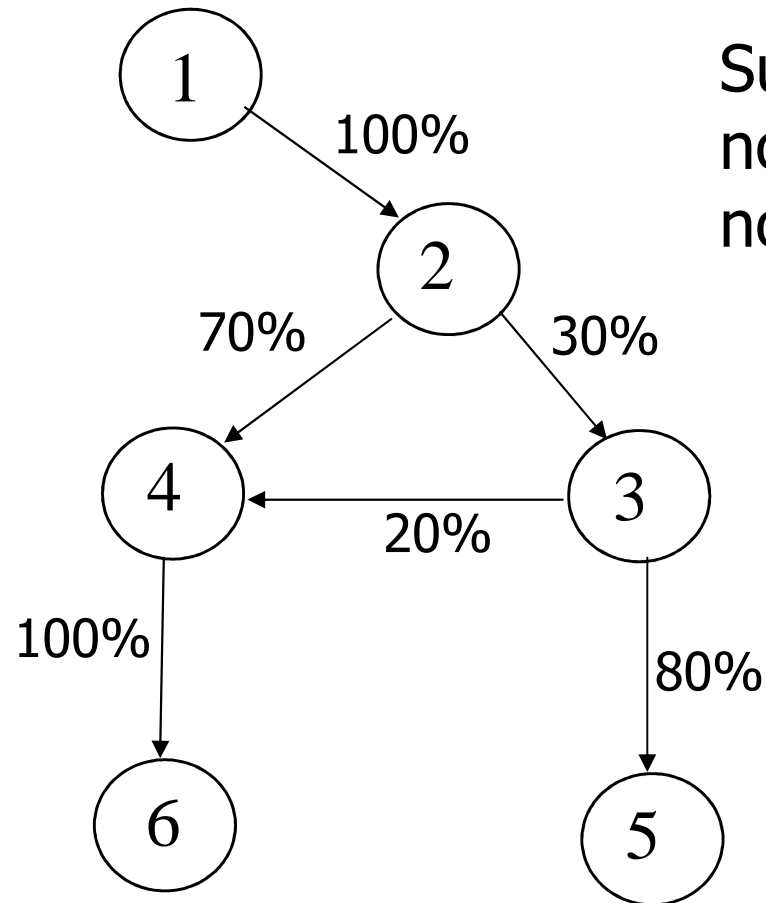


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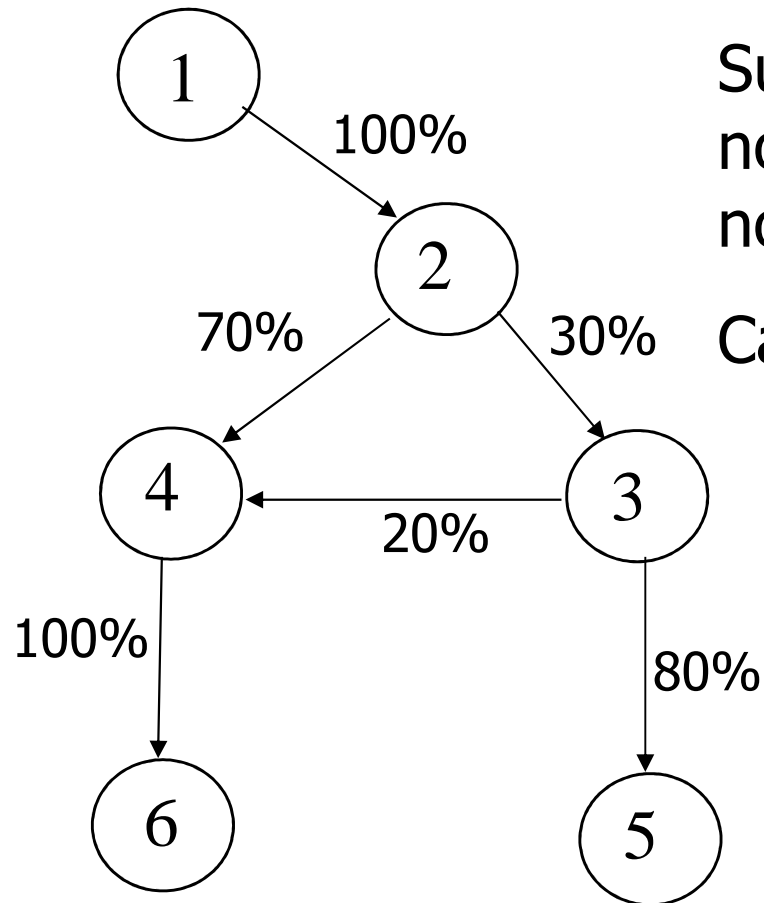
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Water use along river network



Suppose we inject 200 units of water at node 1. How much will we see at each node?

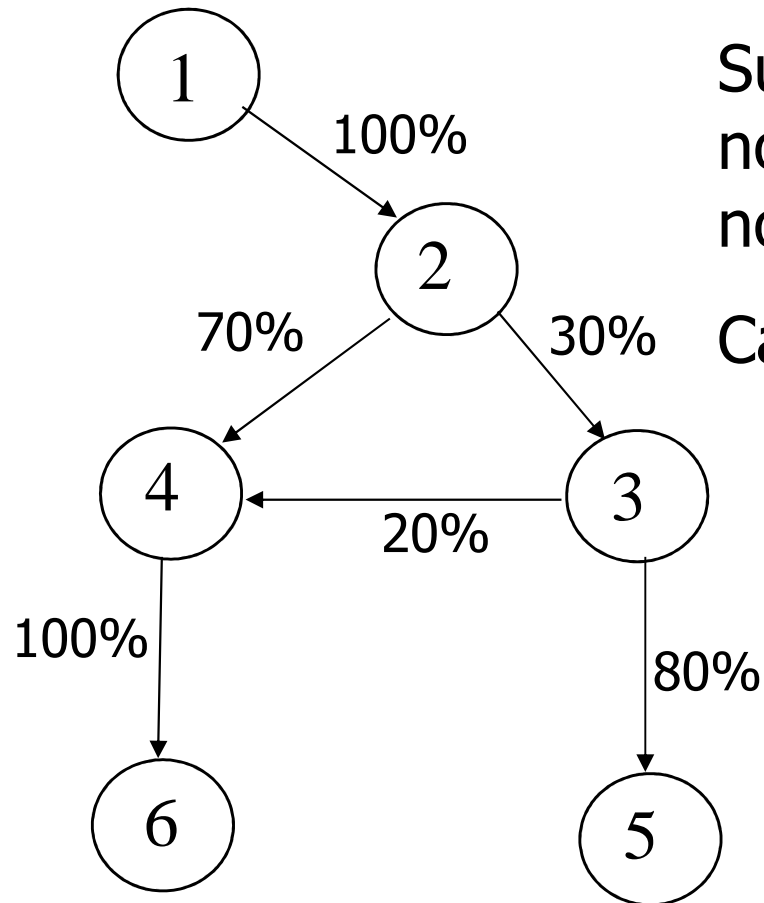
Water use along river network



Suppose we inject 200 units of water at node 1. How much will we see at each node?

Calculate $P * \Omega$ where $P = [200 \ 0 \ 0 \ 0 \ 0 \ 0]$

Water use along river network

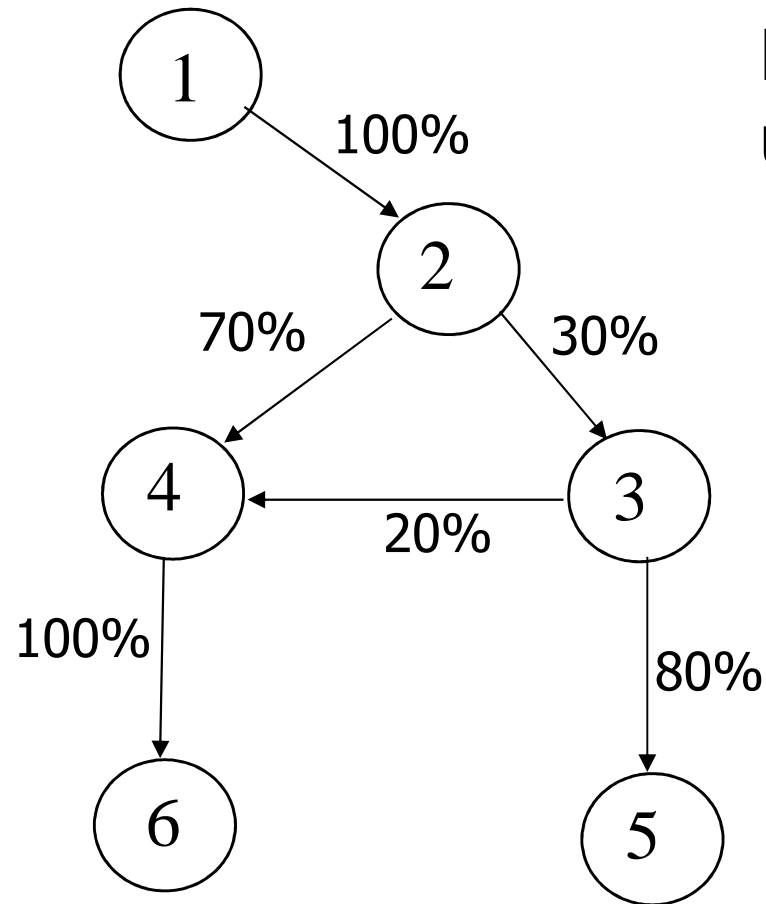


Suppose we inject 200 units of water at node 1. How much will we see at each node?

Calculate $Q = P * \Omega$ where $P = [200 \ 0 \ 0 \ 0 \ 0 \ 0]$

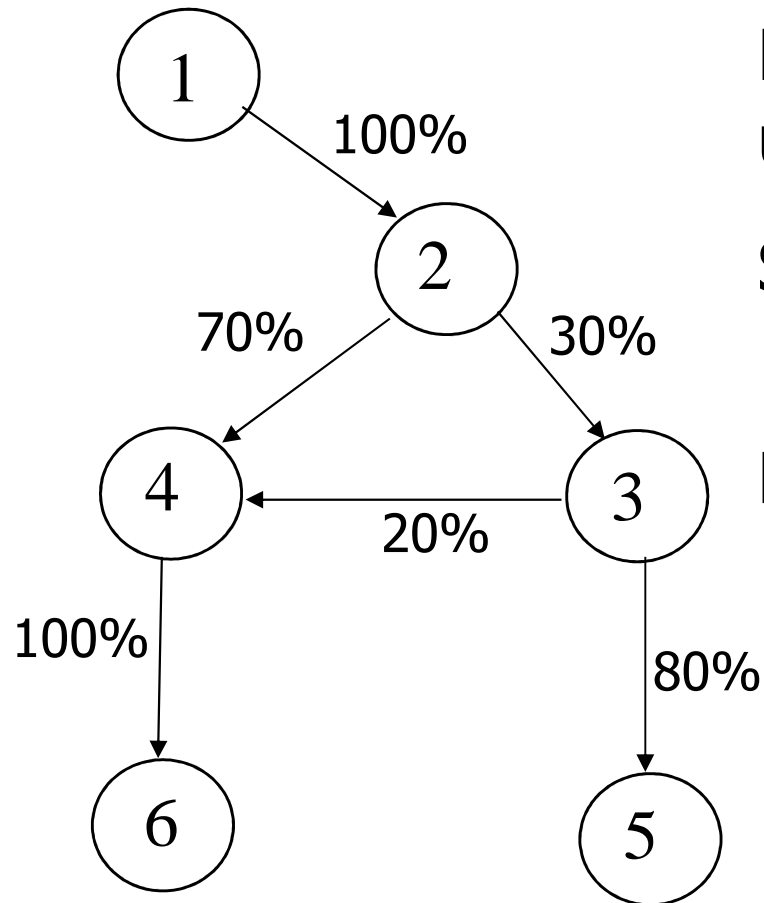
$$Q = [0 \quad 200 \quad 60 \quad 152 \quad 48 \quad 152]$$

Water use along river network



How do you account for water being used at a node?

Water use along river network

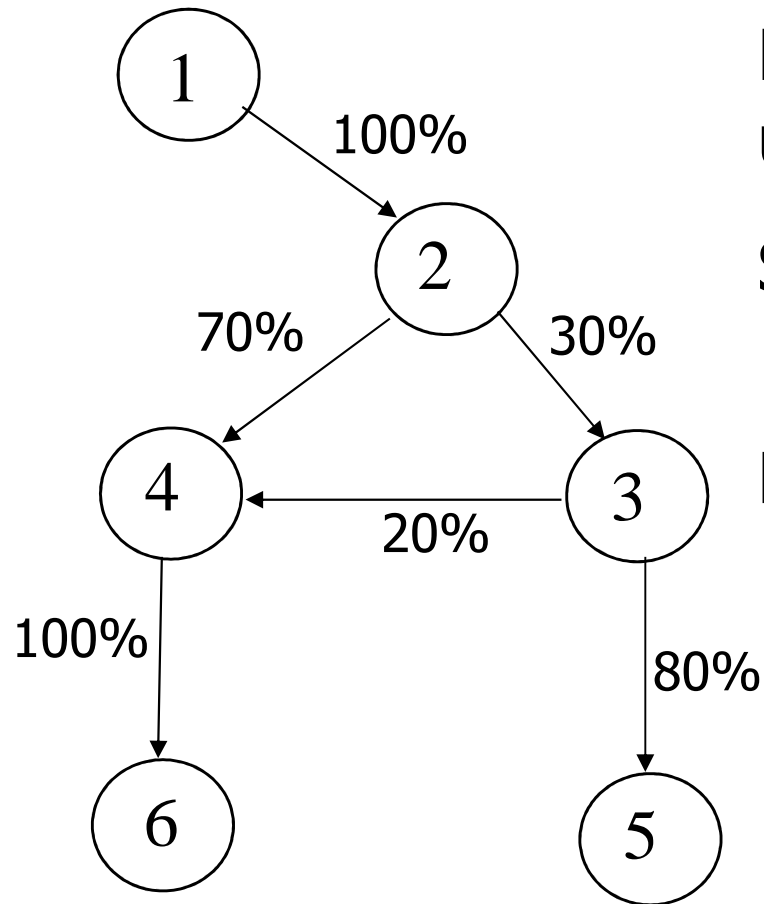


How do you account for water being used at a node?

Suppose we inject 200 units at node 1, but use 100 units at node 2:

$P=?$

Water use along river network

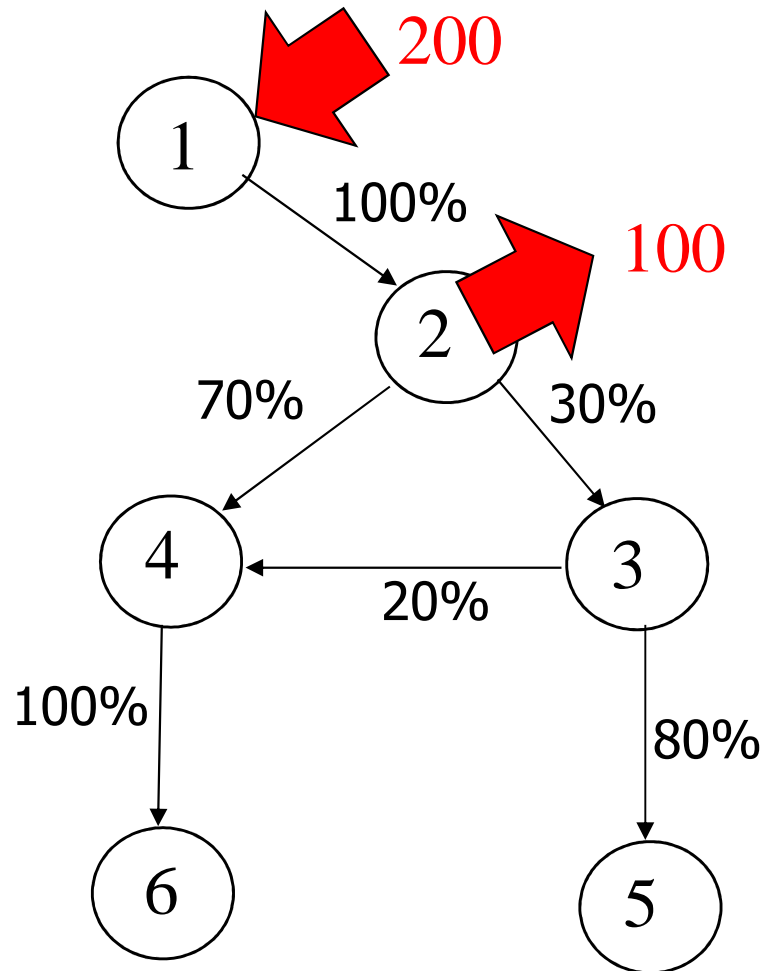


How do you account for water being used at a node?

Suppose we inject 200 units at node 1, but use 100 units at node 2:

$$P = [200 \ -100 \ 0 \ 0 \ 0 \ 0]$$

Water use along river network

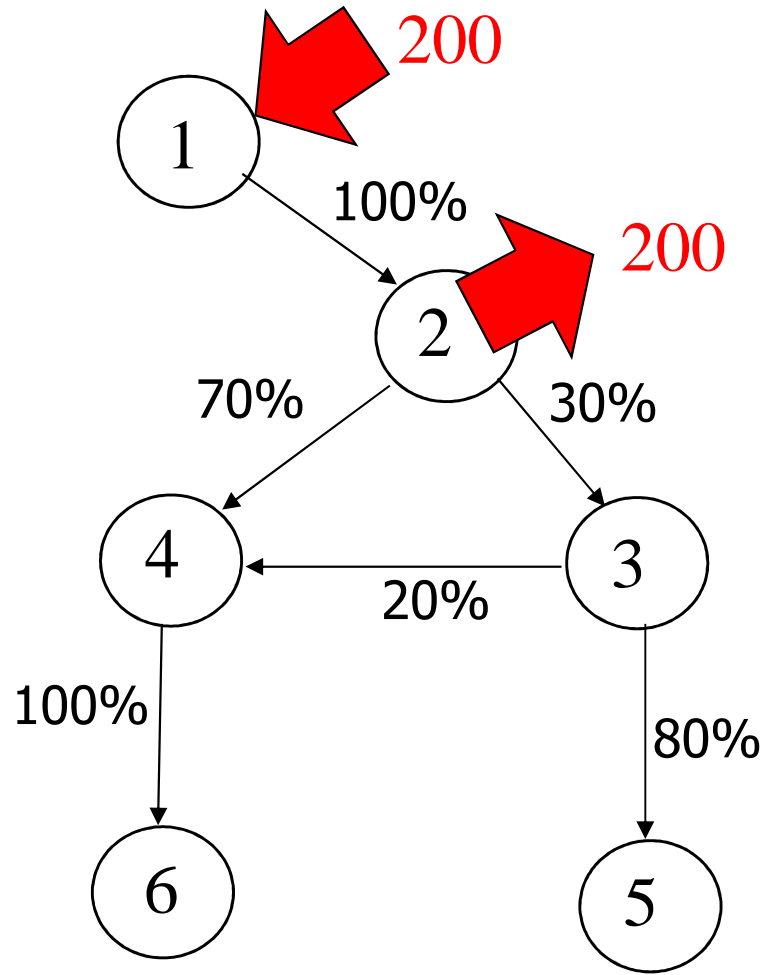


$$P = [200 \ -100 \ 0 \ 0 \ 0 \ 0]$$

$$Q = P * \Omega$$

$$Q = [0 \ 200 \ 30 \ 76 \ 24 \ 76]$$

Water use along river network

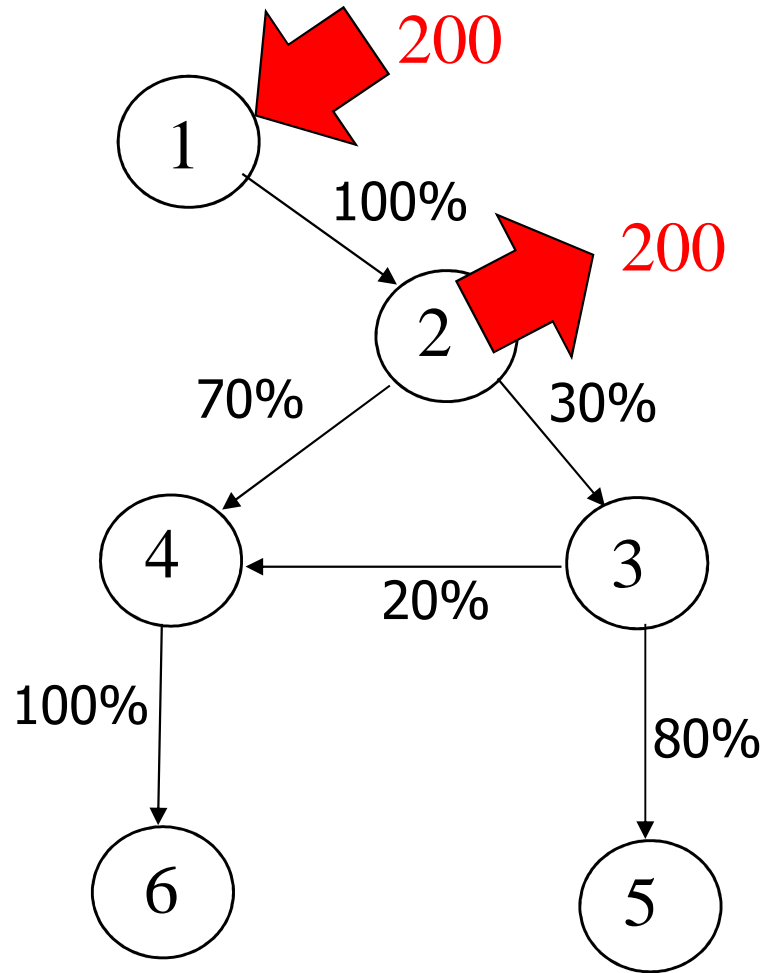


$$P = [200 \ -200 \ 0 \ 0 \ 0 \ 0]$$

$$Q = P * \Omega$$

$$Q = ??$$

Water use along river network

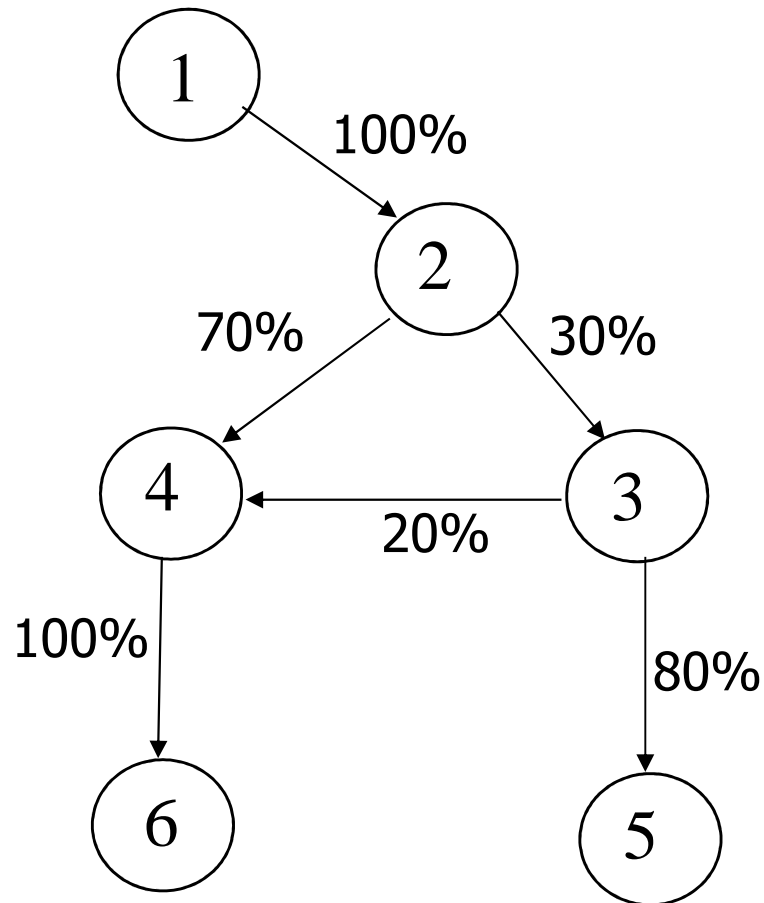


$$P = [200 \ -200 \ 0 \ 0 \ 0 \ 0]$$

$$Q = P * \Omega$$

$$Q = [0 \ 200 \ 0 \ 0 \ 0 \ 0]$$

Water use along river network



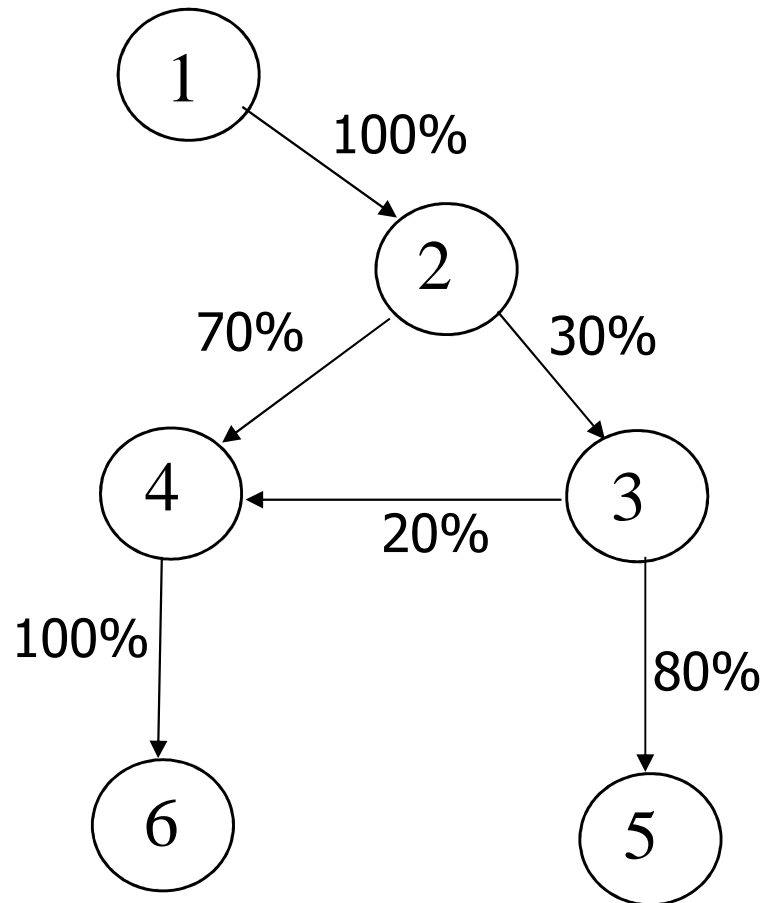
What if you demand too much water??

$$P = [200 \ -300 \ 0 \ 0 \ 0 \ 0]$$

$$Q = P * \Omega$$

$$Q = ?$$

Water use along river network



What if you demand too much water??

$$P = [200 \ -300 \ 0 \ 0 \ 0 \ 0]$$

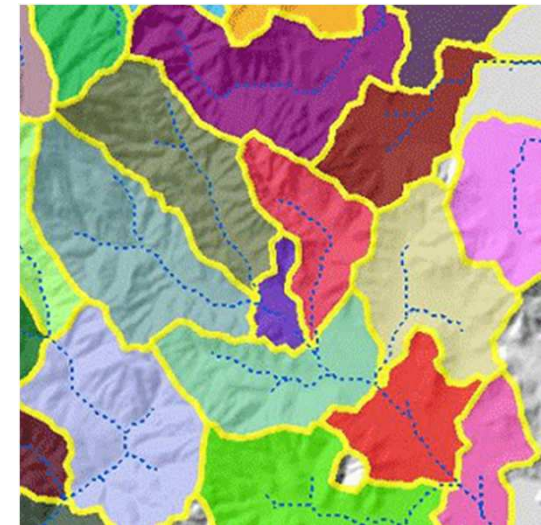
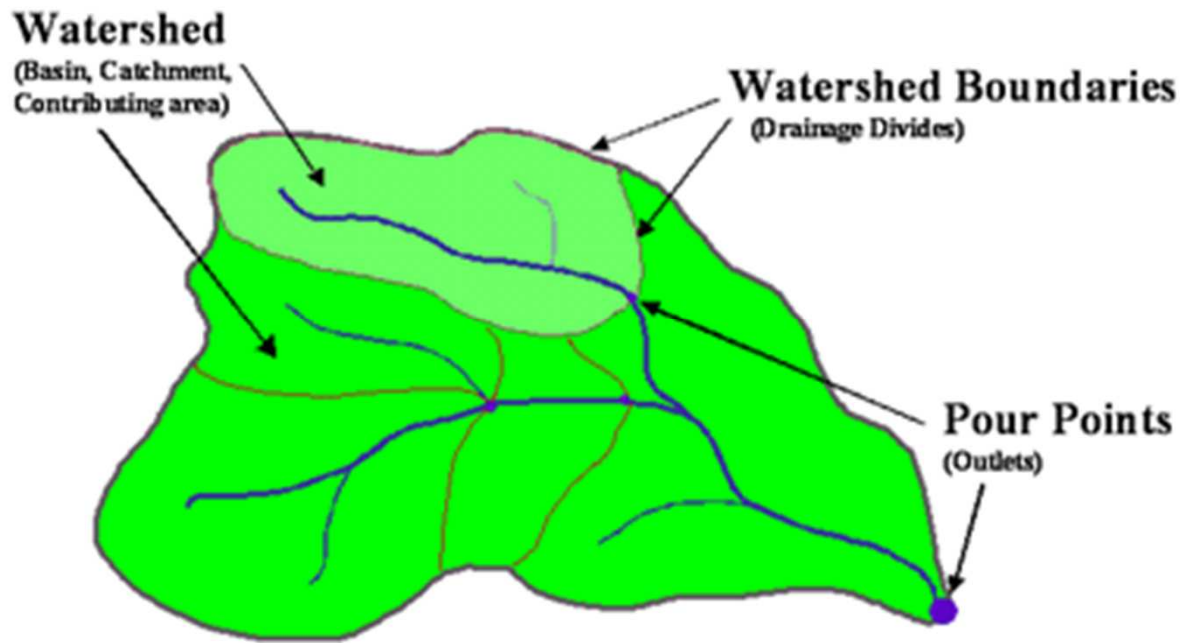
$$Q = P * \Omega$$

$$Q = [0 \ 200 \ -30 \ -76 \ -24 \ -76]$$

Watershed delineation in ArcGIS

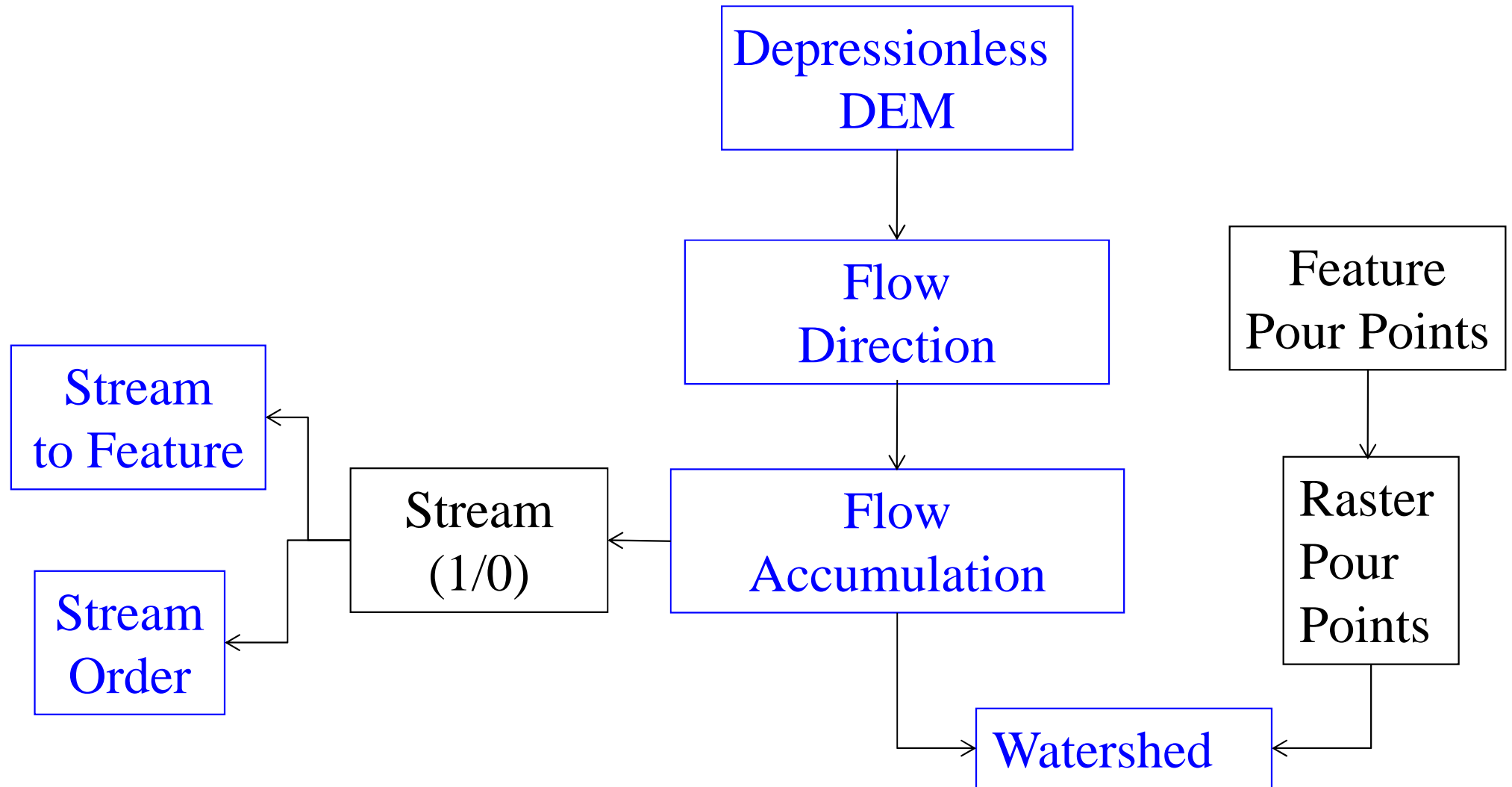
What is a watershed?

The area from which water drains to a common outlet.



AKA drainage basin, basin, catchment, or contributing area.

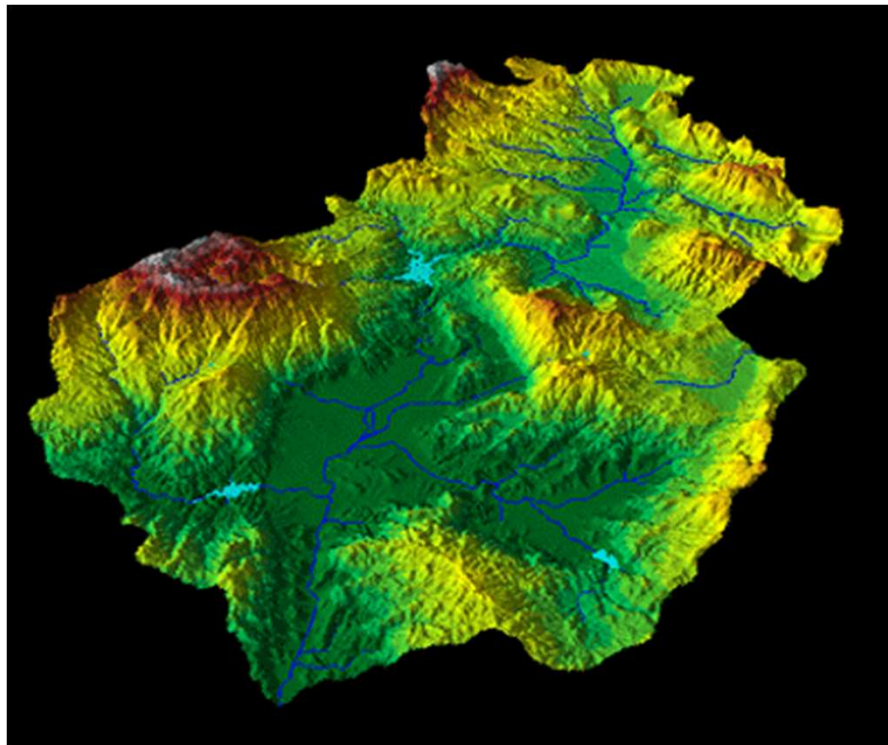
Watershed delineation in ArcGIS



Watershed delineation in ArcGIS

Digital Elevation Model

Raster data describing surface of earth.



Accuracy depends on:

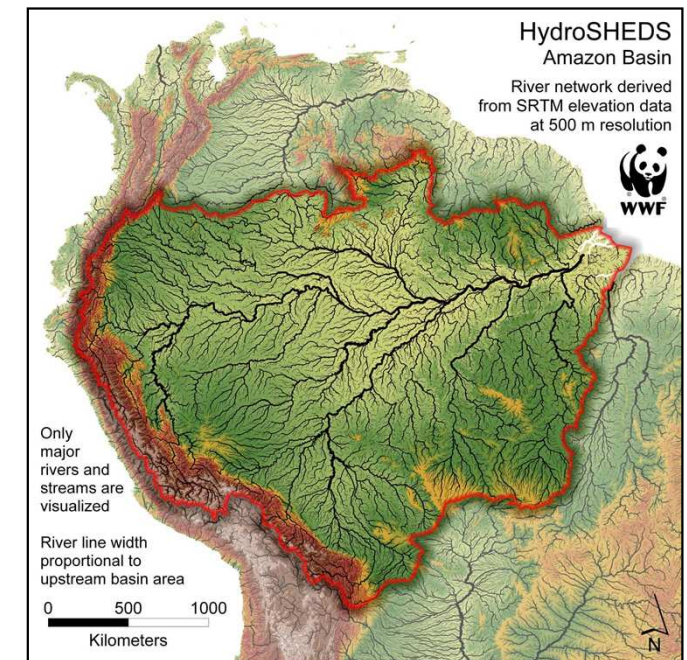
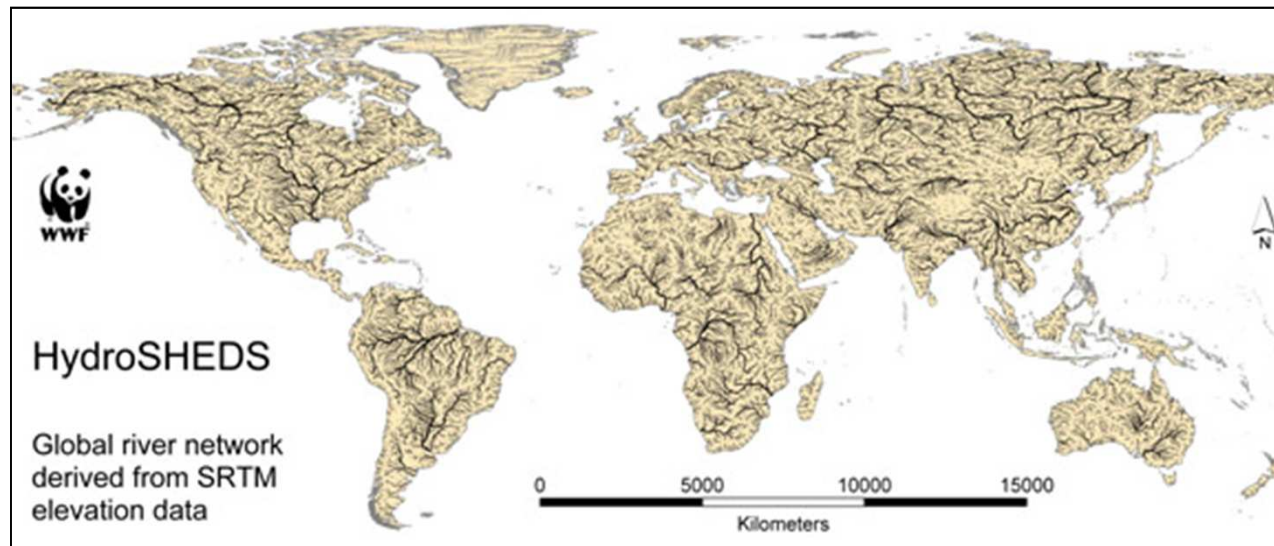
Resolution

Data type

Observation method

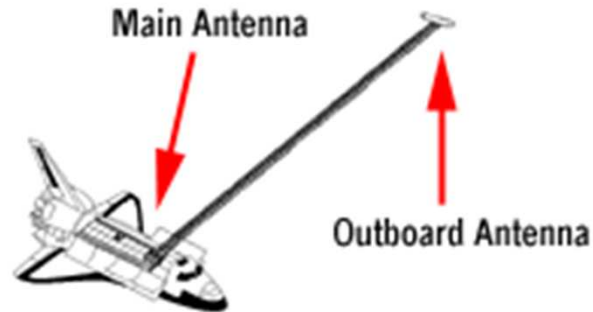
HydroSHEDS

Hydrological data and maps based on **Shuttle Elevation Derivatives at multiple Scales)**

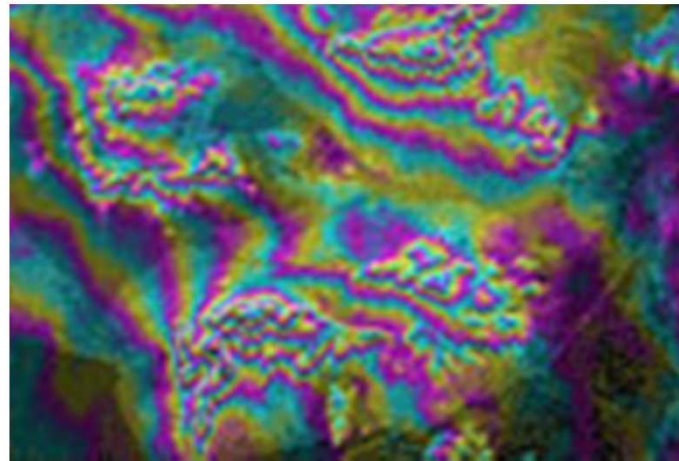


<http://hydrosheds.cr.usgs.gov/>

HydroSHEDS: Shuttle Radar Topography Mission



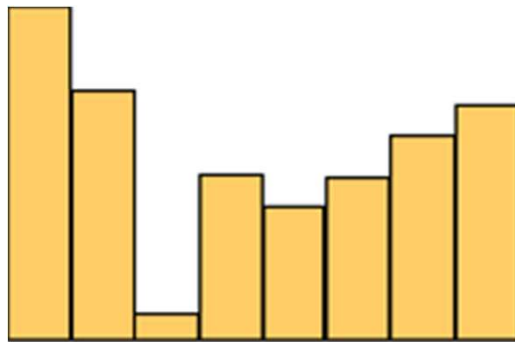
Reflected radar signals collected at two antennas, providing two sets of radar signals separated by a distance.



(<http://www2.jpl.nasa.gov/srtm/index.html>)

Watershed delineation in ArcGIS

Identifying and filling Sinks



Profile view of a sink

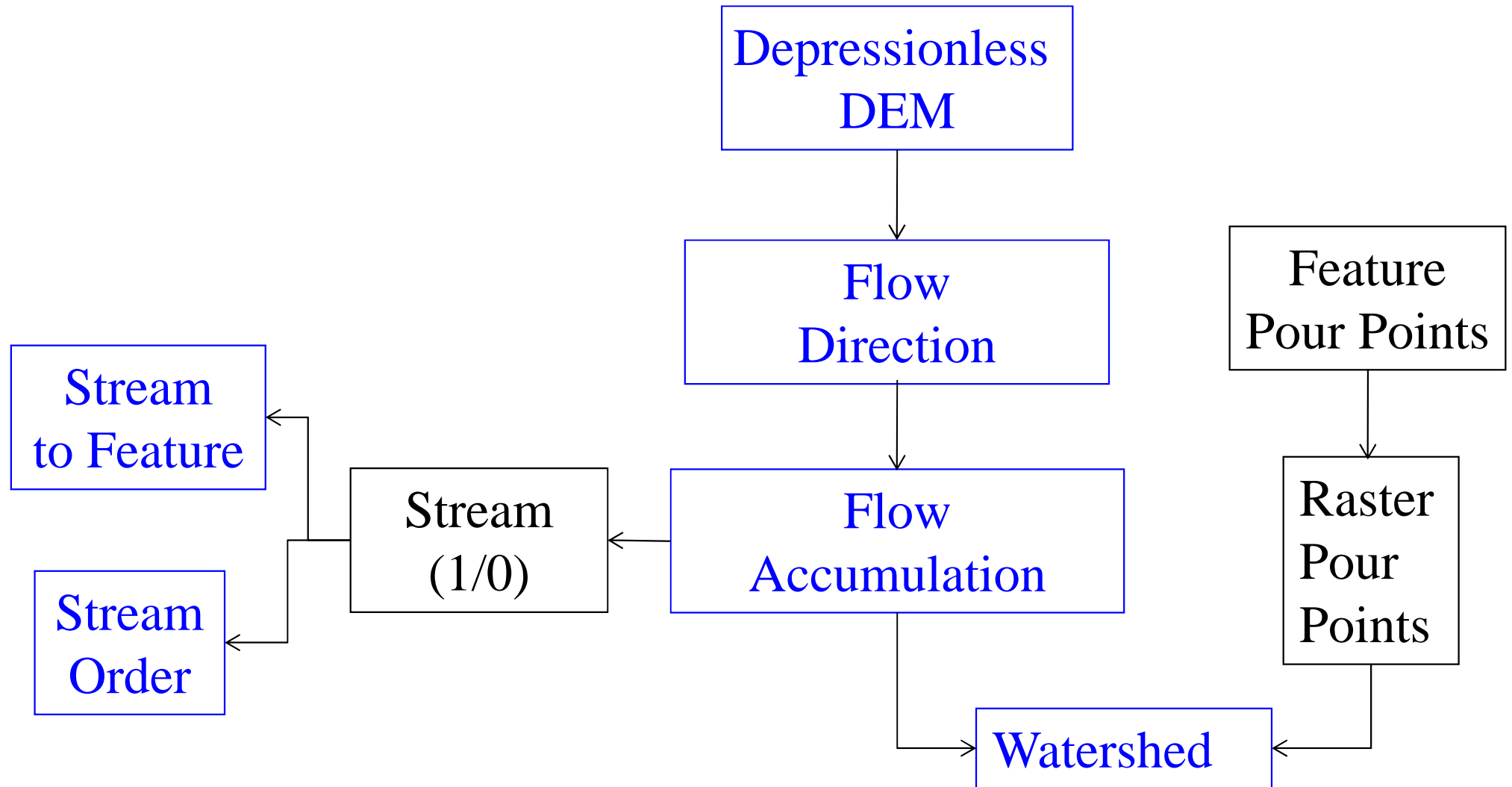
Sinks most likely to be imperfections in DEM

Remove before flow direction calculations!!

Coarser DEM => More sinks!

Peaks are more often natural, and cause fewer problems

Watershed delineation in ArcGIS



Watershed delineation in ArcGIS

Flow Direction

Where does the water flow from each cell?

78	72	69	71	58	49
74	67	56	49	46	50
69	53	44	37	38	48
64	58	55	22	31	24
68	61	47	21	16	19
74	53	34	12	11	12

Elevation surface



2	2	2	4	4	8
2	2	2	4	4	8
1	1	2	4	8	4
128	128	1	2	4	8
2	2	1	4	4	4
1	1	1	1	4	16

Flow direction

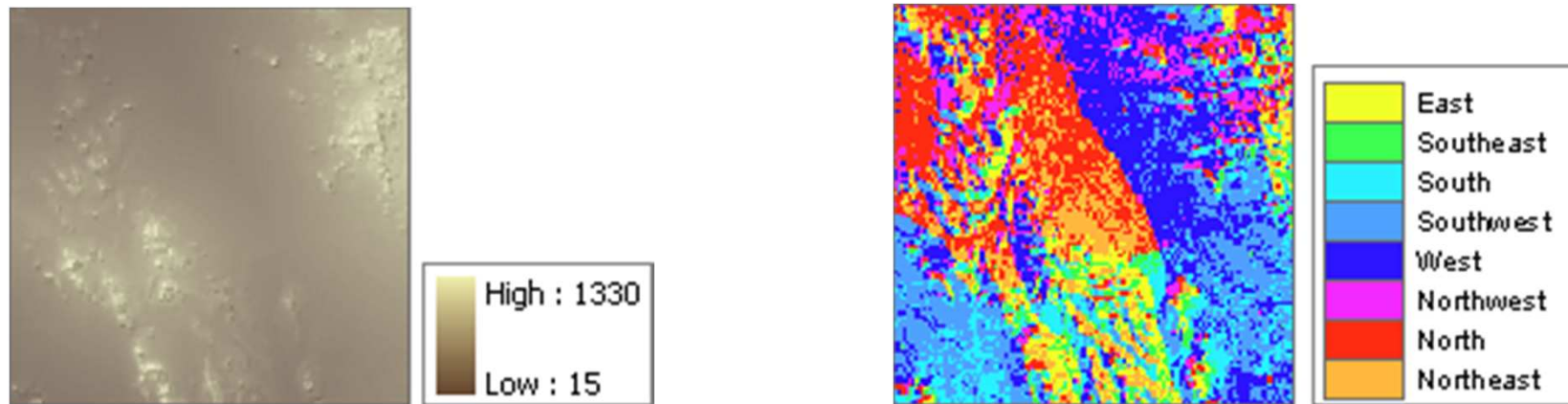
32	64	128
16		1
8	4	2

Direction coding

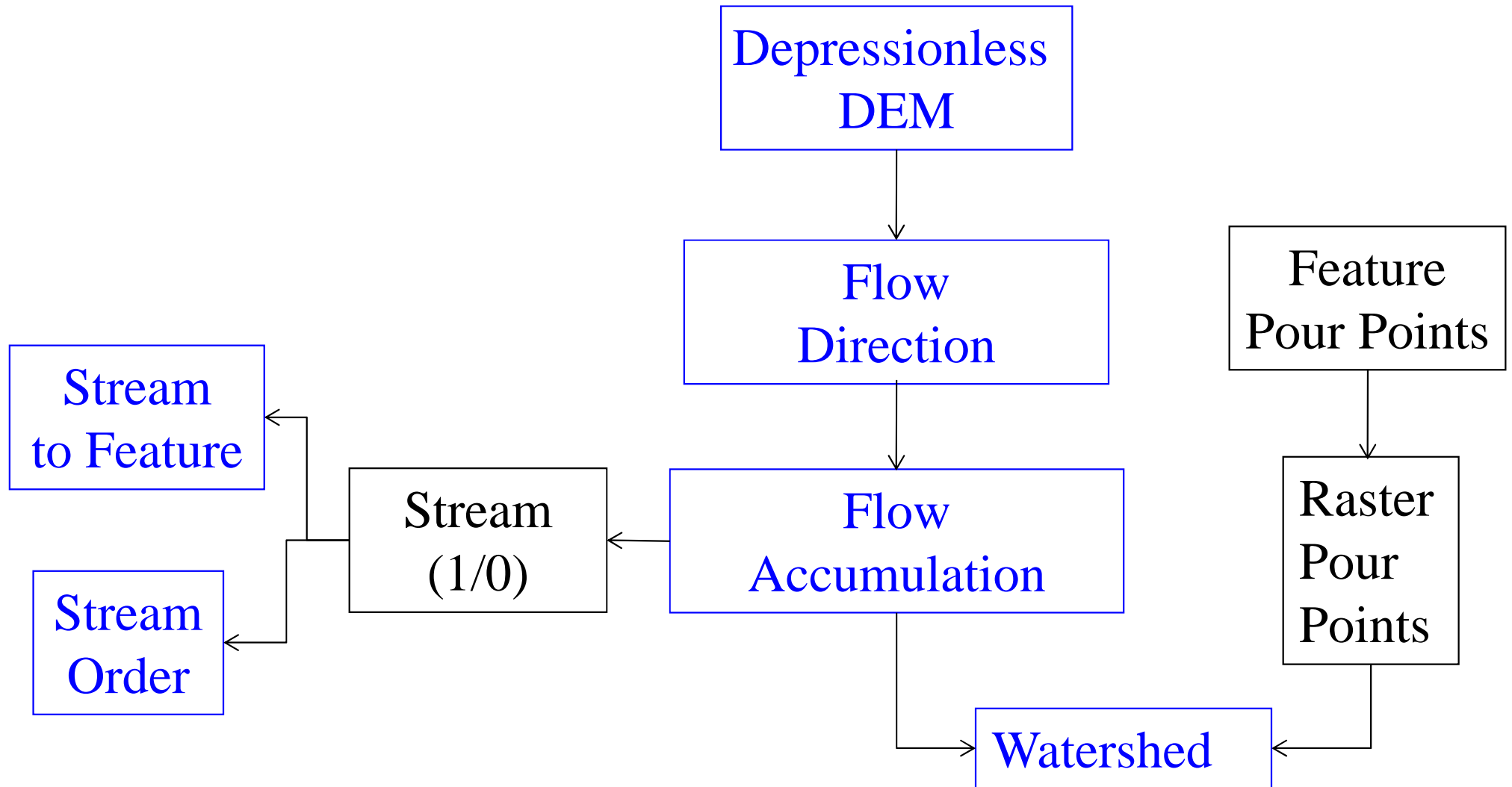
Watershed delineation in ArcGIS

Flow Direction

Where does the water flow from each cell?

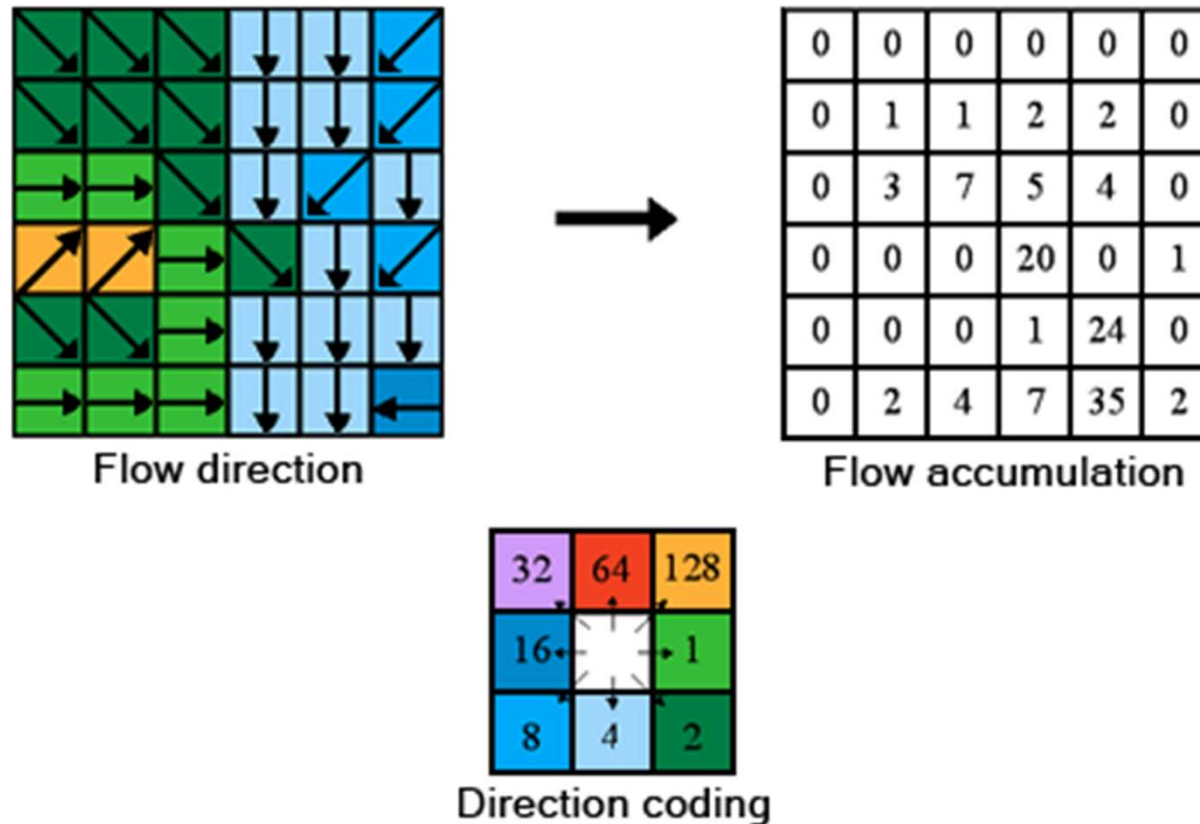


Watershed delineation in ArcGIS



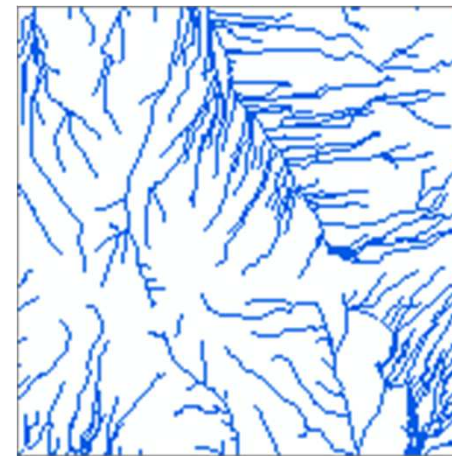
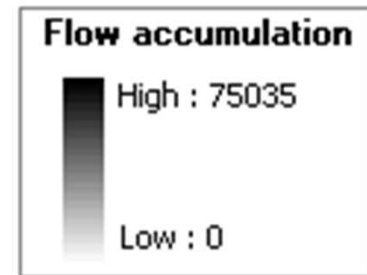
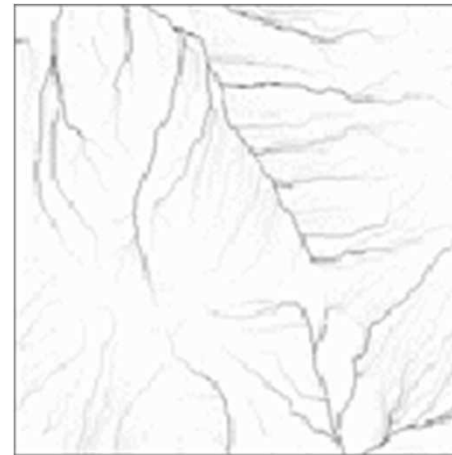
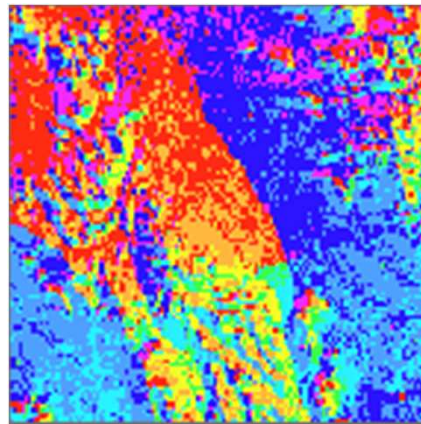
Watershed delineation in ArcGIS

Flow accumulation



Watershed delineation in ArcGIS

Flow accumulation

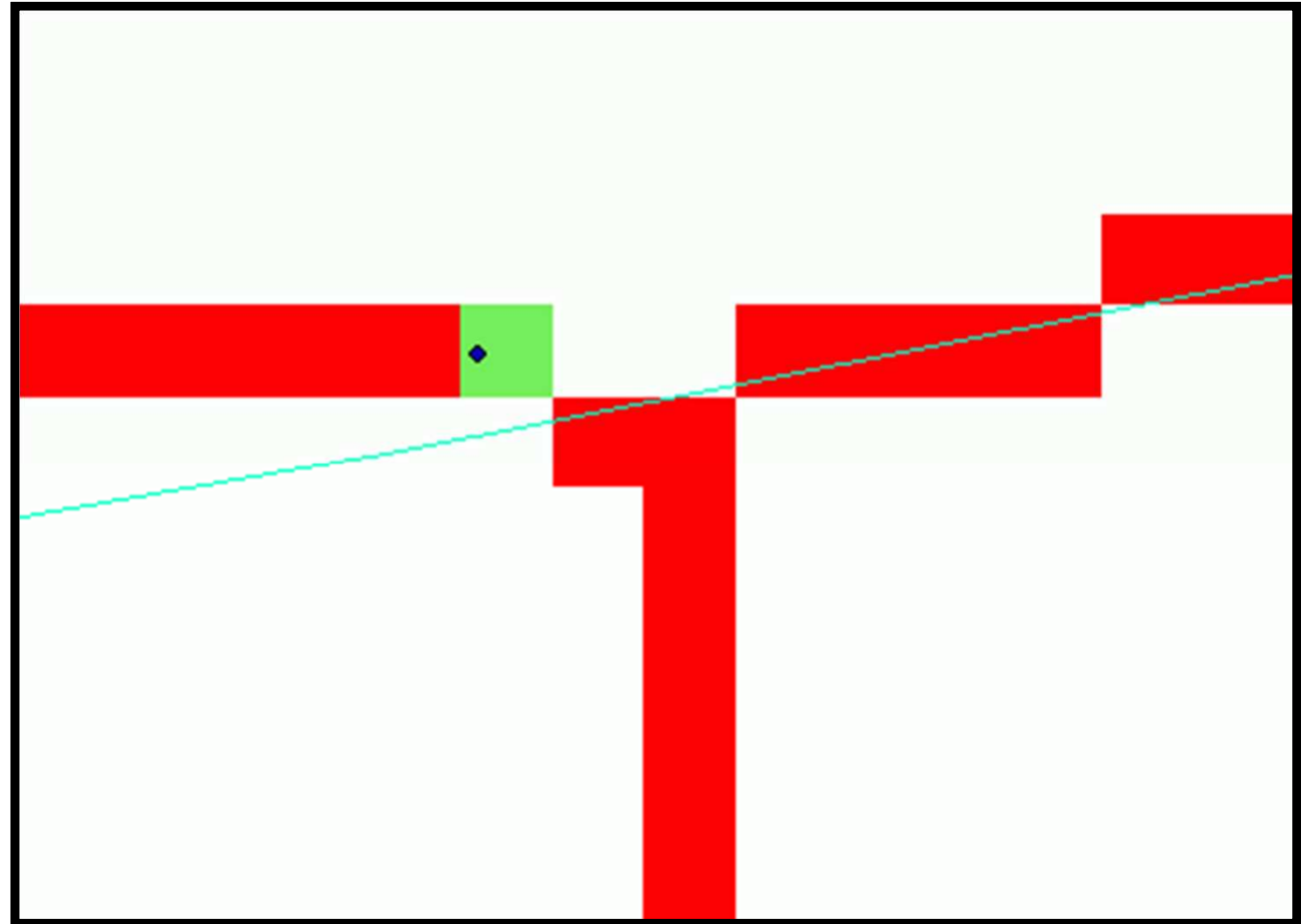


Watershed delineation in ArcGIS

Pour points:

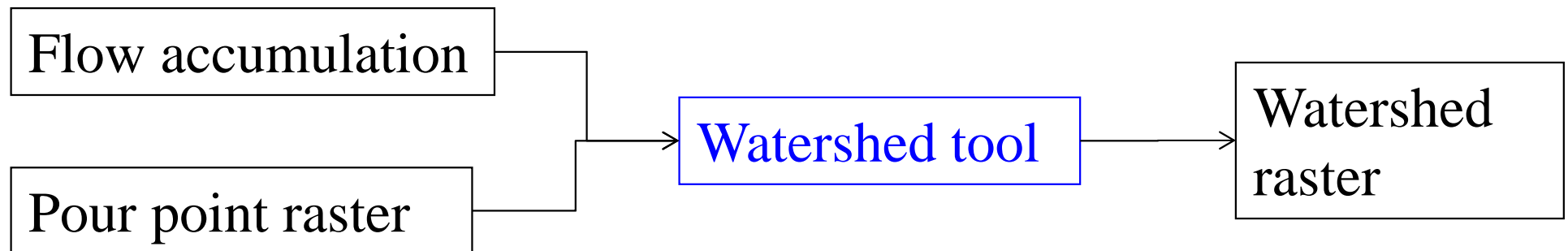
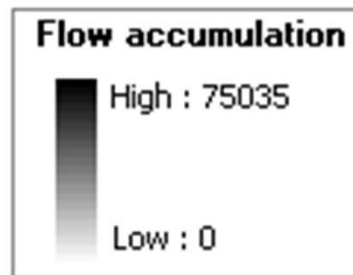
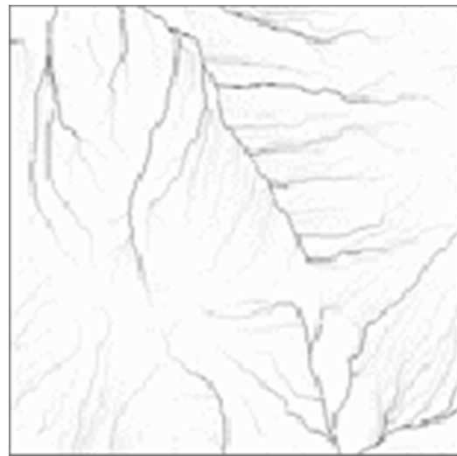
- 1) Create in a feature class
- 2) Convert to raster

=> Ensure you are in a high flow accumulation grid cell!!

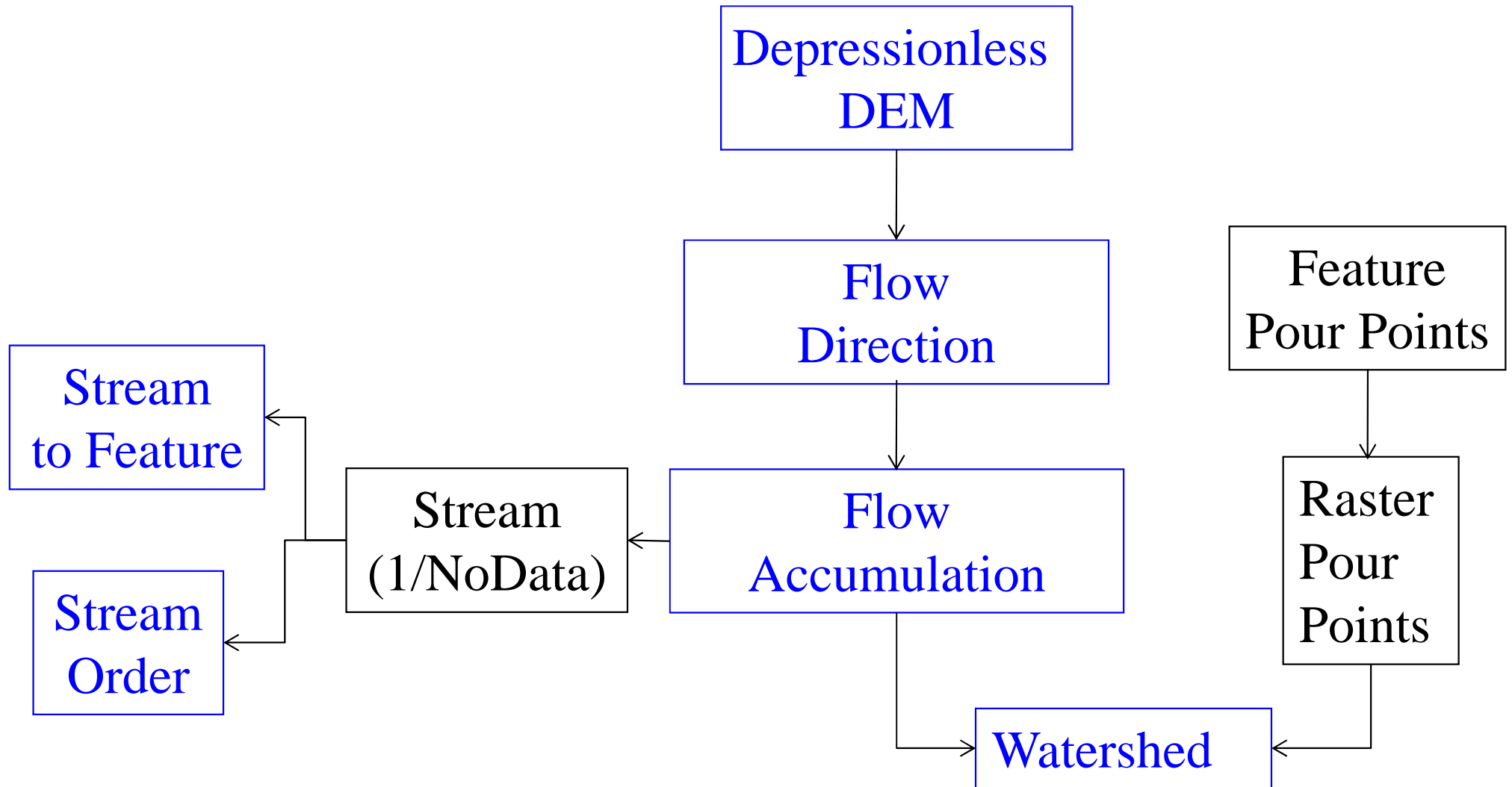


Watershed delineation in ArcGIS

Watershed

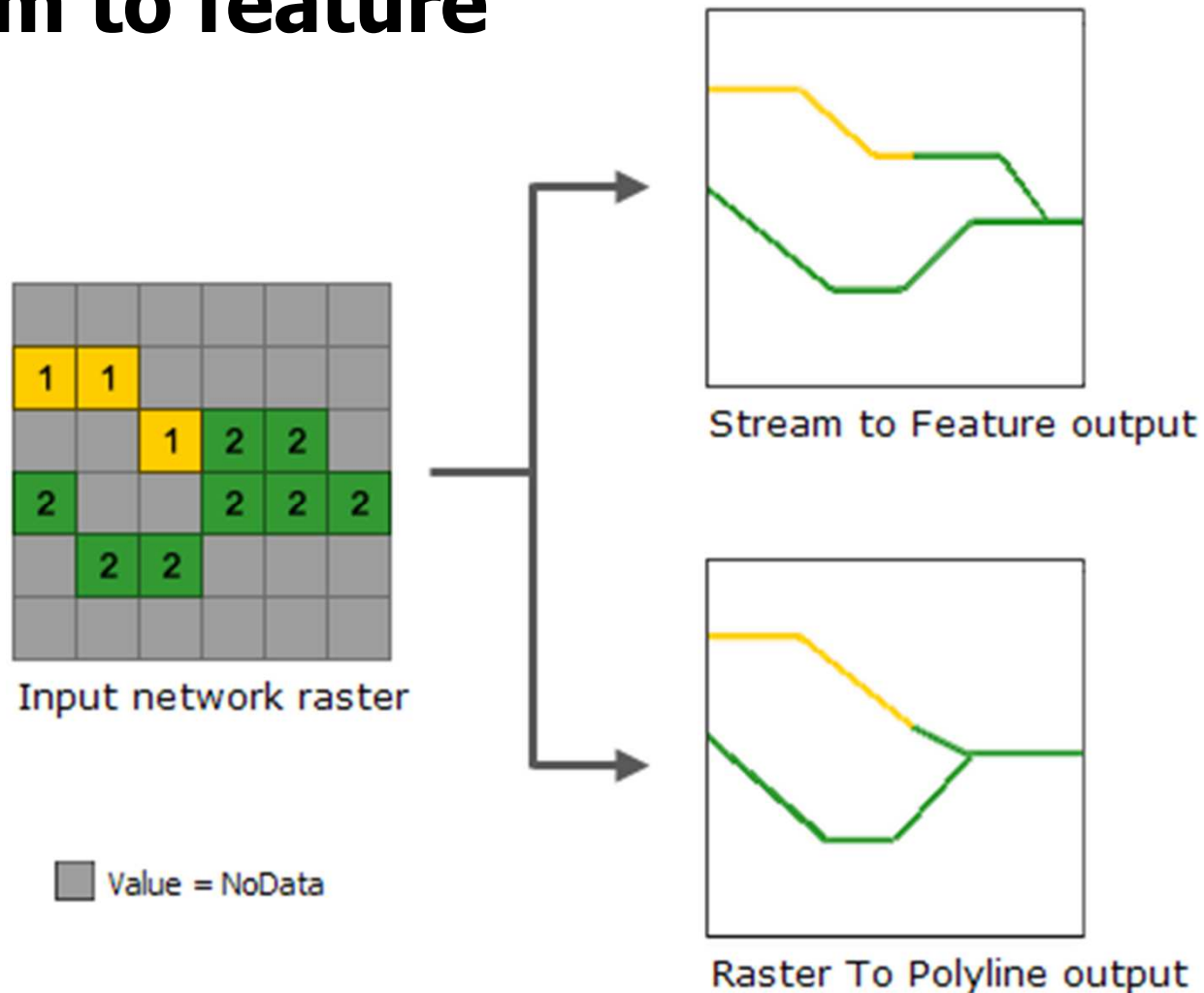


Watershed delineation in ArcGIS



Watershed delineation in ArcGIS

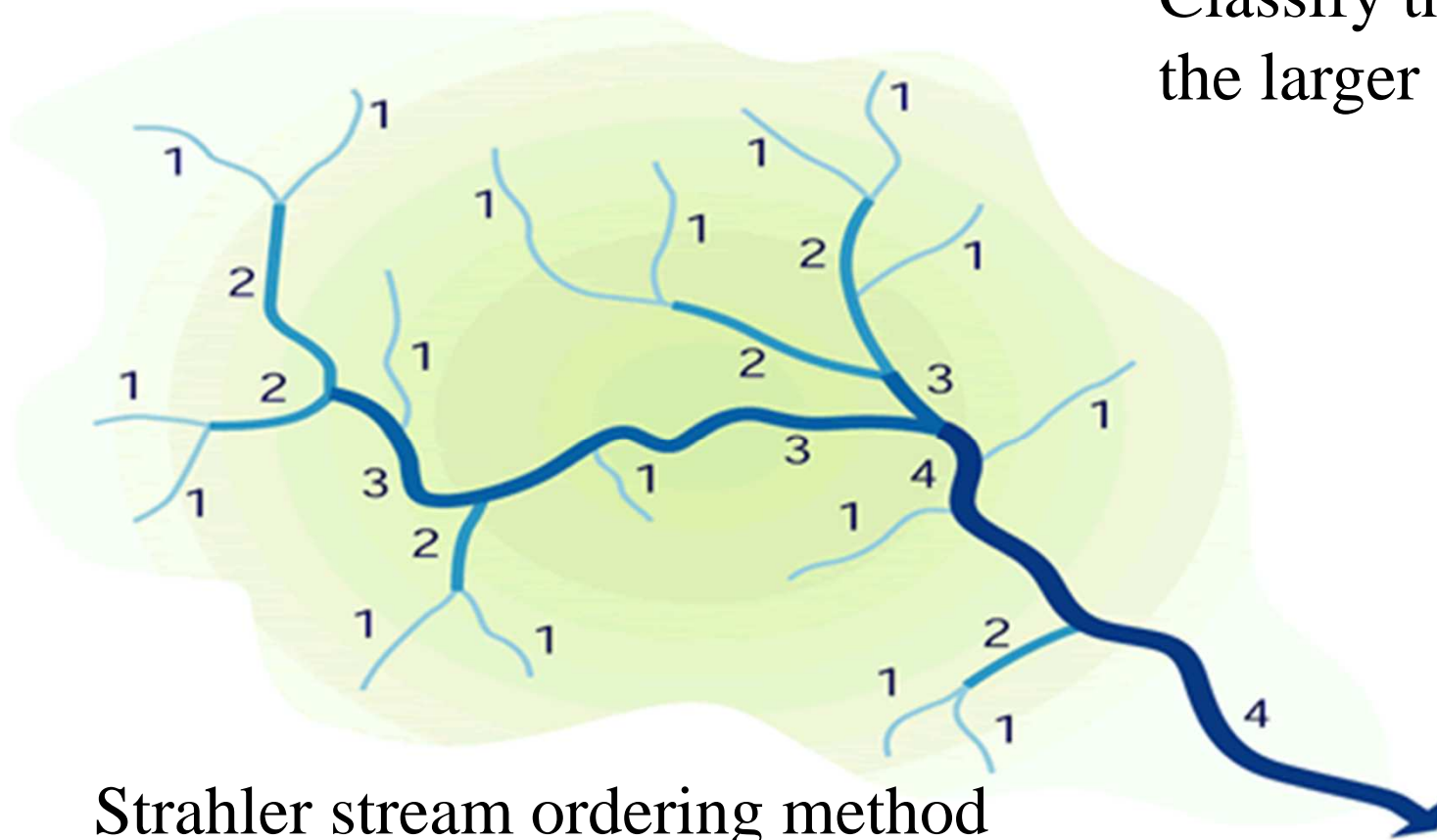
Stream to feature



Watershed delineation in ArcGIS

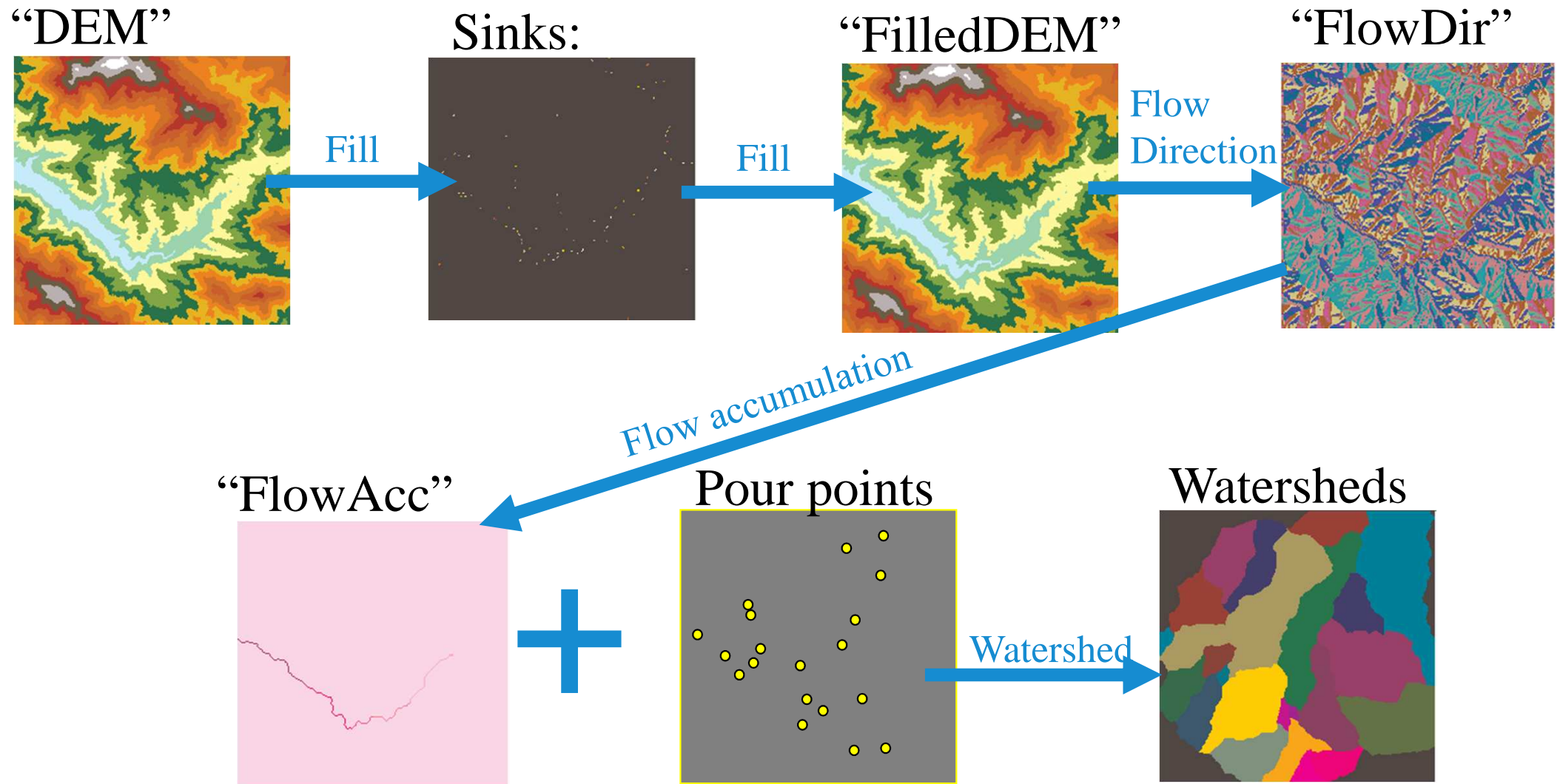
Stream Ordering

Classify the reach within the larger river system



Strahler stream ordering method

Watershed delineation in ArcGIS



Case Studies



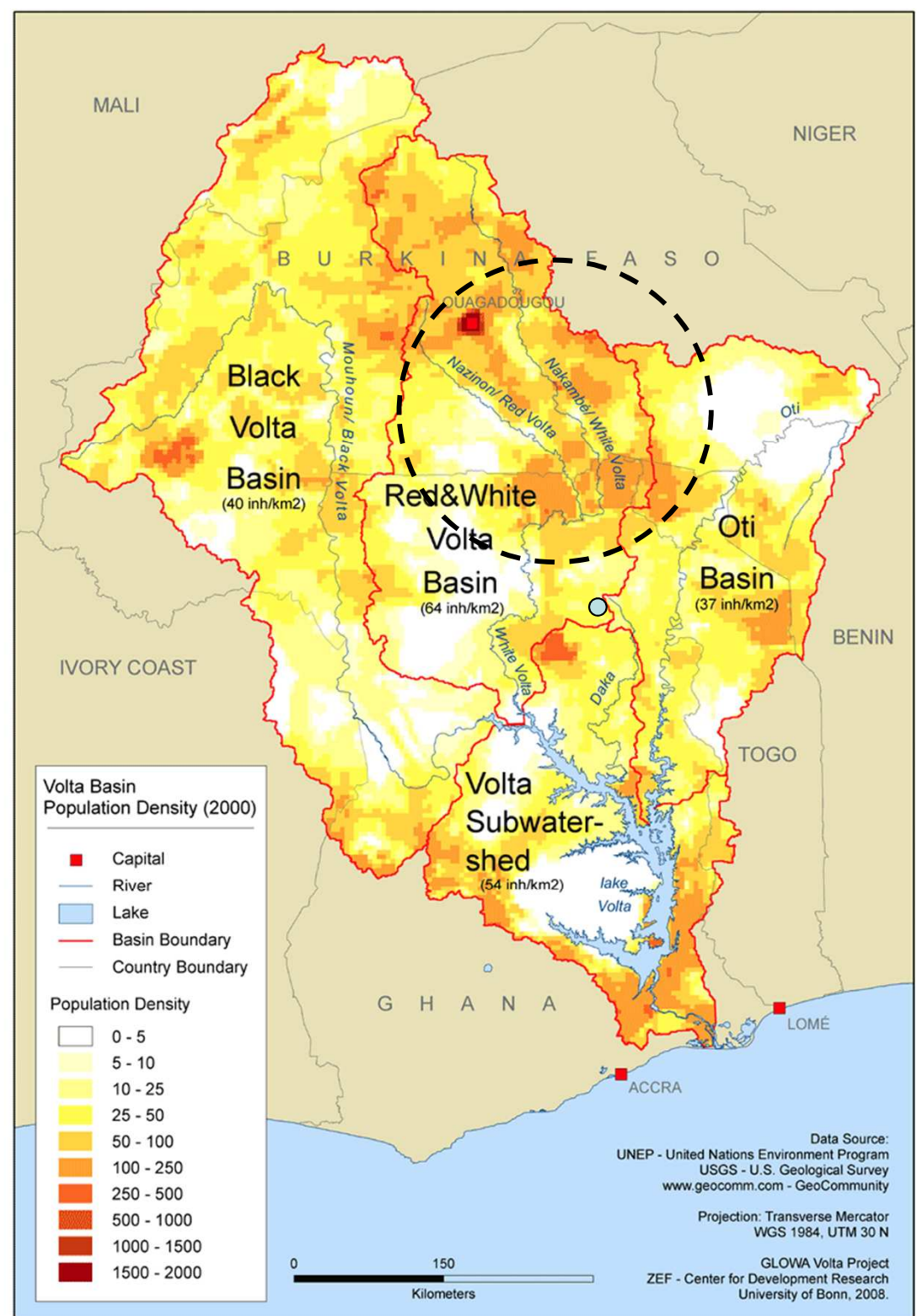
Dr. Markus Hrachowitz,
UD, Hydrology



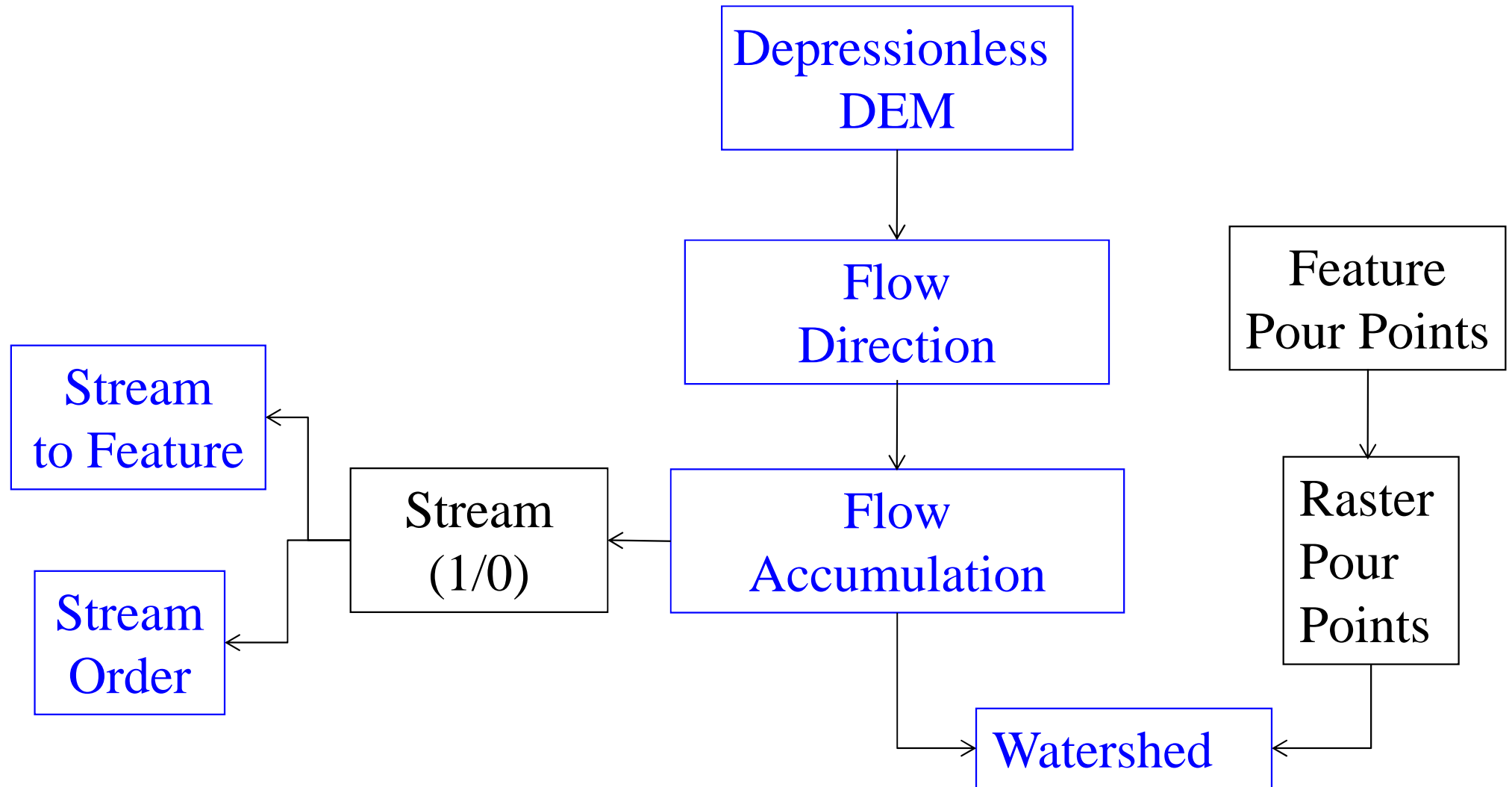
Rolf Hut,
PhD student, Water Resources Management

Assignment 3:

In this assignment, you will use ArcGIS to delineate the watershed of the Red and White Volta basin and to study the stream network.



Watershed delineation in ArcGIS



Sources images

If a website or source is not added to a picture, then the image is taken from the ArcGIS software or from www.esri.com.