

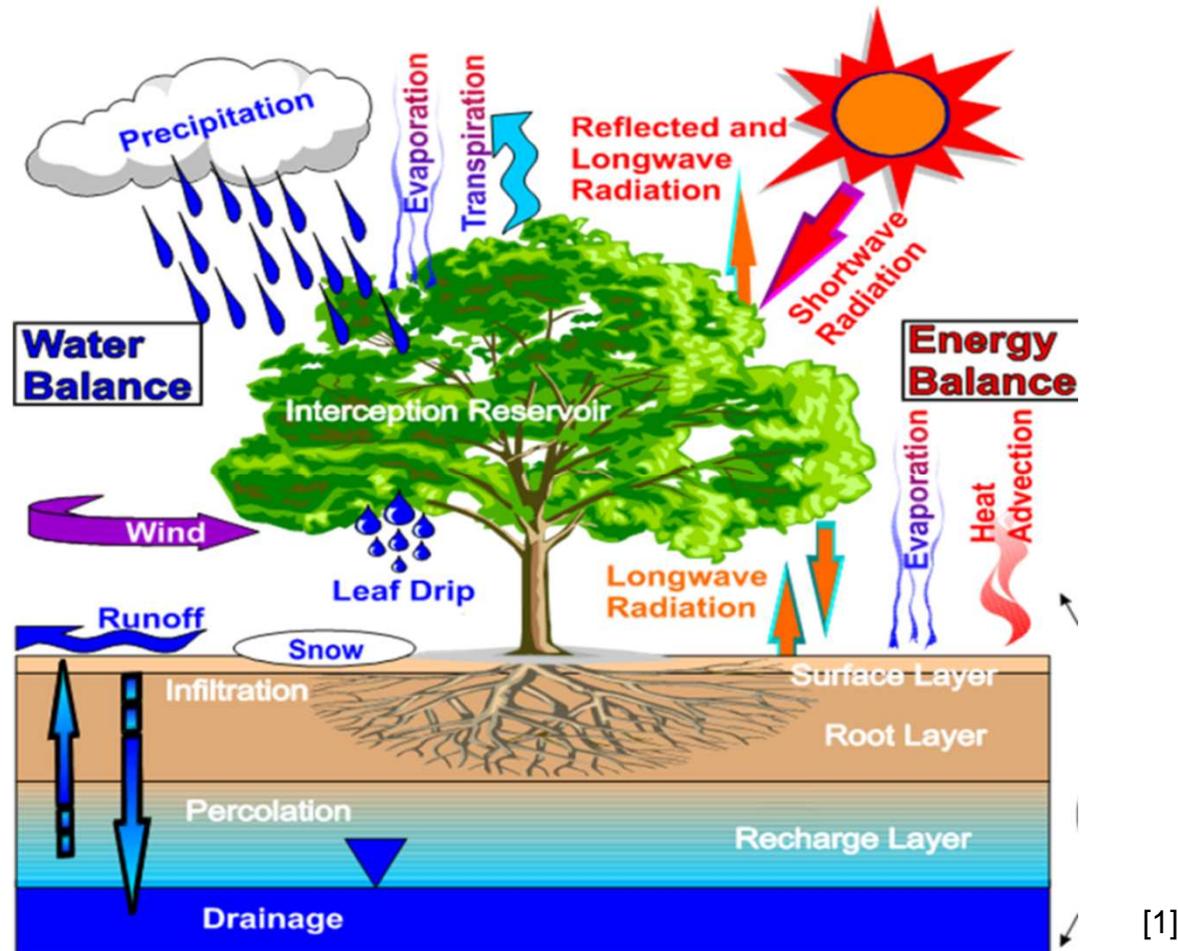
# Spatial Tools in Water Resource Management

Wim Bastiaanssen

5. Basic physics of remote sensing and the surface energy balance

# PI MAPPING

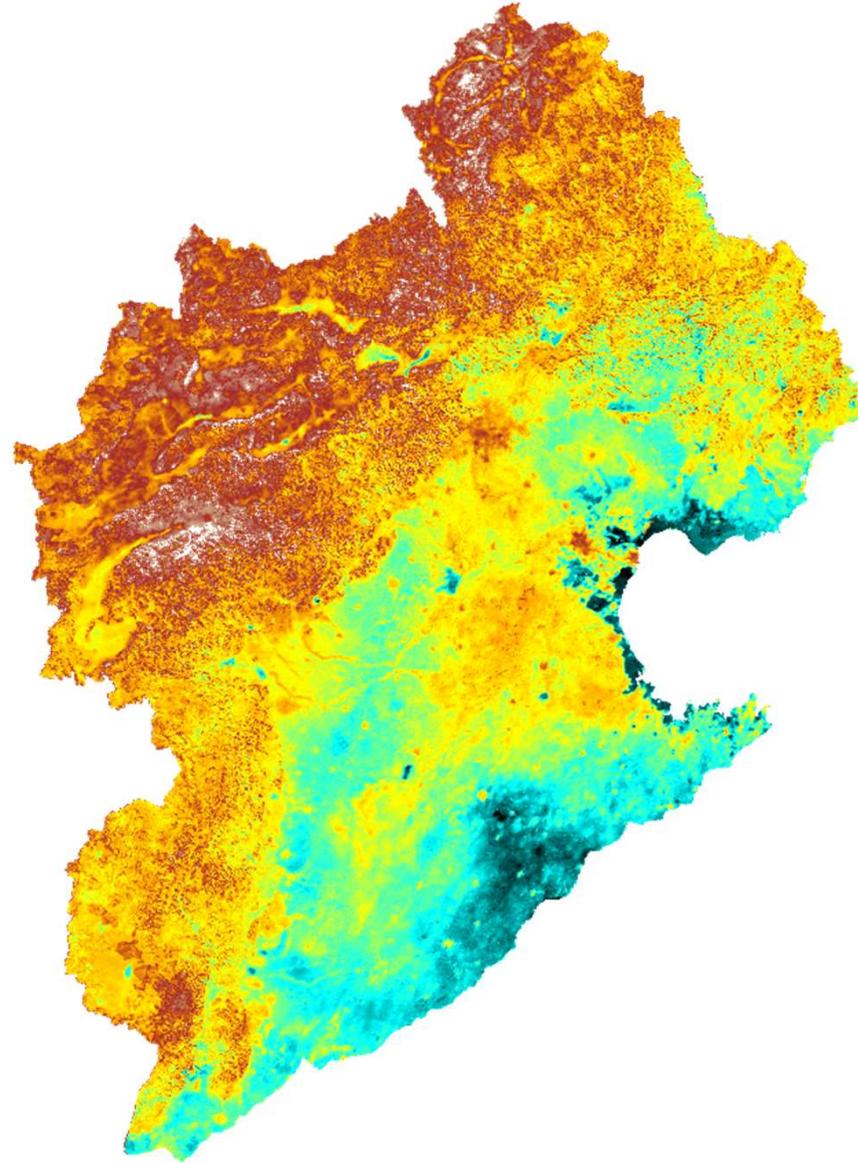
## *Pixel Intelligence (PI) – Mapping Technology*



*PI Mapping computes the water, energy, radiation and heat balance of vegetated land surfaces*

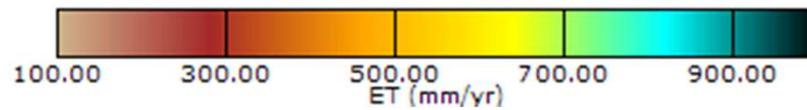
# Annual ET Hai Basin 2003

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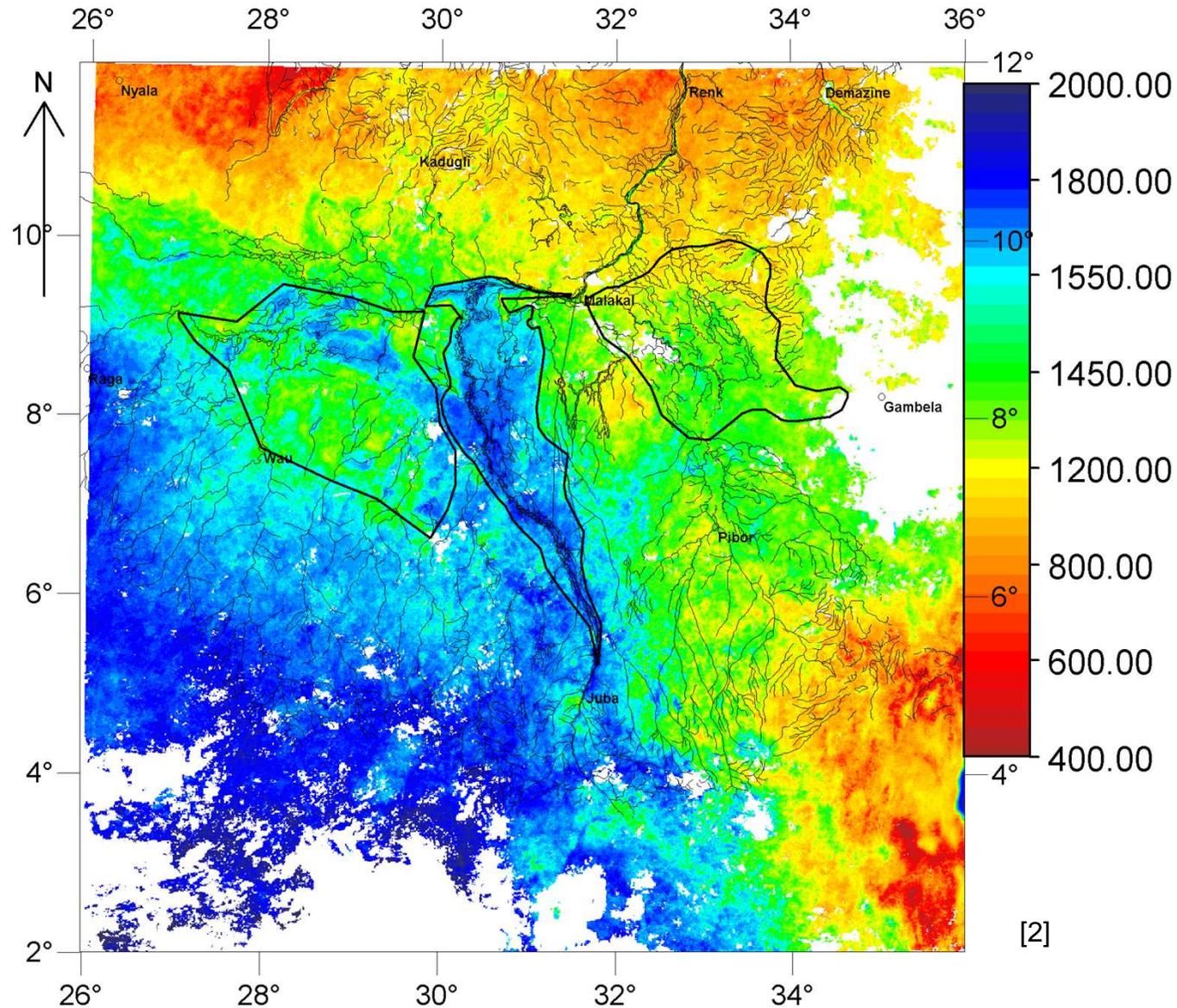
*Hai basin*

*China*



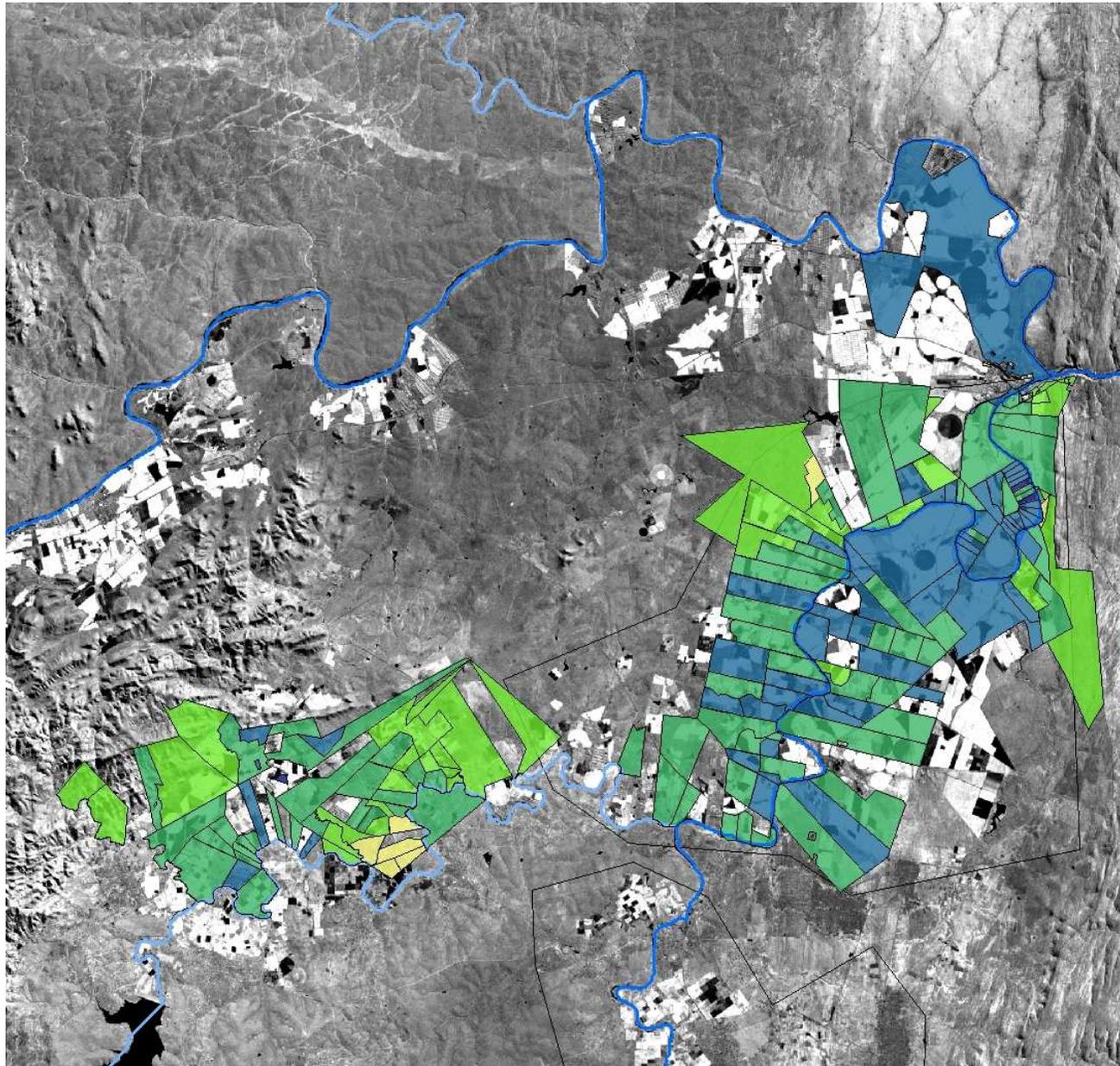
# Wetland ET (Sudd and adjoining marshes)

## Annual evap Year 2000



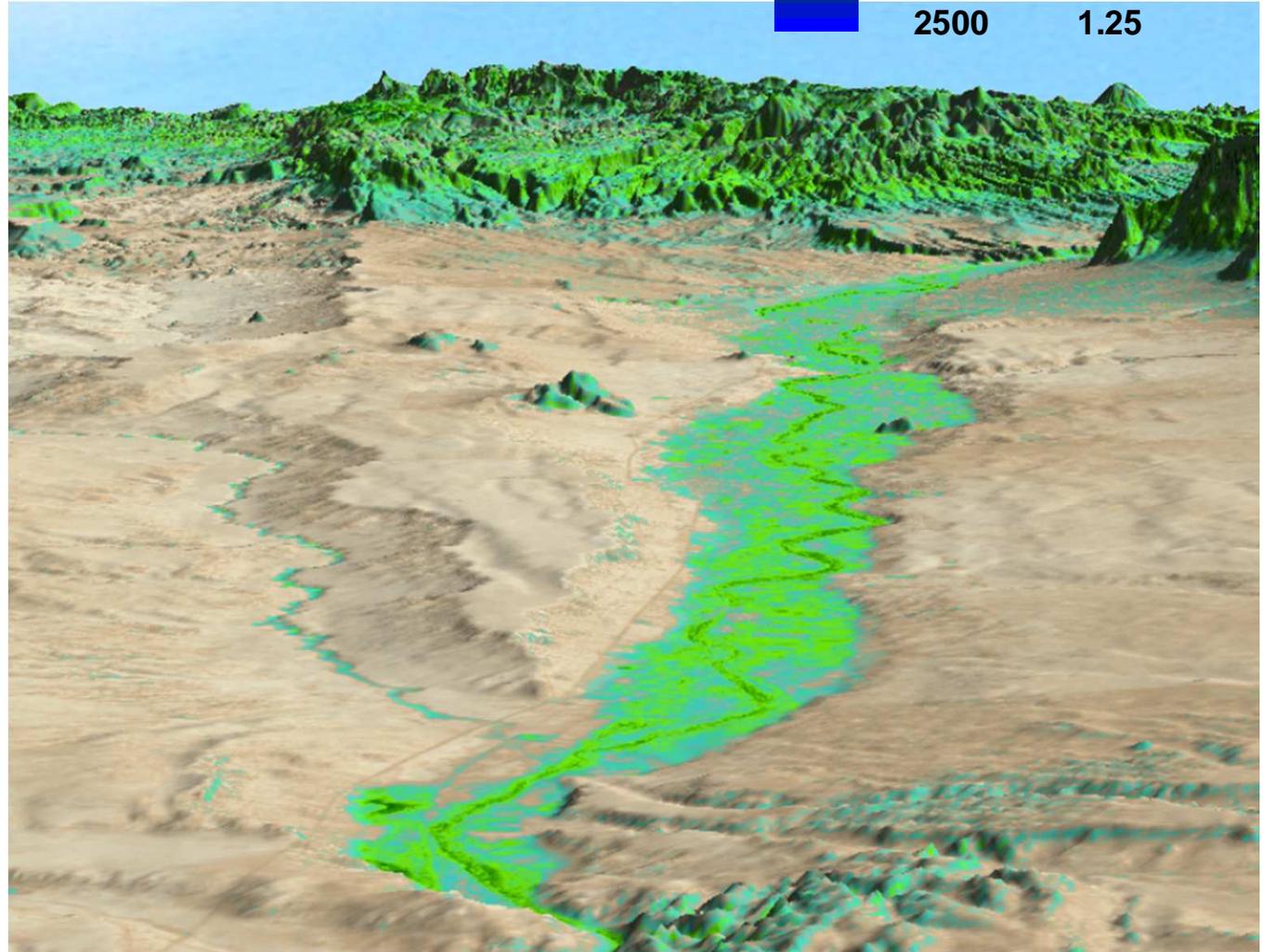
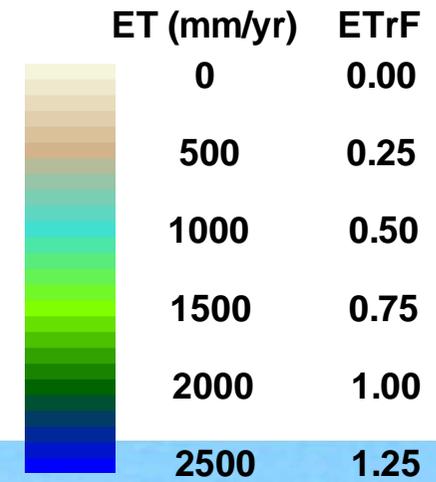
# Estimate water use per plot

---



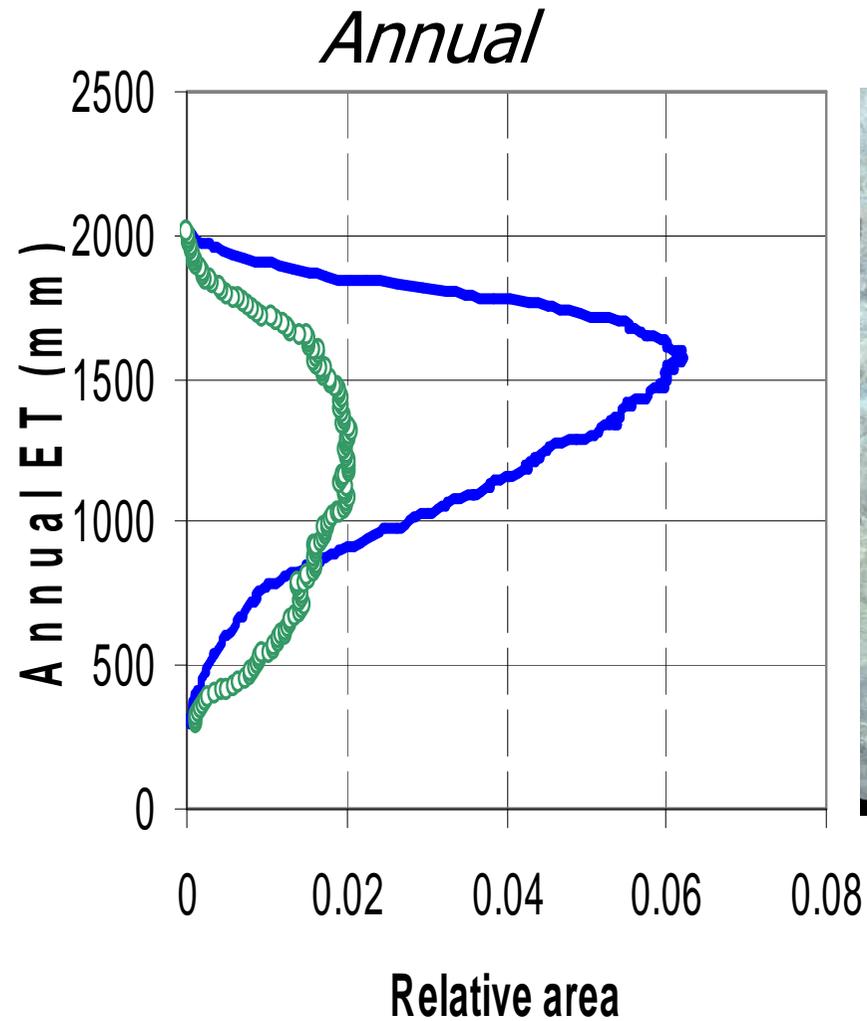
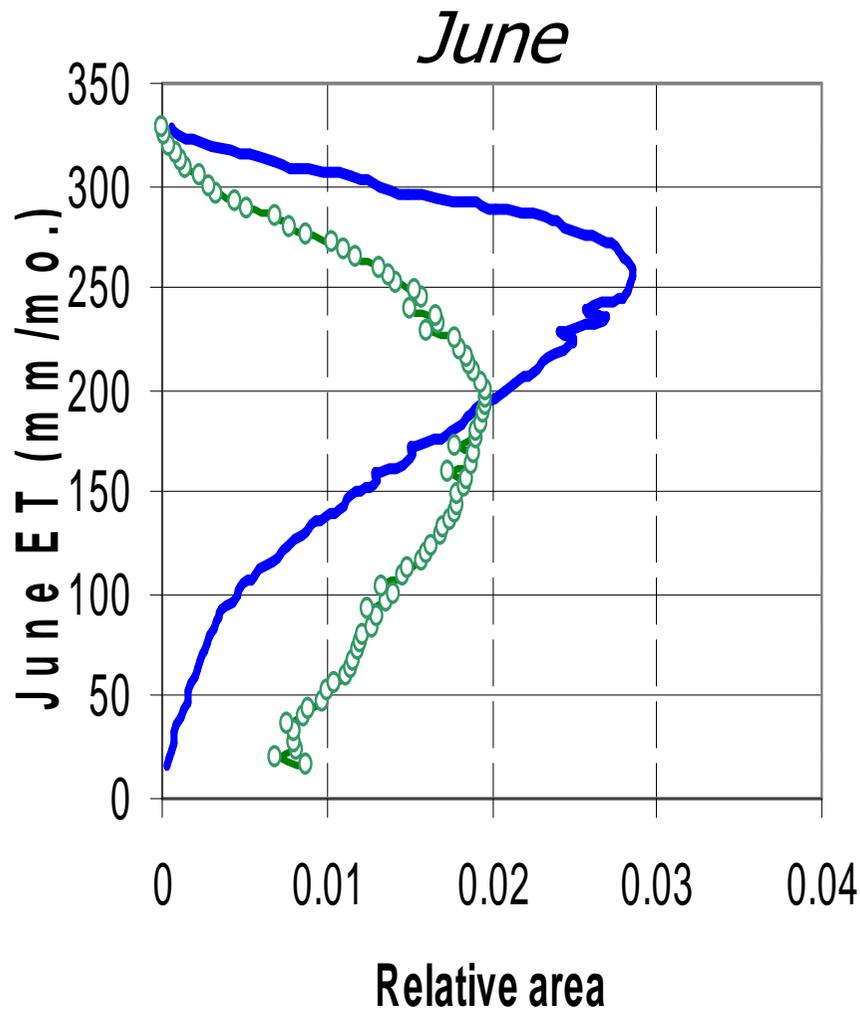
# *METRIC* *applications*

## *Middle Rio Grande of New Mexico*



# Frequency Distribution of ET

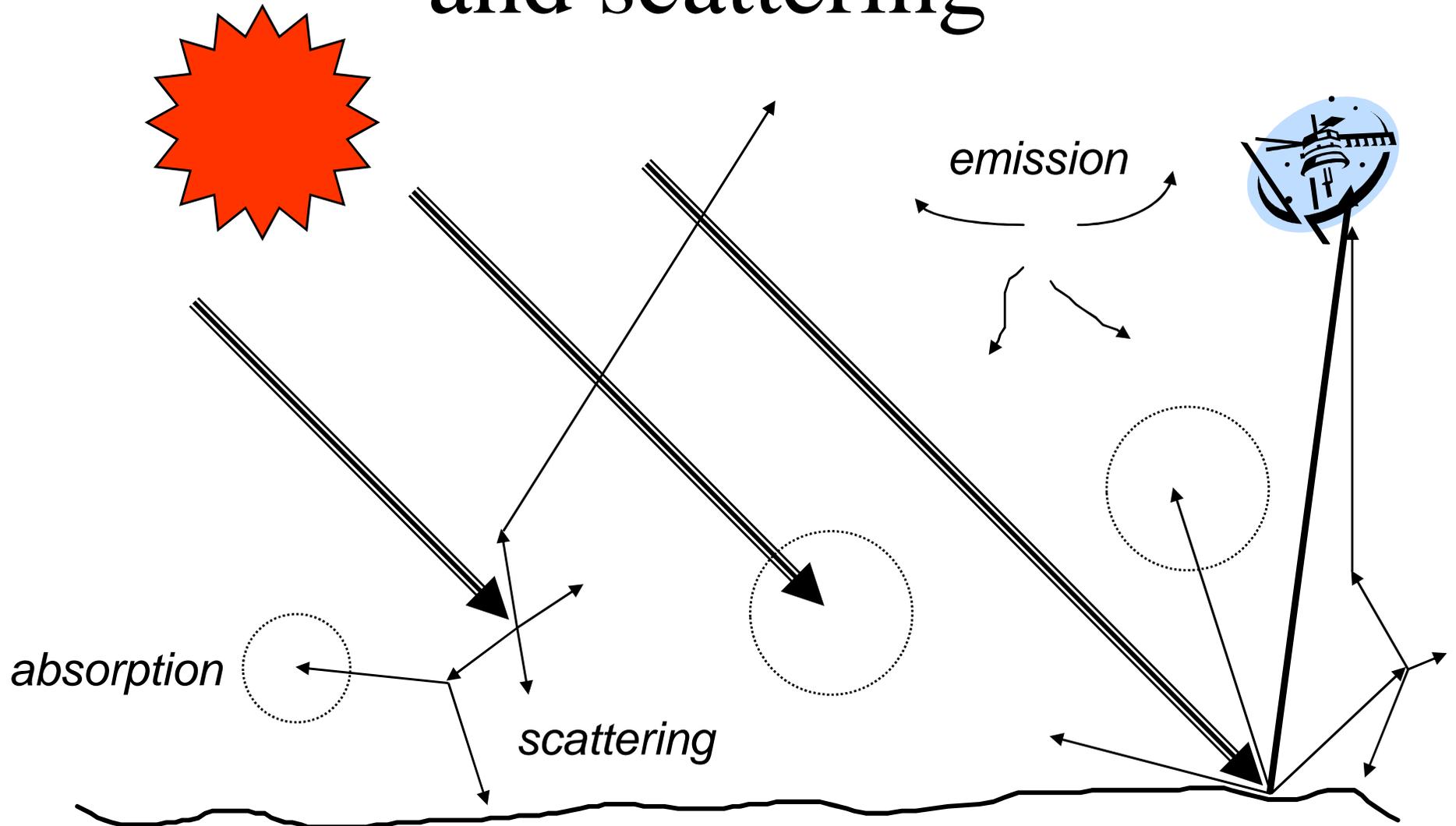
*15,000 acres of cottonwood and salt cedar*

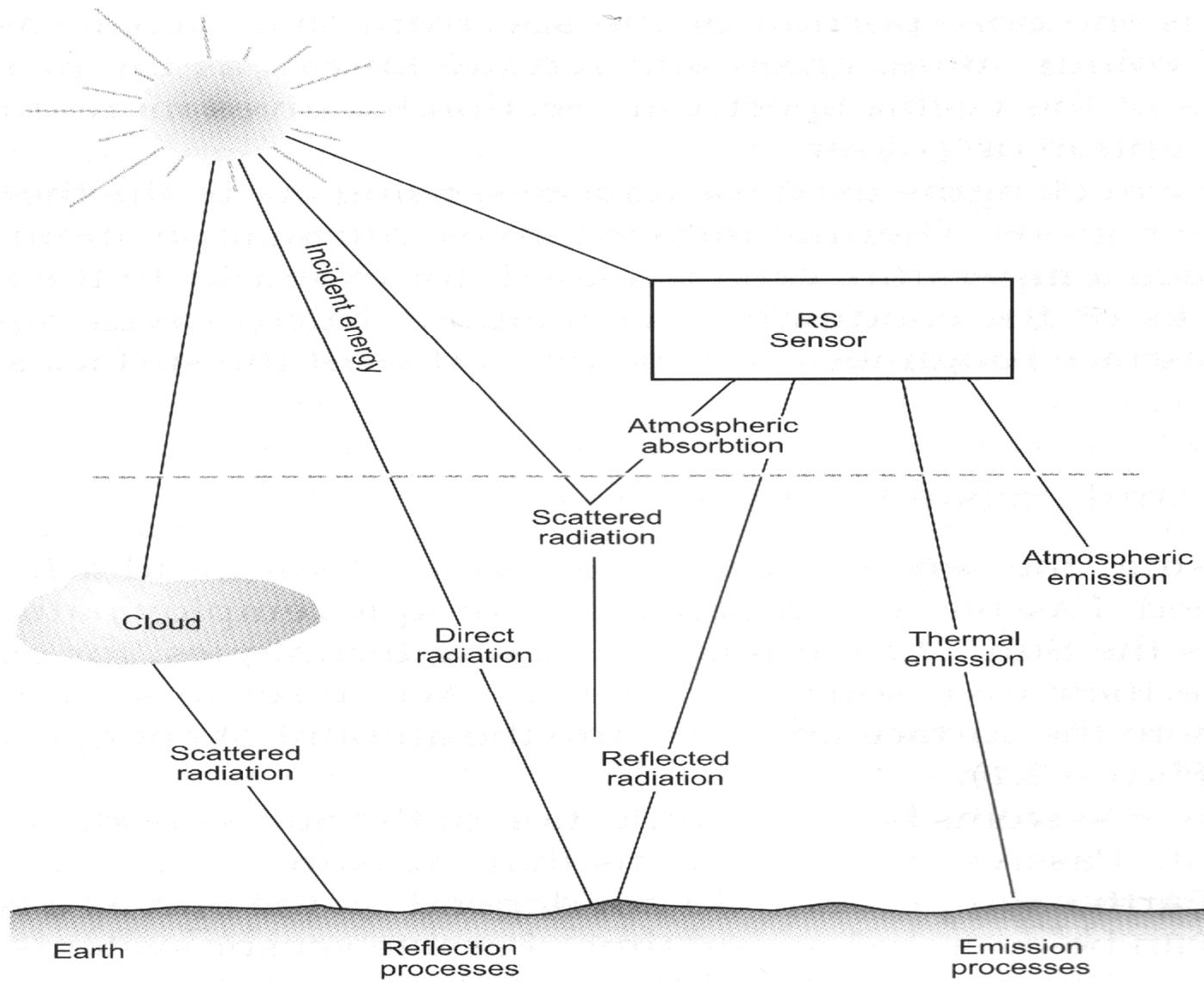


— Cottonwoods    —○ Saltcedar

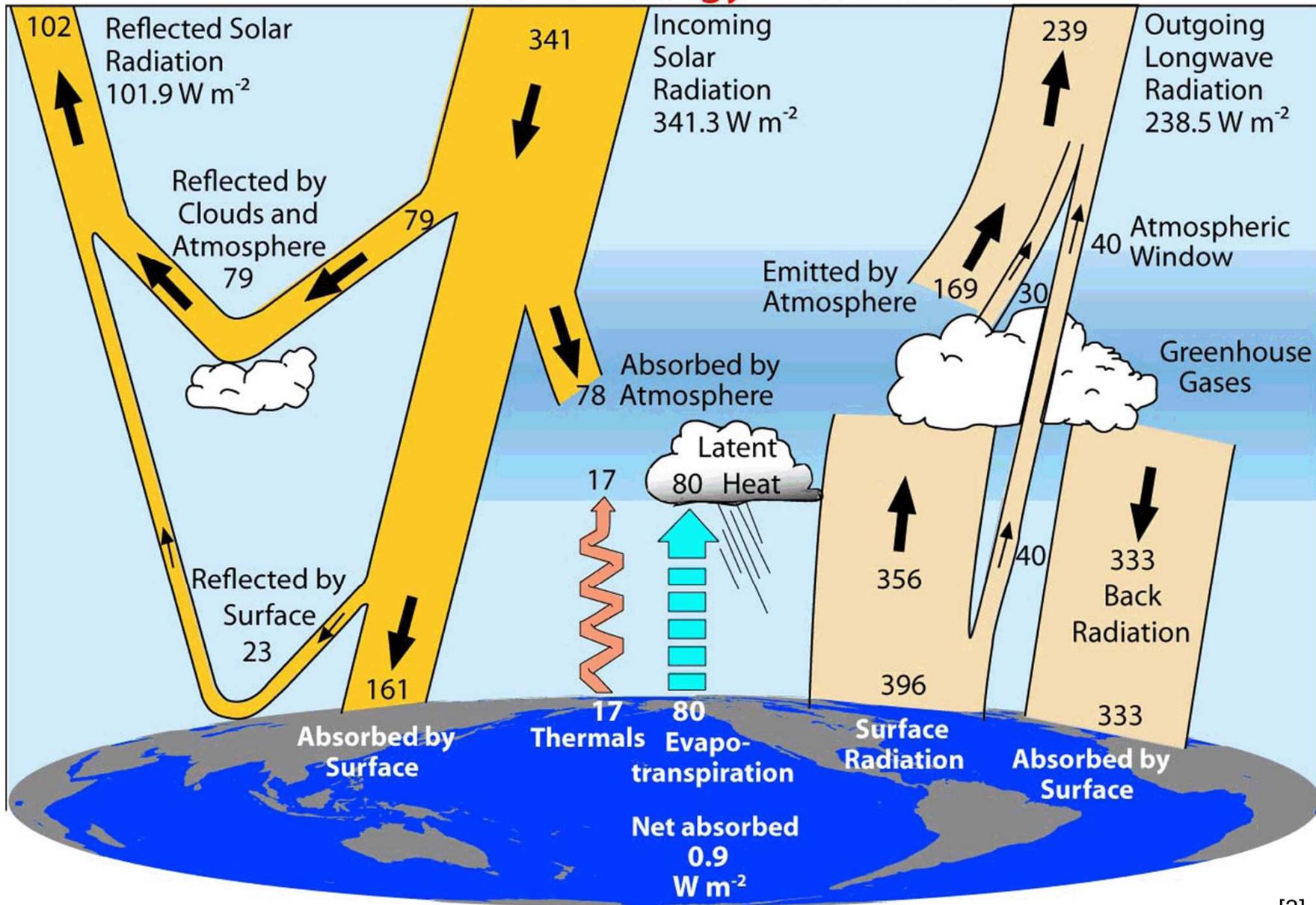
— Cottonwoods    —○ Saltcedar

# Atmospheric absorption and scattering

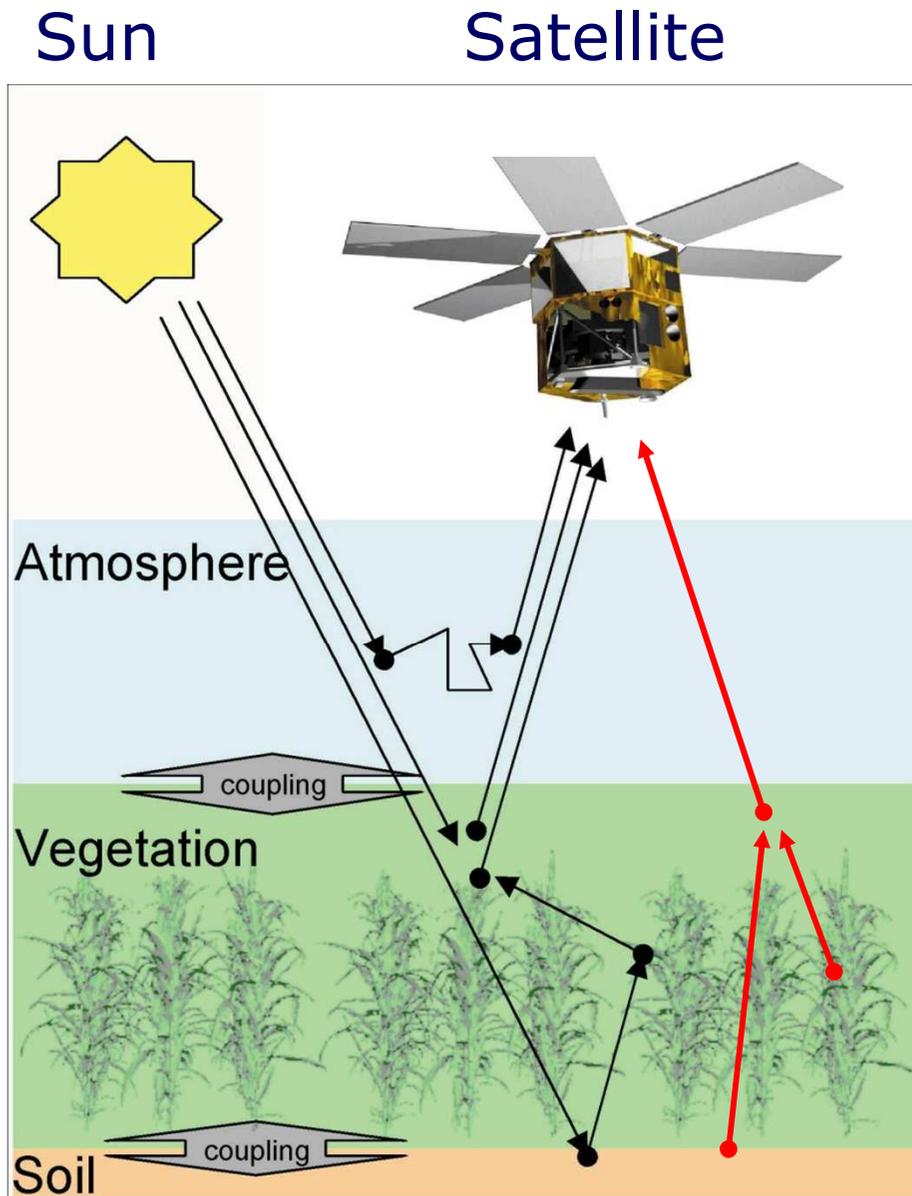




# Global Energy Flows $W m^{-2}$



# Remote Sensing



Two sources of radiation:

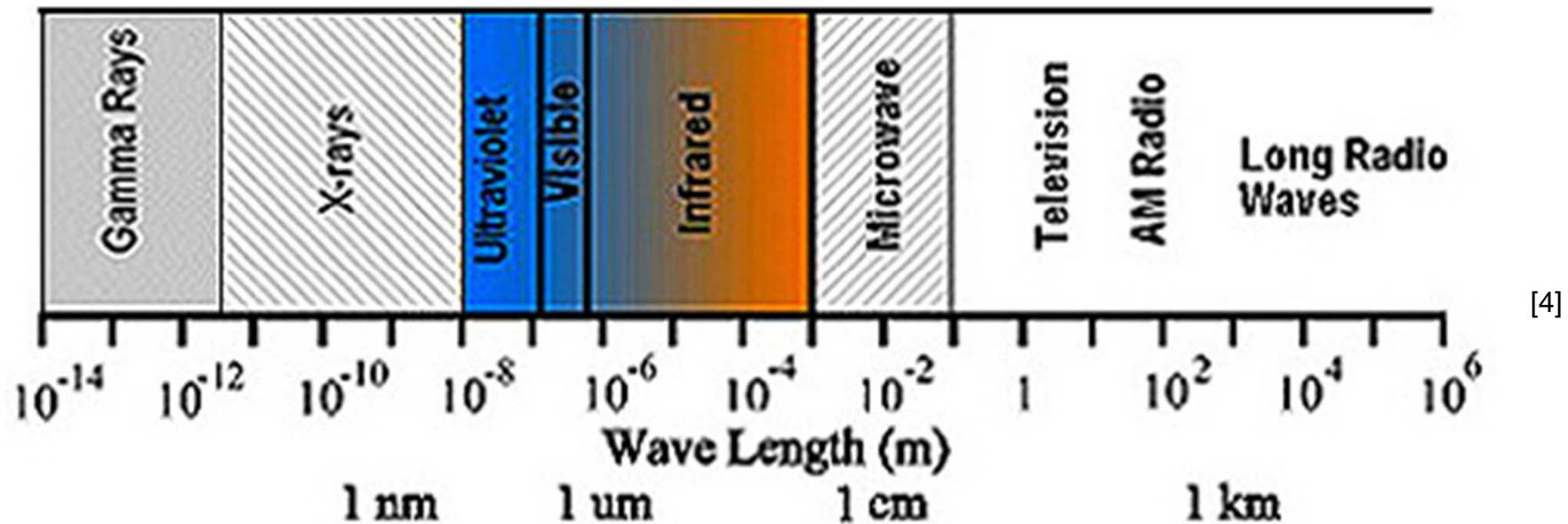
Solar radiation

- visible
- near infrared
- short-wave infrared

Earth Surface

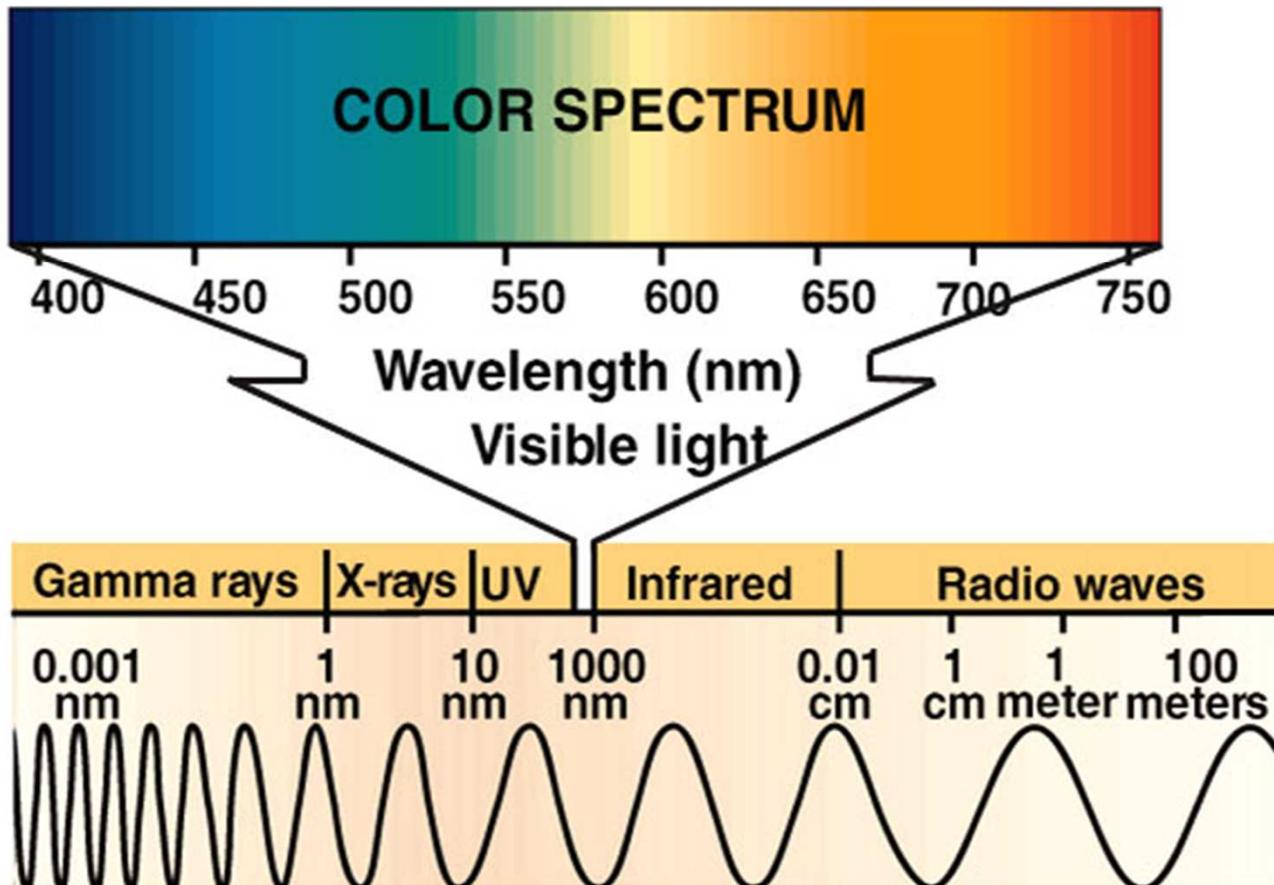
- thermal infrared
- microwave

# What can remote sensing measure?

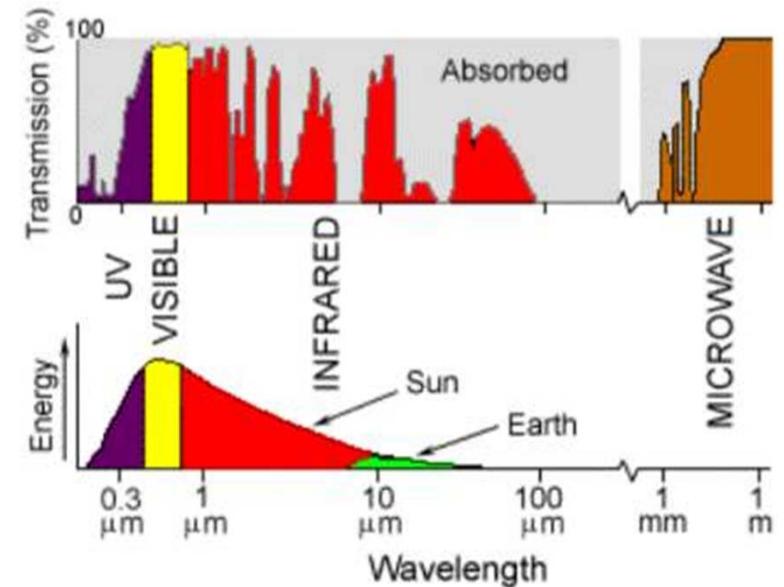
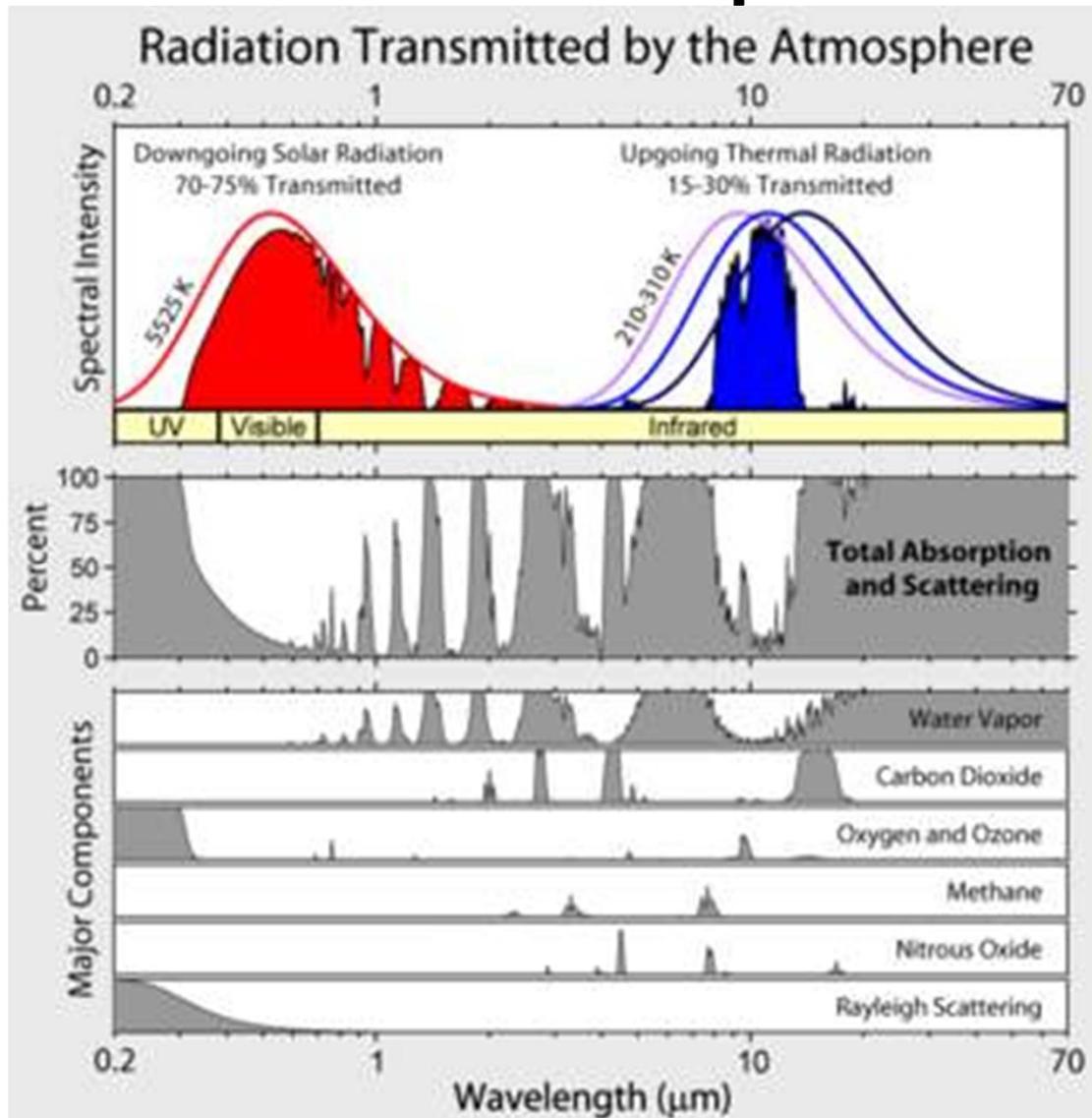


- Optical wavelength region:
- Reflective: visible [0.38 – 0.72  $\mu$ m]
- near infrared [0.72-1.30  $\mu$ m]
- middle infrared [1.30-3.00  $\mu$ m]
- Thermal, Emissive: far infrared [7.00-15.0  $\mu$ m]

# Visible spectrum



# Atmospheric windows



[5]

[6]

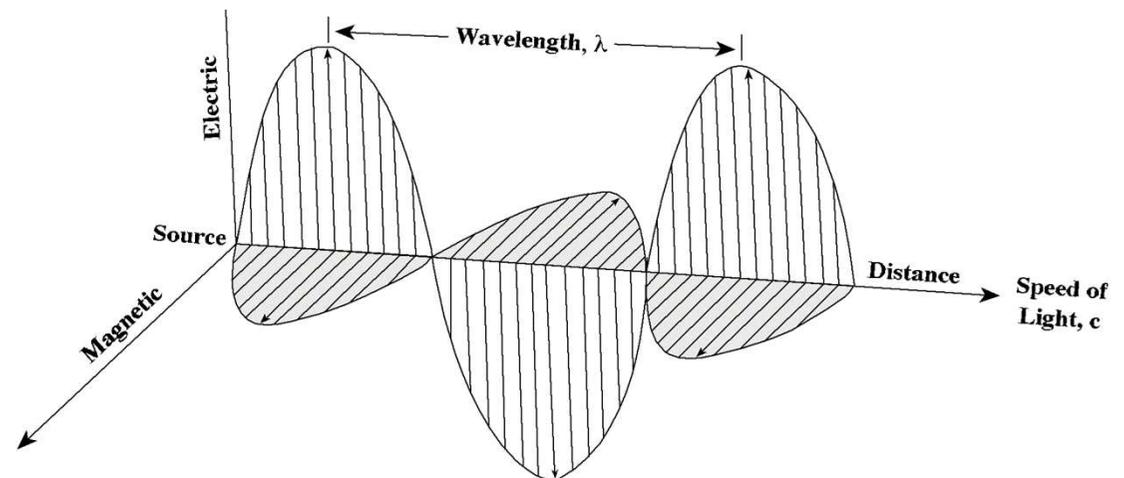
## Relation between frequency and wavelength

$$c = \lambda \nu, \text{ so } \nu = \frac{c}{\lambda} \text{ and } \lambda = \frac{c}{\nu}$$

$c$  speed of light, m/s

$\lambda$  wavelength, m (but we often use  $\mu\text{m}$  or nm)

$\nu$  frequency, Hz ( $\text{s}^{-1}$ )



# Frequency-wavelength relation

- Generally in the microwave part of the spectrum we use frequency instead of wavelength
- Typically measured in  $s^{-1}$ , called *Hertz* (Hz)
  - Most often Gigahertz (GHz)  
=  $10^9\text{Hz}$

$$\text{Frequency } \nu = \frac{c}{\lambda}$$

where  $c$  = speed of light  
 $= 3.00 \times 10^8 \text{ m s}^{-1}$

# Planck equation, details

*Planck's equation  
(the spectral curves  
shown)*

$$L_{\lambda} = \frac{2hc^2}{\lambda^5 (e^x - 1)}, \text{ where } x = \frac{hc}{k\lambda T}$$

*Stefan-Boltzmann  
equation*

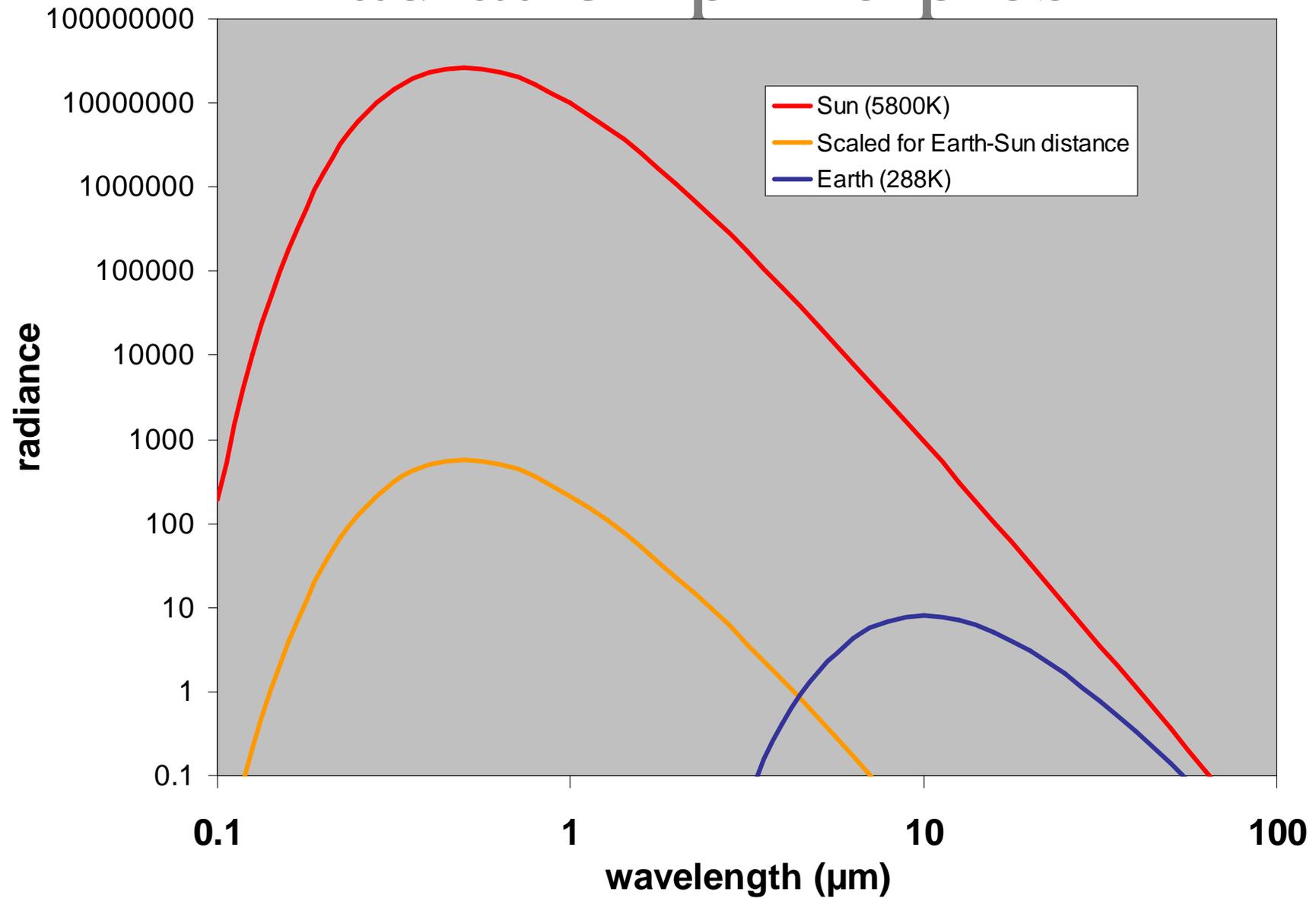
$$E = \pi \int_0^{\infty} L_{\lambda} d\lambda = \sigma T^4$$

*Wien's displacement  
equation*

$$\lambda_{\max} (\mu\text{m}) = \frac{2897}{T}$$

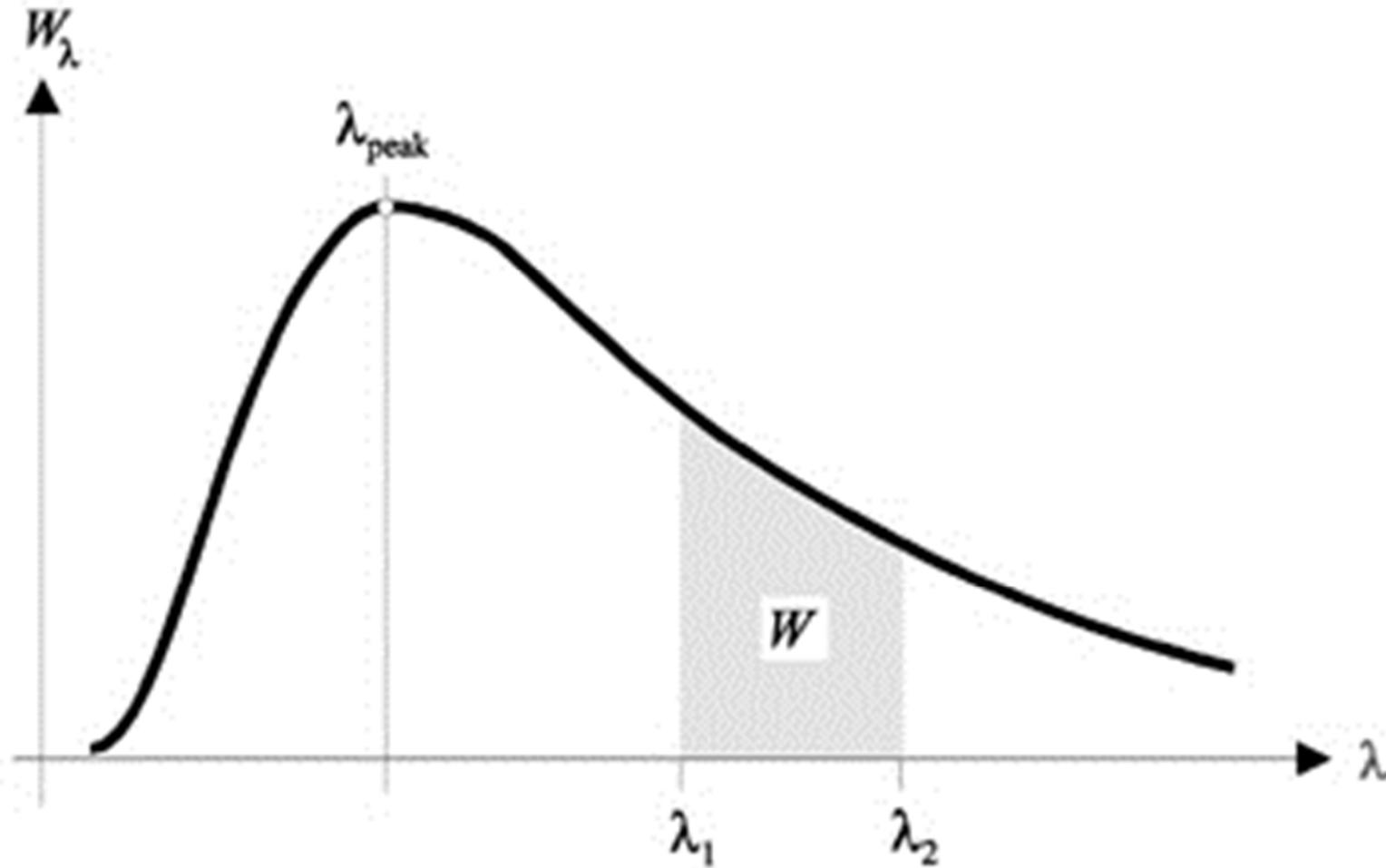
$c$	speed of light	$3.00 \times 10^8 \text{ ms}^{-1}$
$h$	Planck's constant	$6.63 \times 10^{-34} \text{ Js}$
$k$	Boltzmann's constant	$1.38 \times 10^{-23} \text{ JK}^{-1}$
$\sigma$	Stefan-Boltzmann constant	$5.67 \times 10^{-8} \text{ Wm}^{-2} \text{ K}^{-4}$
$L_{\lambda}$	Spectral radiance	$\text{Wm}^{-2} \text{ m}^{-1} \text{ sr}^{-1}$

# Radiation principles



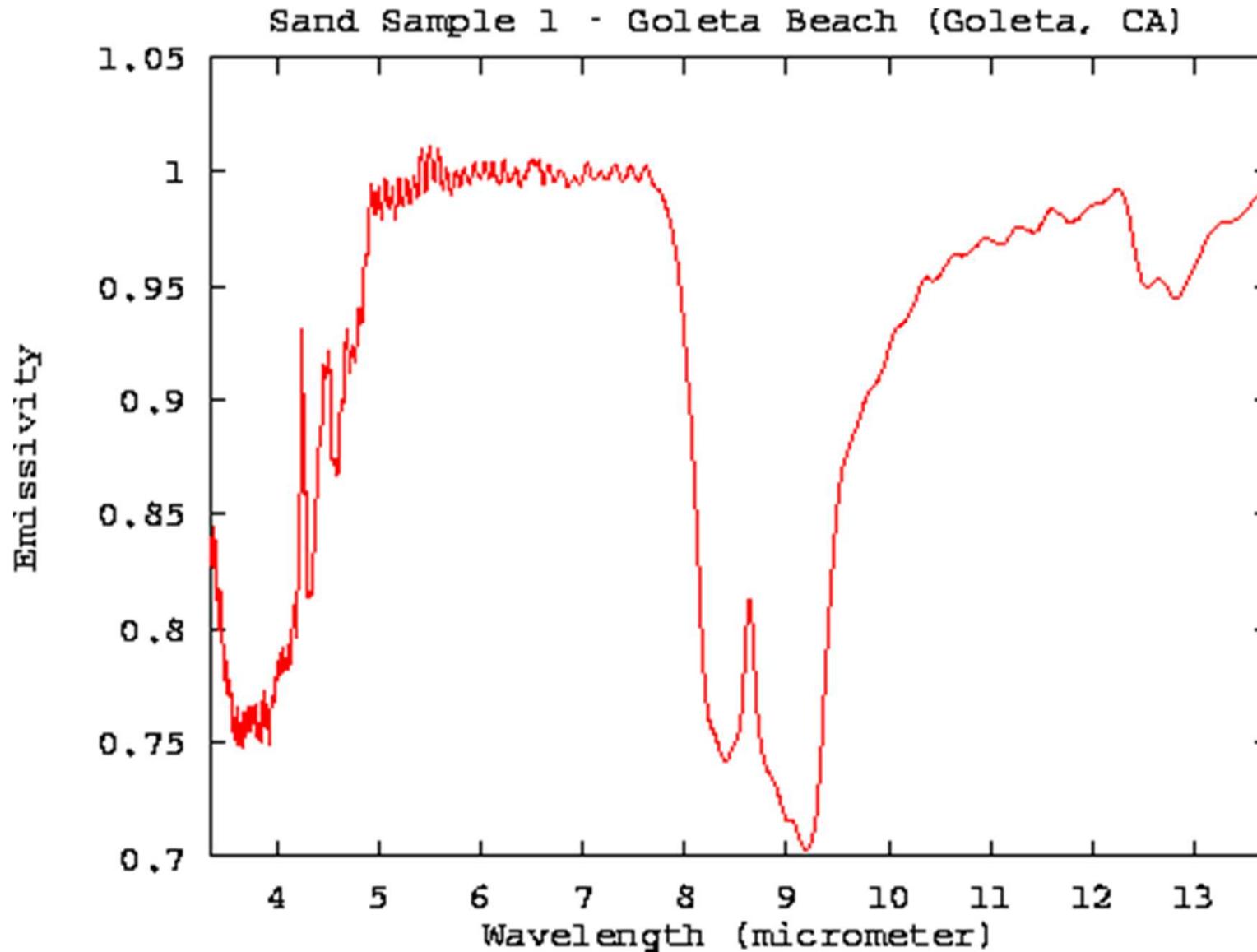
# Spectral integration (narrow to broad band)

---

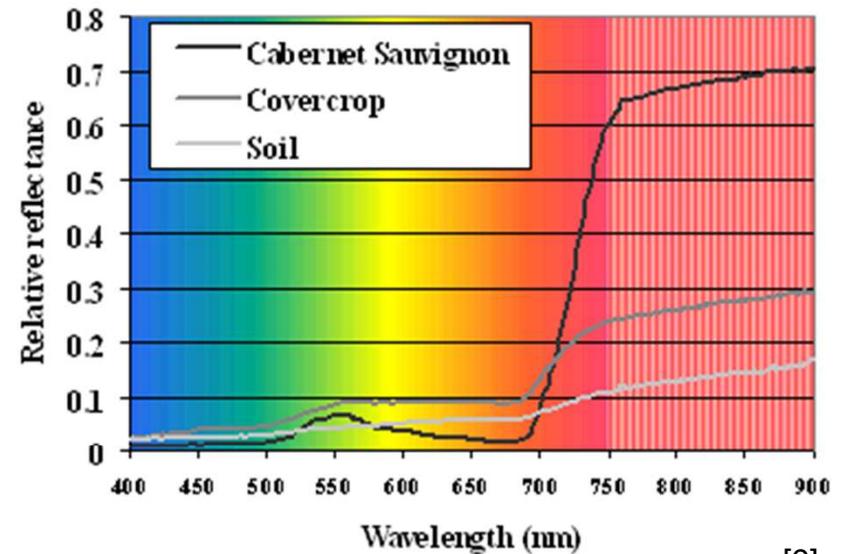
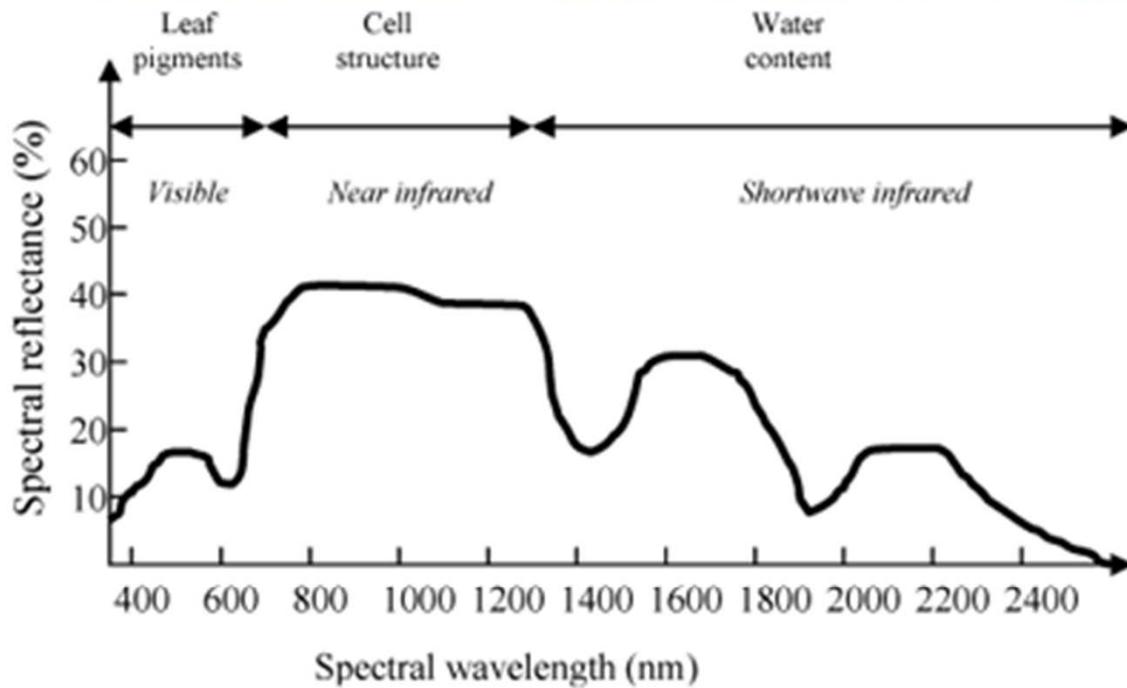


$$L = \varepsilon L_{\lambda}$$

## Spectral emissivity library



# Crop status and radiative behavior (1)

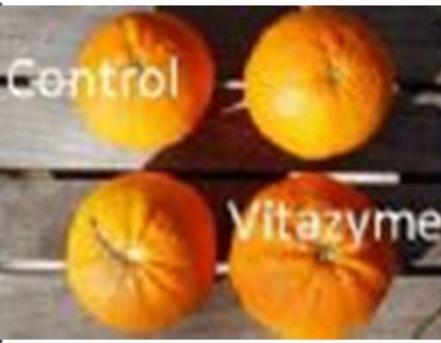


**Figure 1**

Typical reflectance sensitivities as controlled by leaf pigments, cell structure and water content (adapted from Gaussman, 1977)

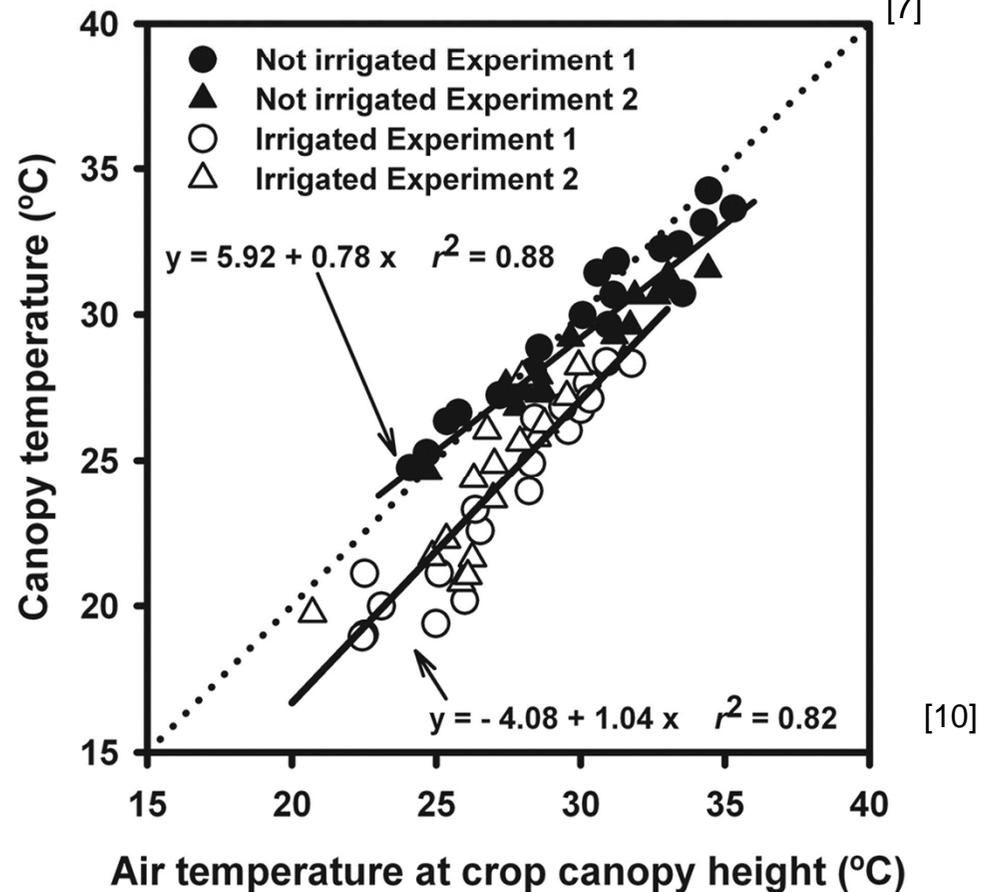
*Crop health affects reflectivity, emissivity, temperature etc.*

# Crop status and radiative behavior (2)



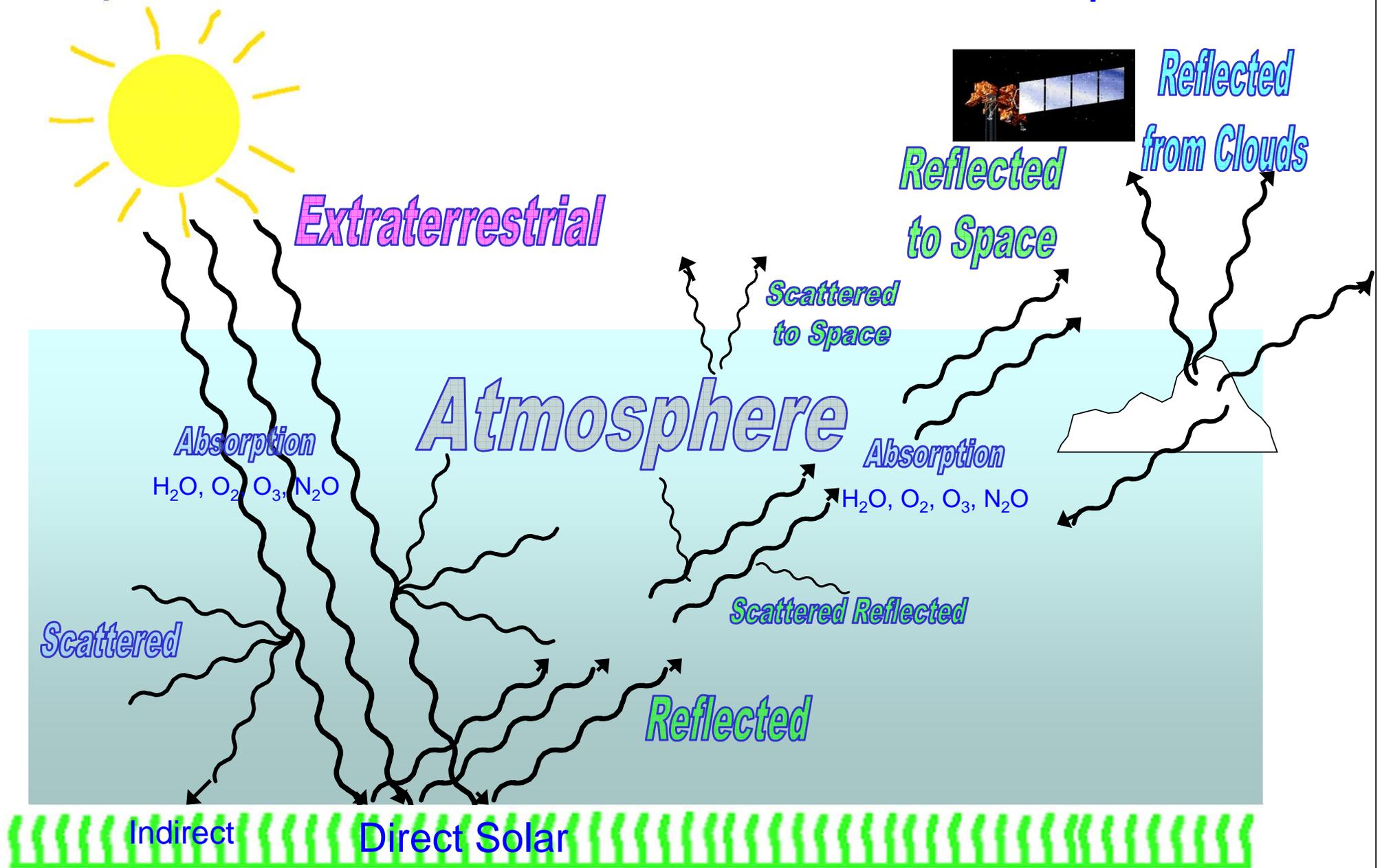
[7]

[8]



*Crop health affects reflectivity, emissivity, temperature etc.*

# Disposition of Solar Radiation in the Atmosphere

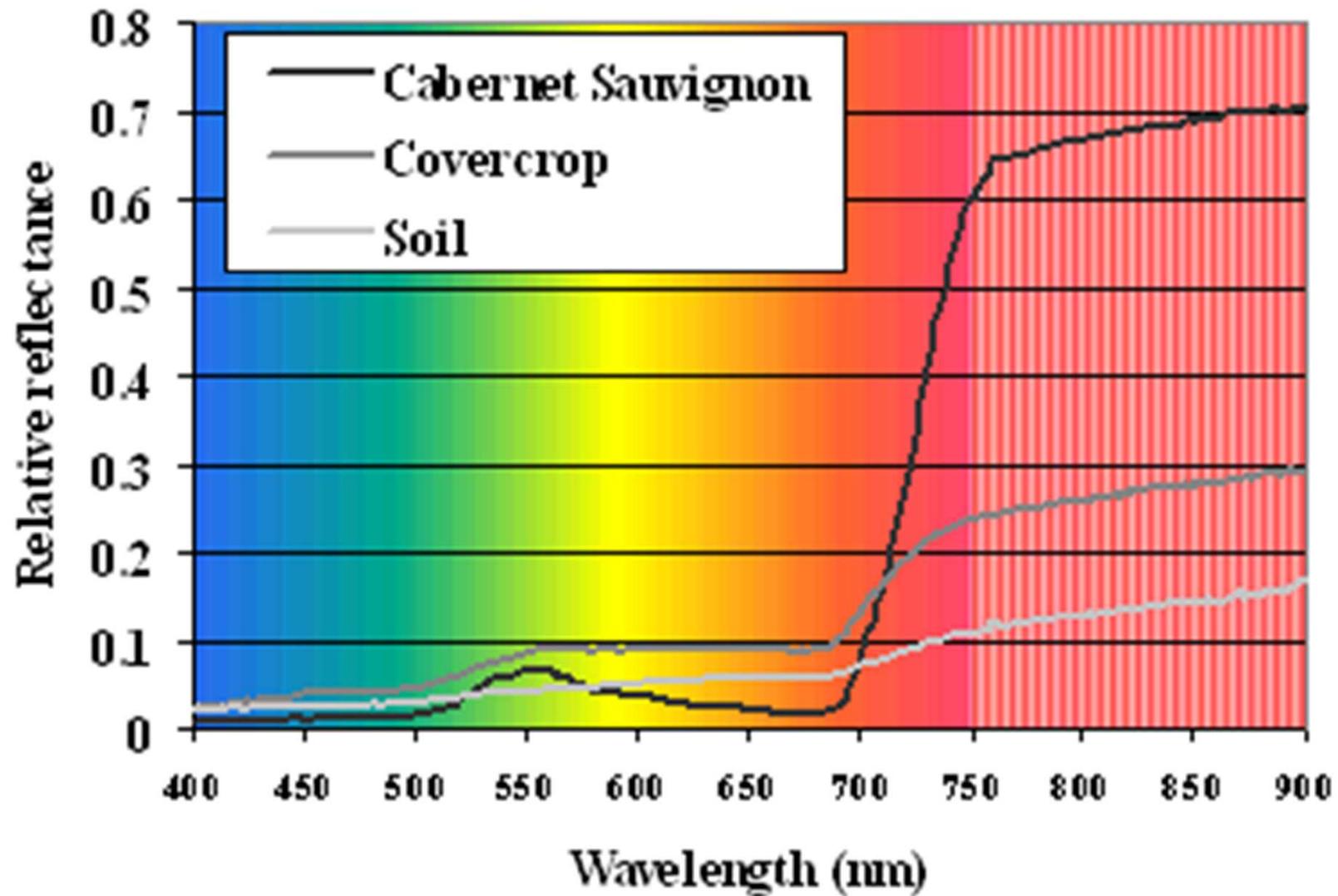


$$\rho_{s,b} = \frac{\rho_{t,b} - \rho_{a,b}}{\tau_{in,b} \cdot \tau_{out,b}}$$

$$\tau_{in,b} = C_1 \exp \left[ \frac{C_2 \cdot P_{air}}{K_t \cos \theta_h} - \frac{C_3 W + C_4}{\cos \theta_h} \right] + C_5$$

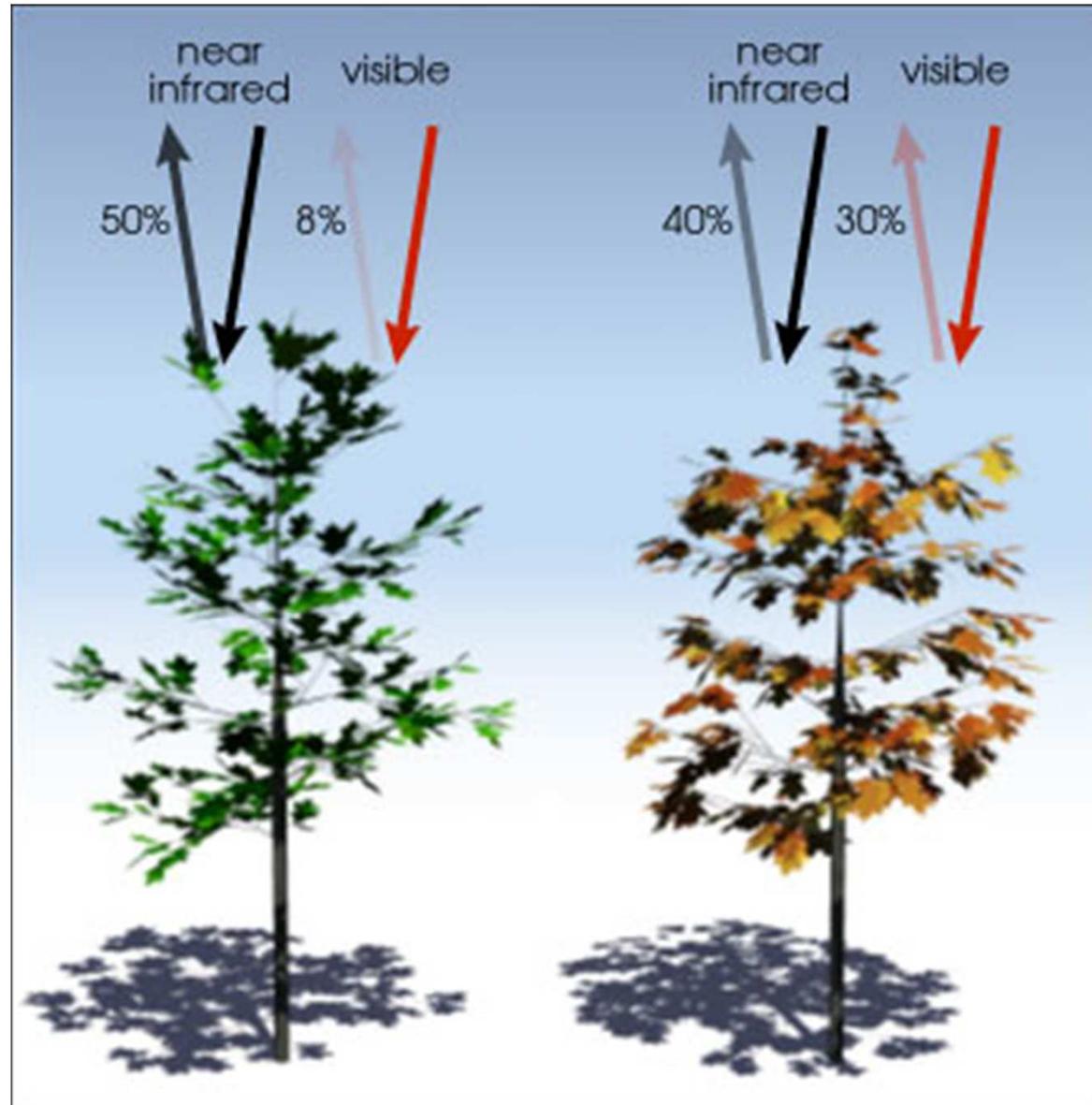
# Spectral reflectance

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[9]

# Normalized Difference Vegetation Index

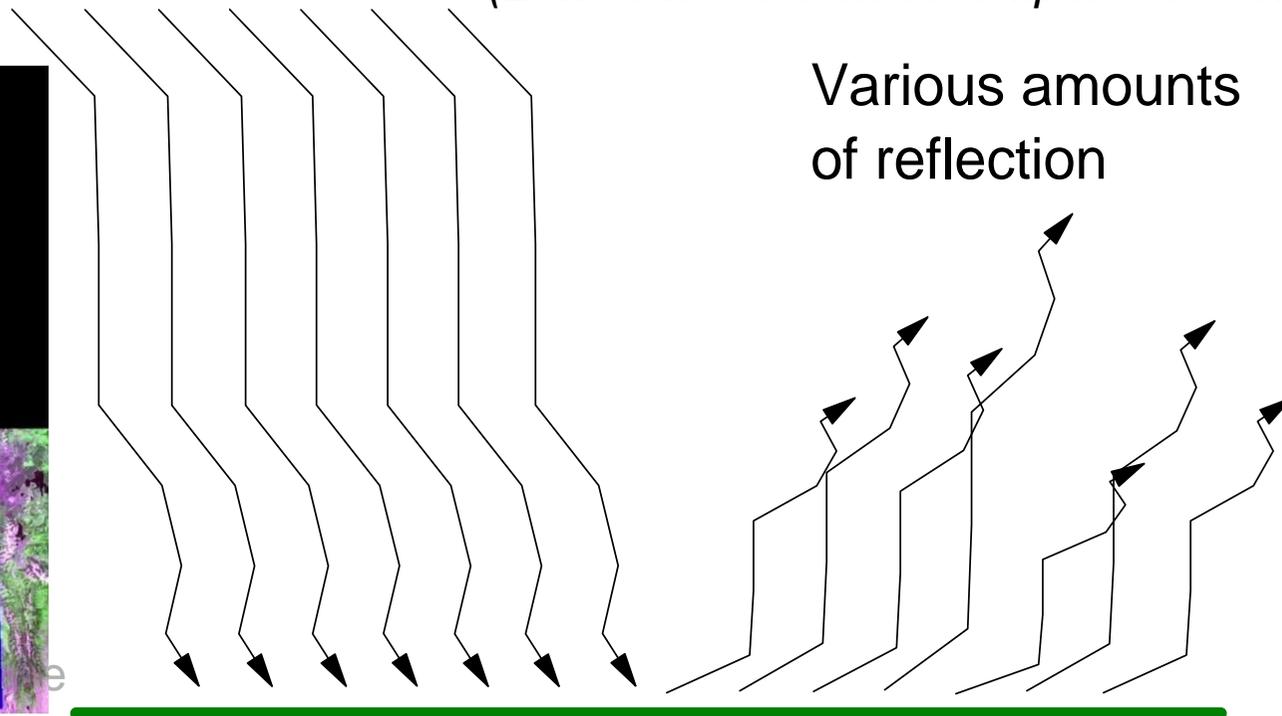
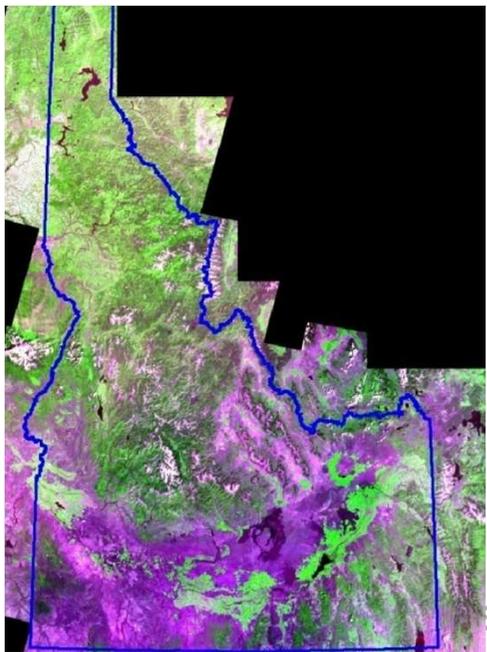
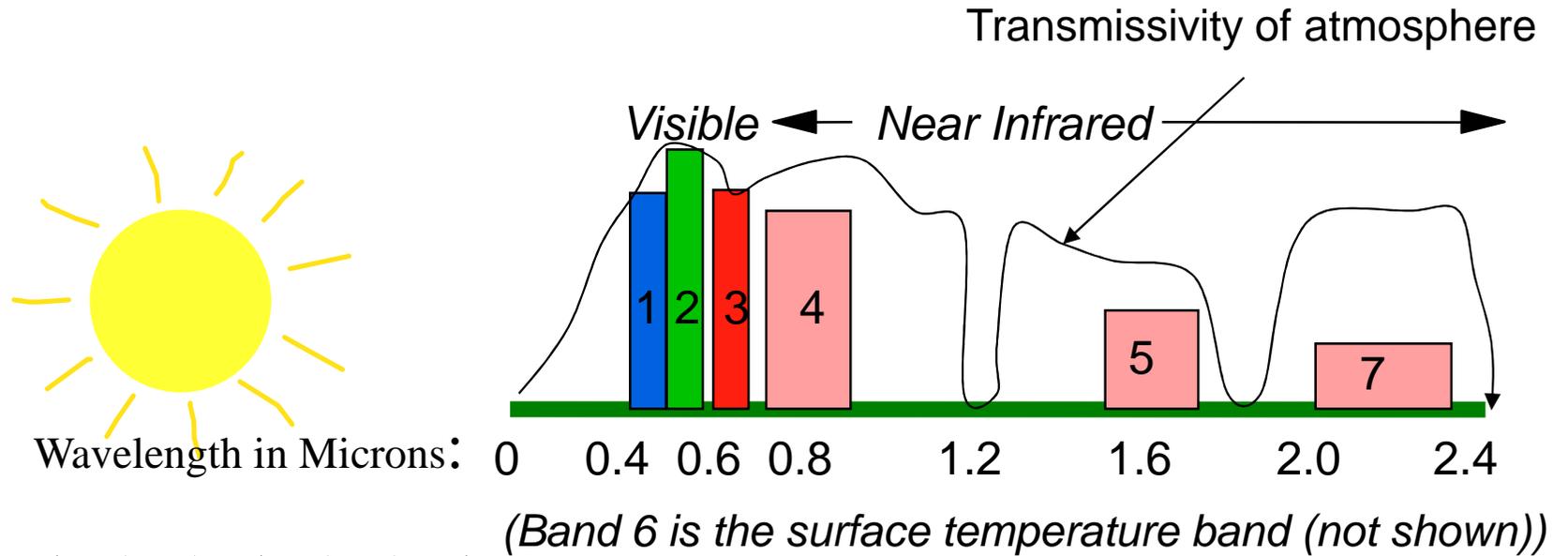


[11]

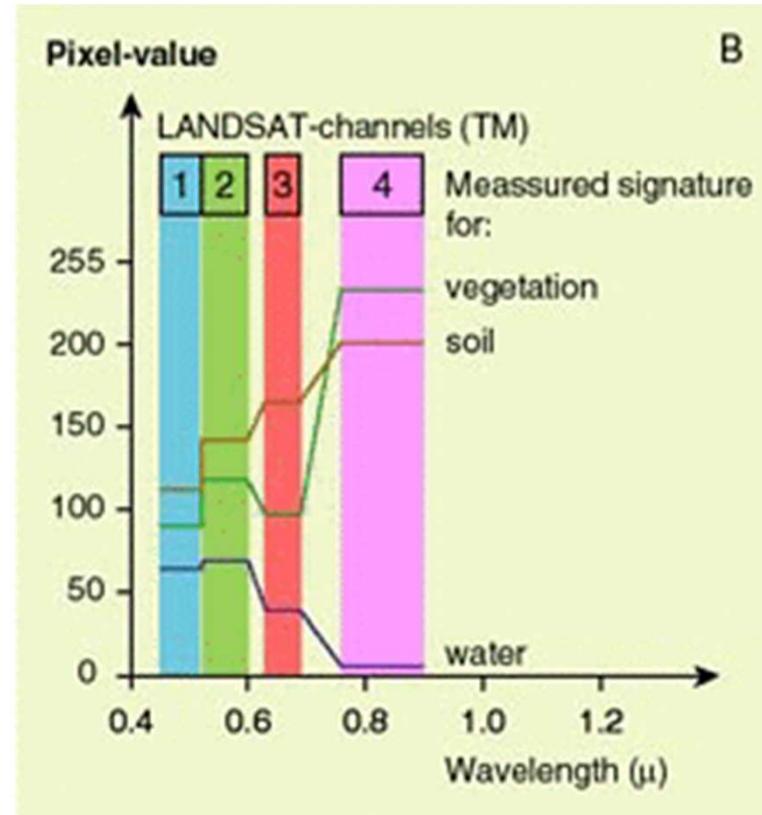
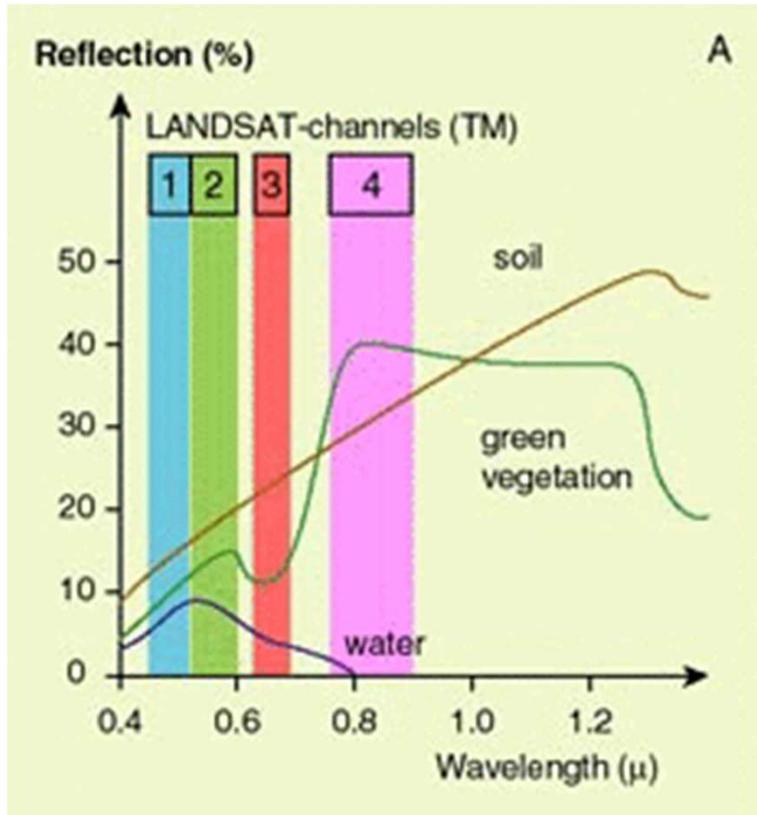
$$\frac{(0.50 - 0.08)}{(0.50 + 0.08)} = 0.72$$

$$\frac{(0.4 - 0.30)}{(0.4 + 0.30)} = 0.14$$

# What Landsat sees



# Spectral signatures

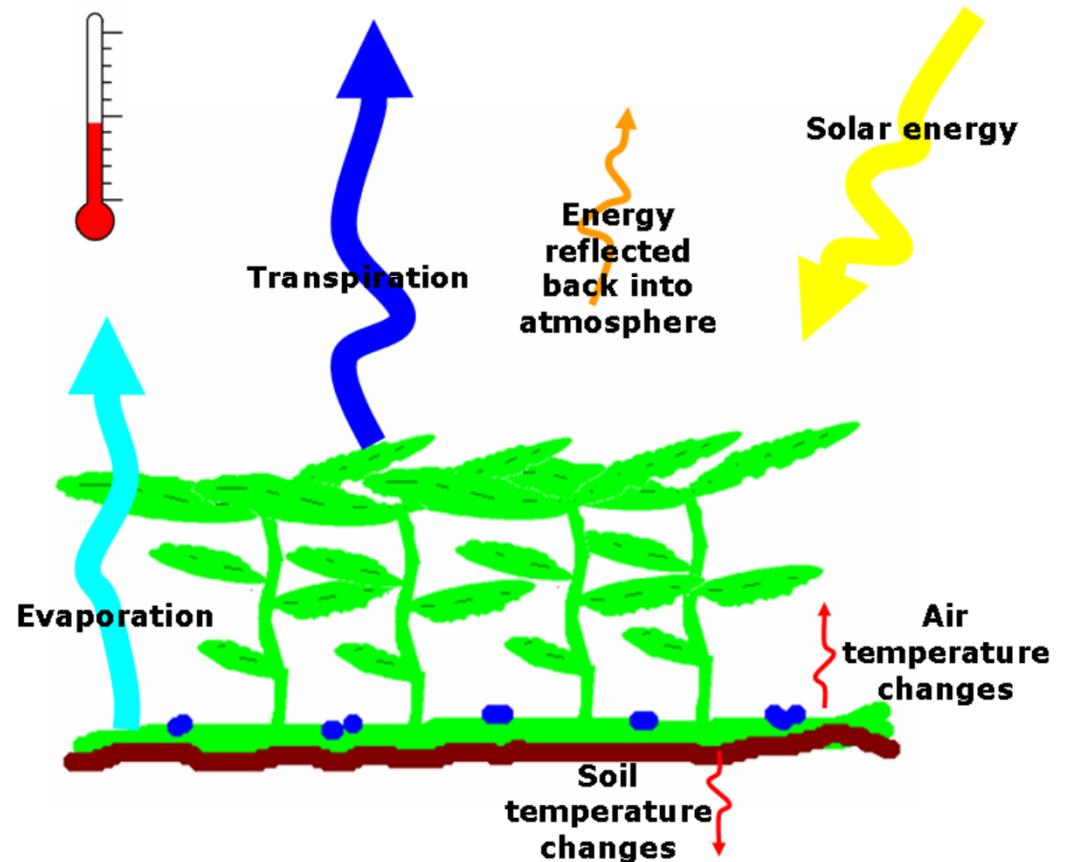
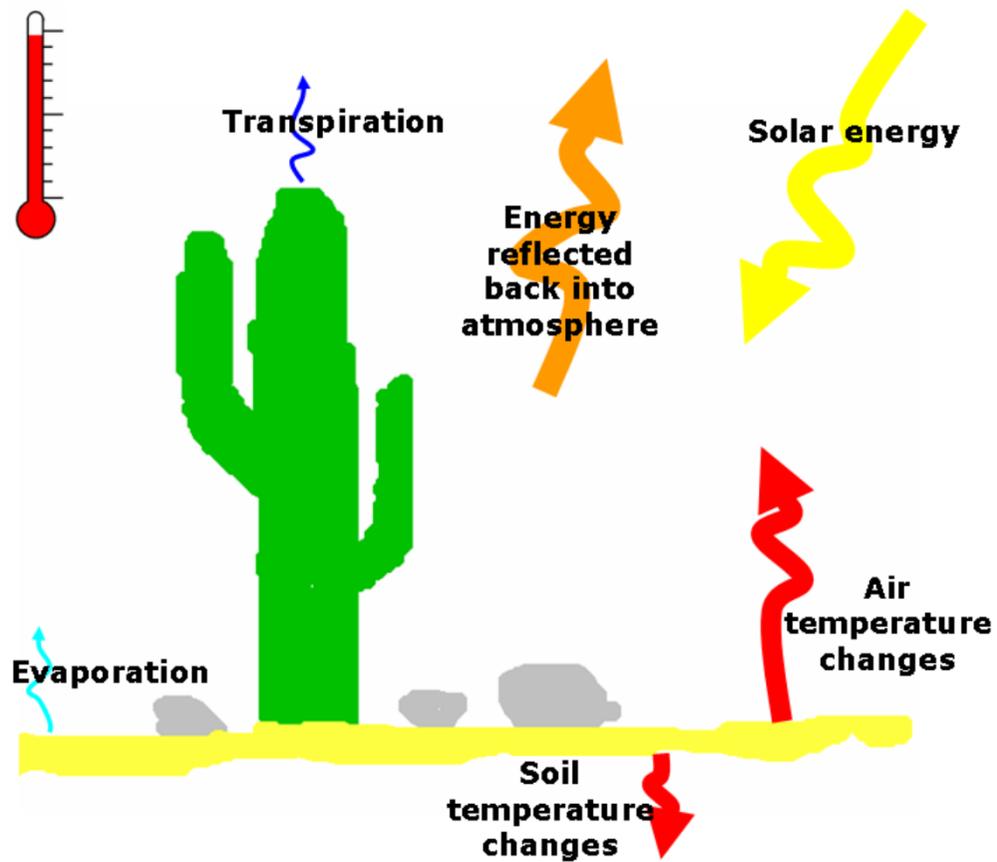




Alchanatis and Cohen, ARO, Israel

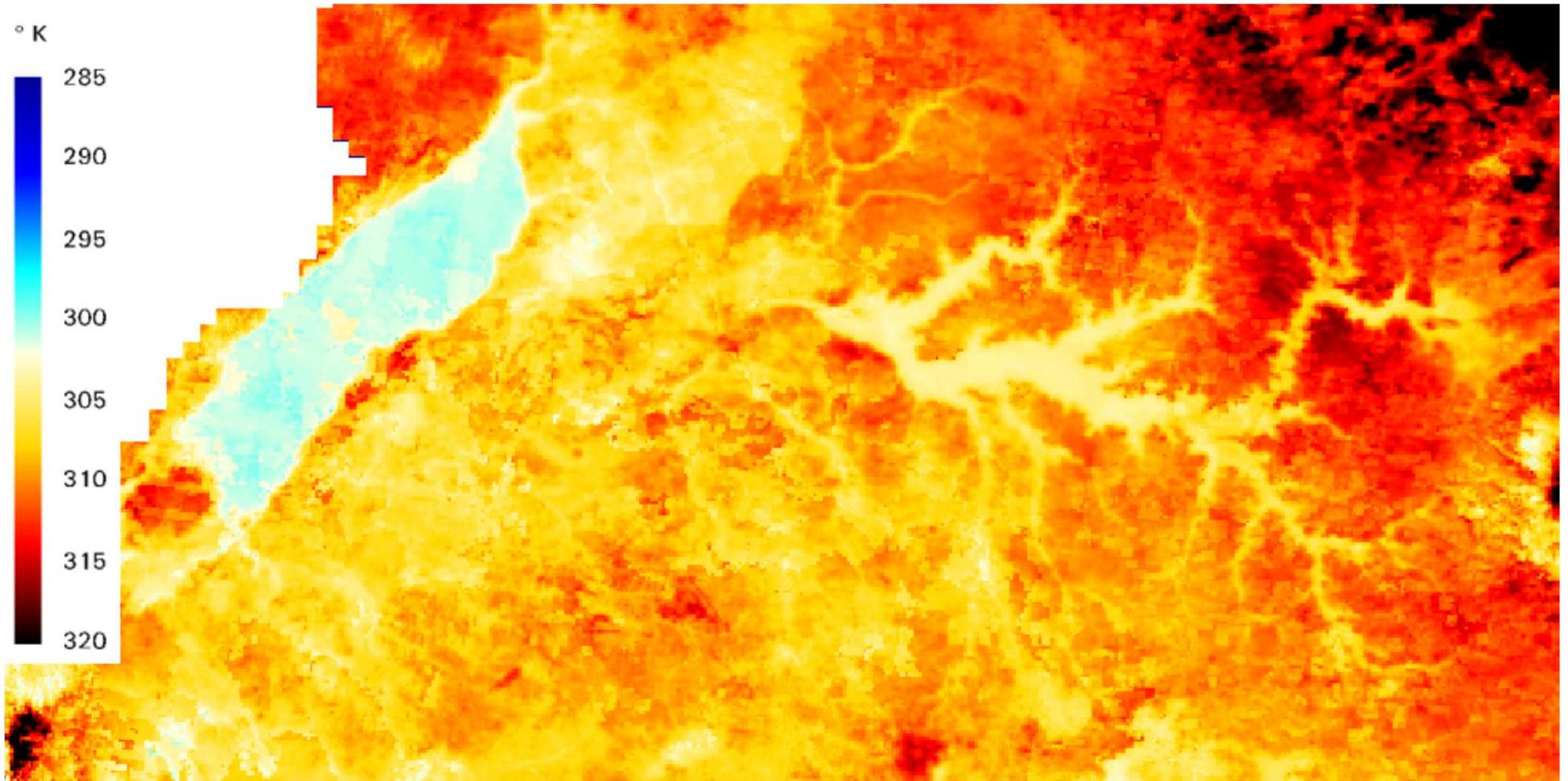
Alchanatis and Cohen, ARO, Israel

# Temperature is function of ET

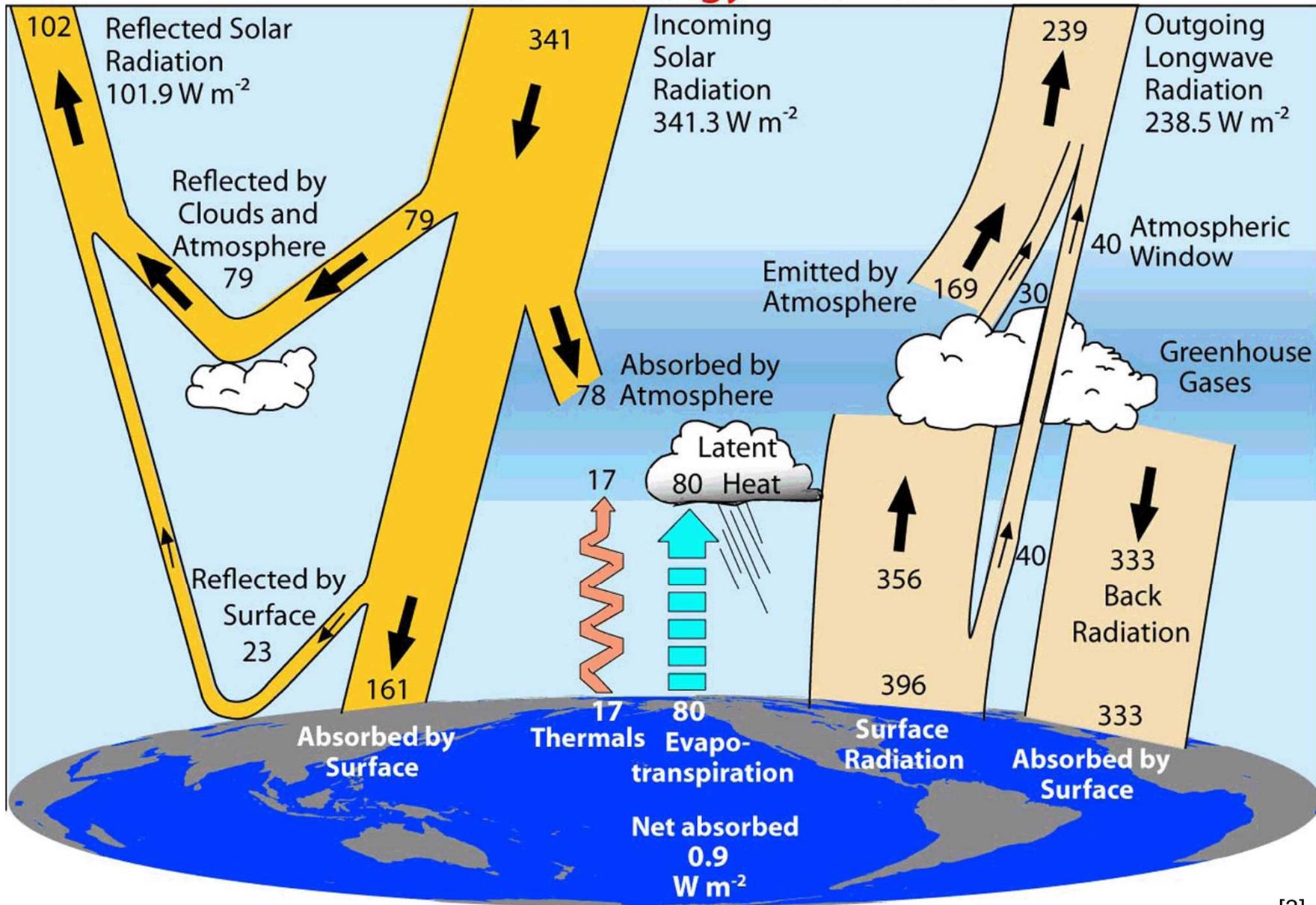


# Surface temperature Lake Albert

---



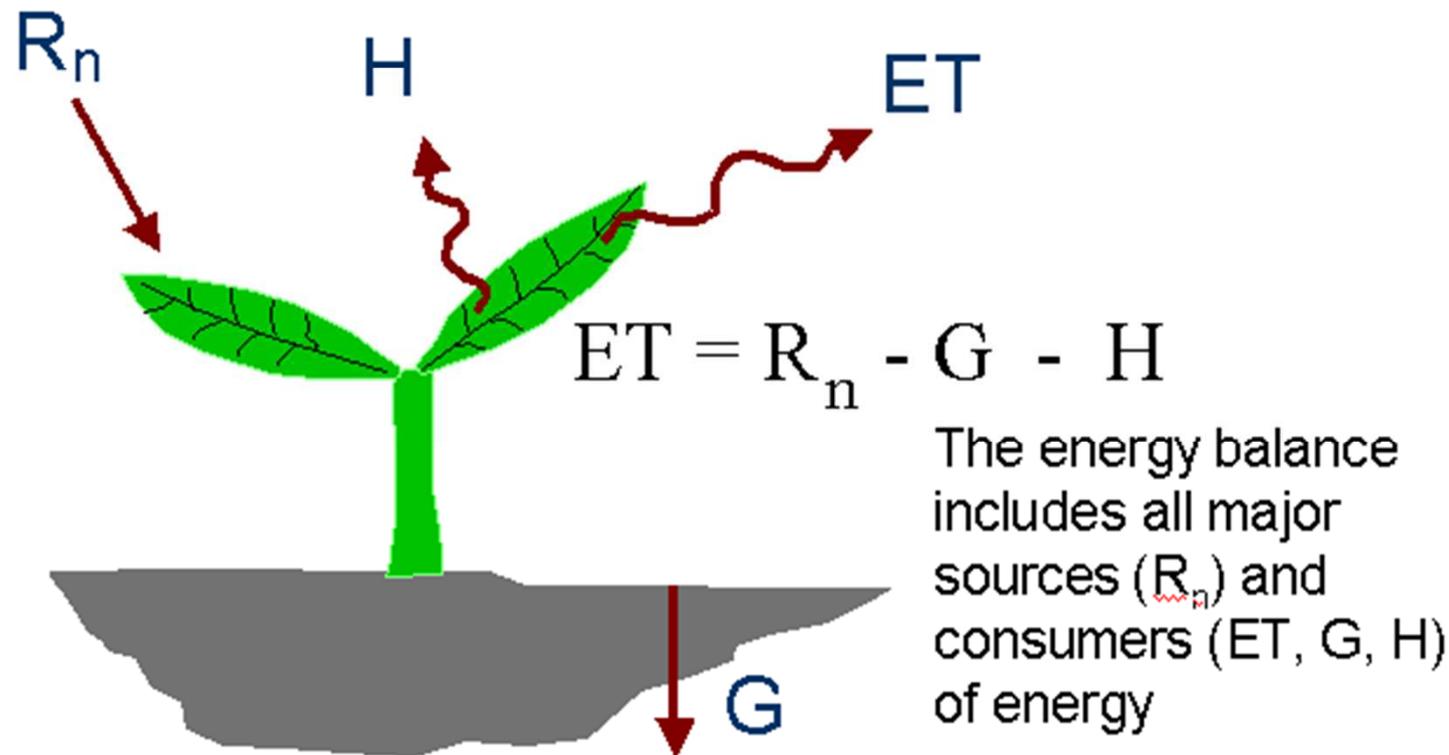
# Global Energy Flows $W m^{-2}$



# Surface energy balance

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ET is calculated as a “residual” of the energy balance



# Energy and water conversions

---

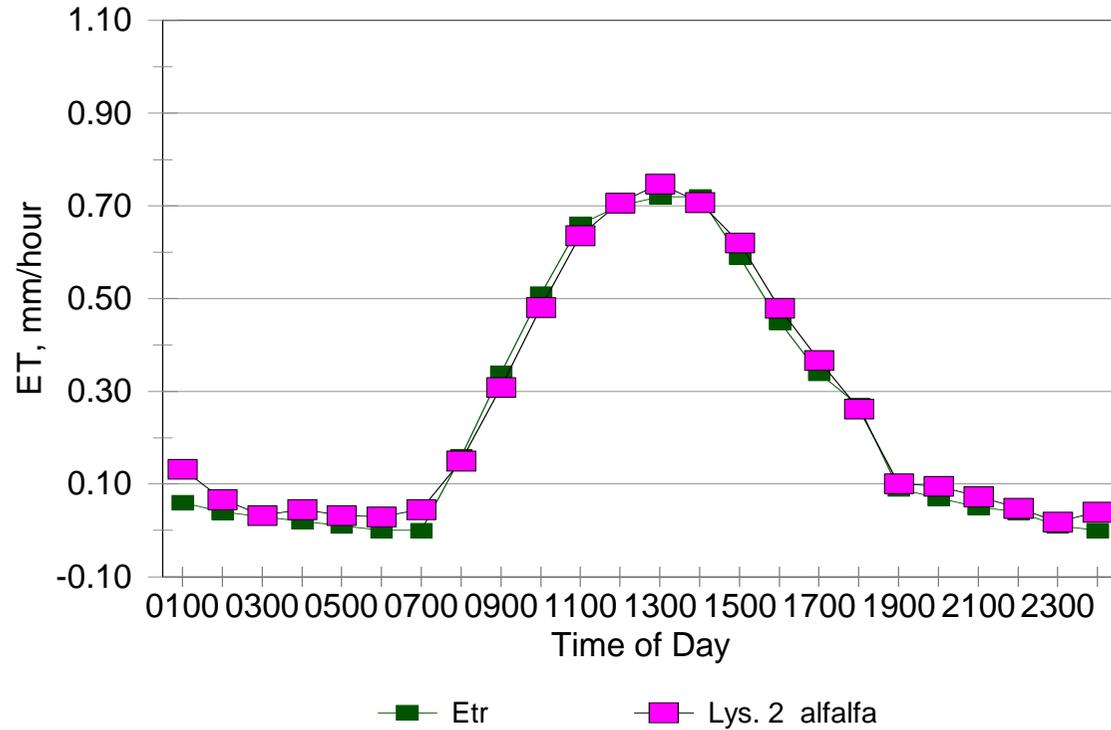
$$L = \rho_w \lambda_v ET$$
$$W m^{-2} (J m^{-2} s^{-1}) = \frac{\text{kg}}{m^3} \frac{J}{kg} \frac{m}{s}$$

$$\text{or } ET = \frac{L}{\rho_w \lambda_v}$$

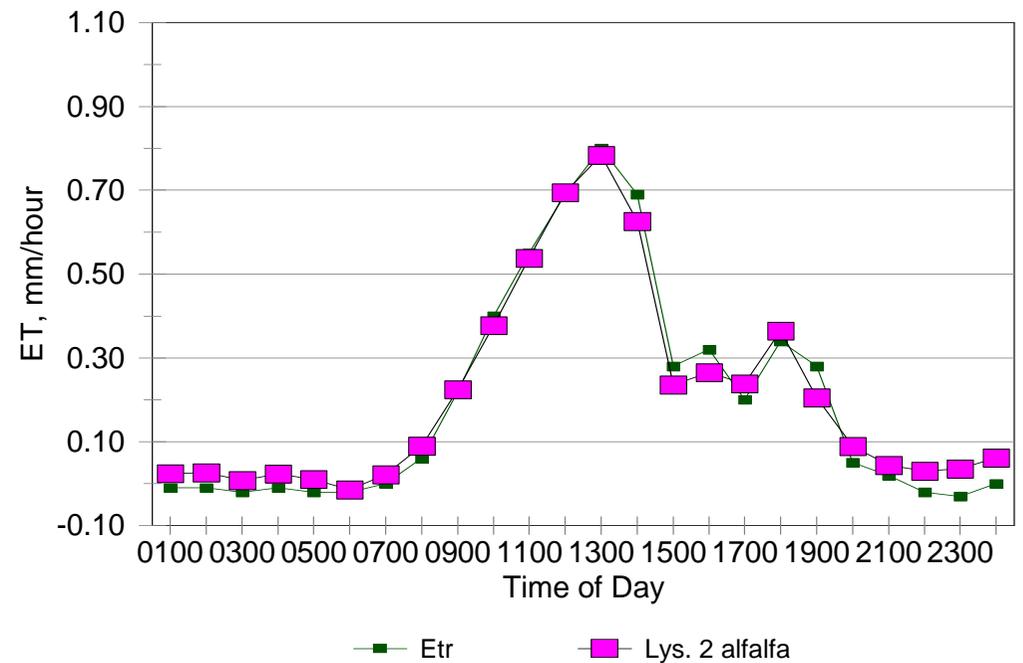
$$\lambda_v = 2,450,000 J/kg$$

# Kimberly Lysimeters - September 4, 1990

Data from Dr. J.L Wright

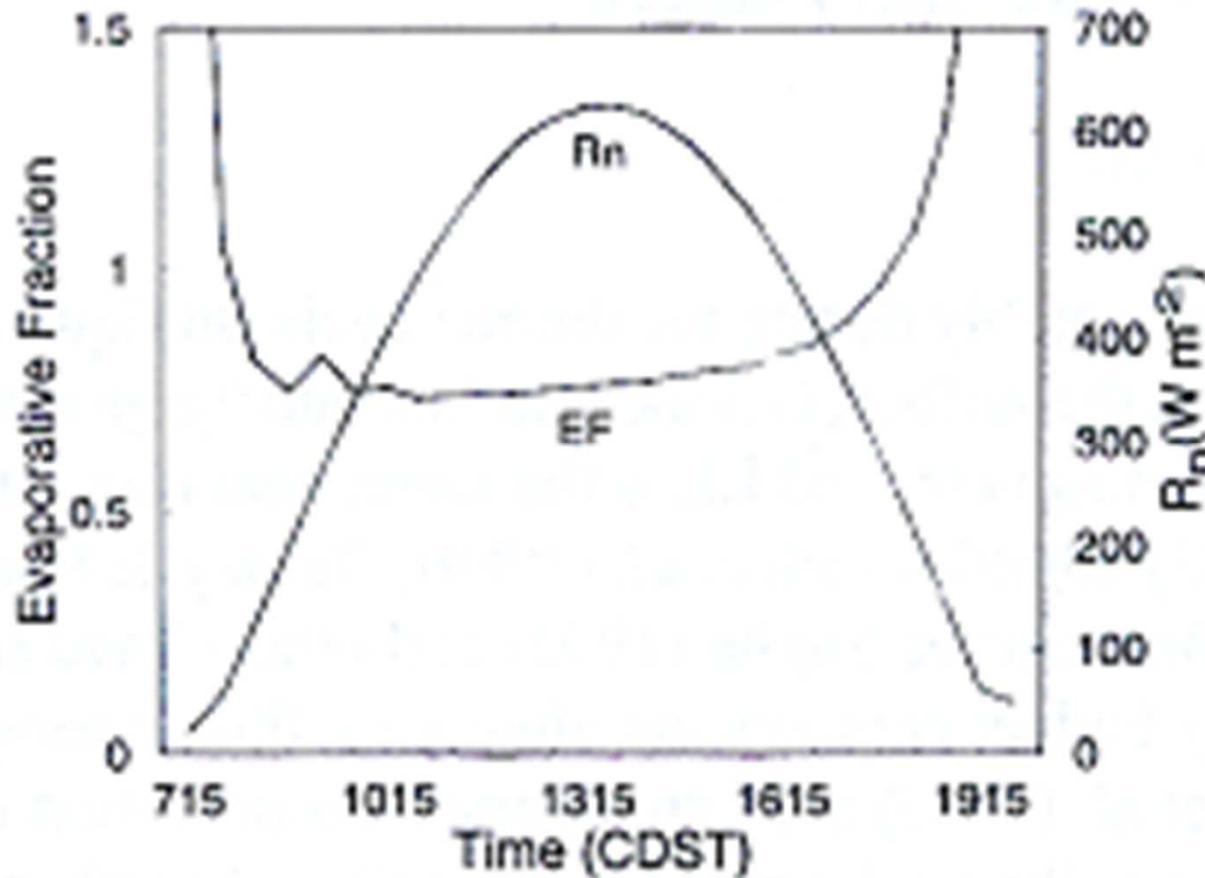


# Kimberly Lysimeters - September 7, 1990



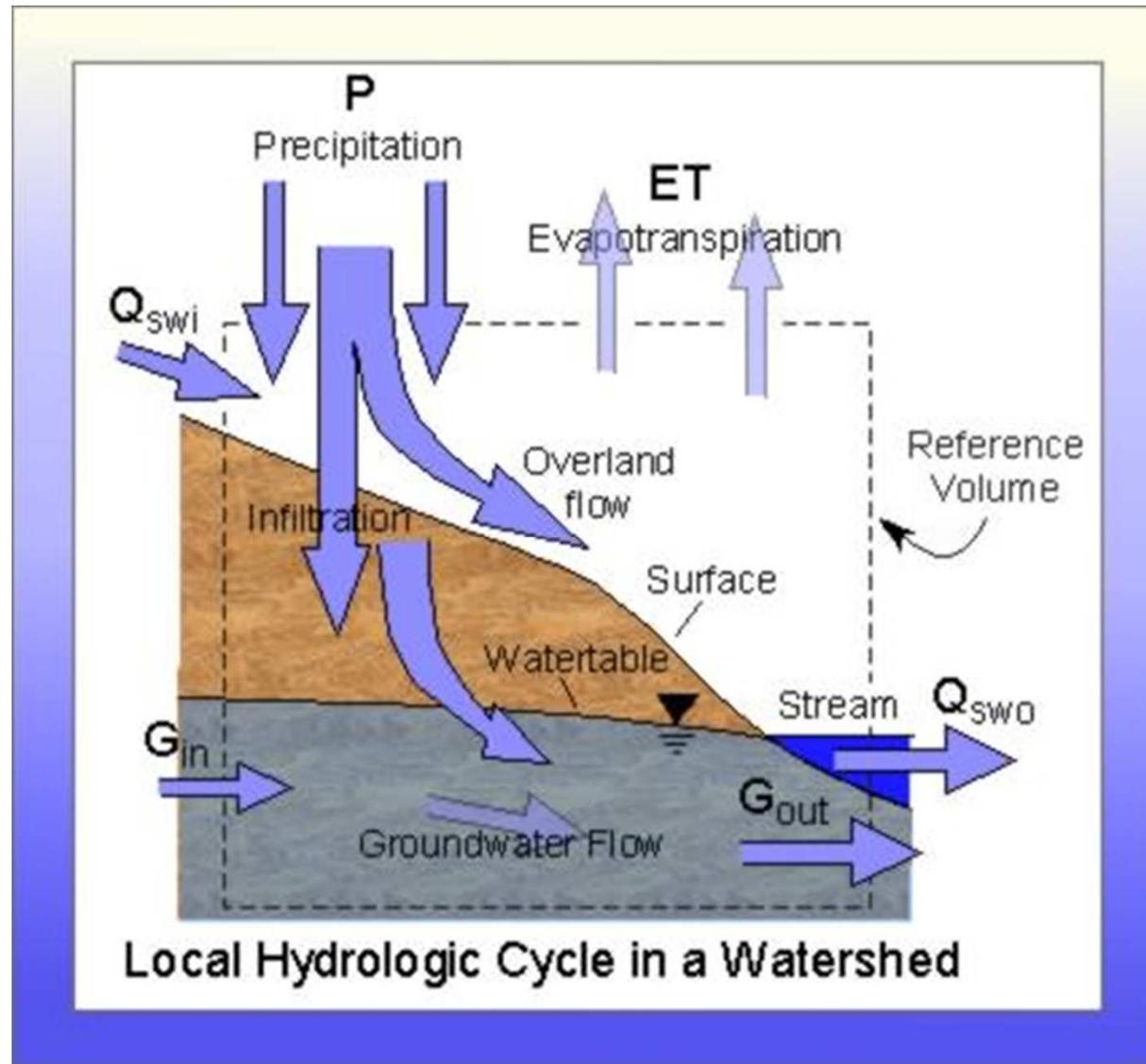
# Evaporative fraction for time integration

---



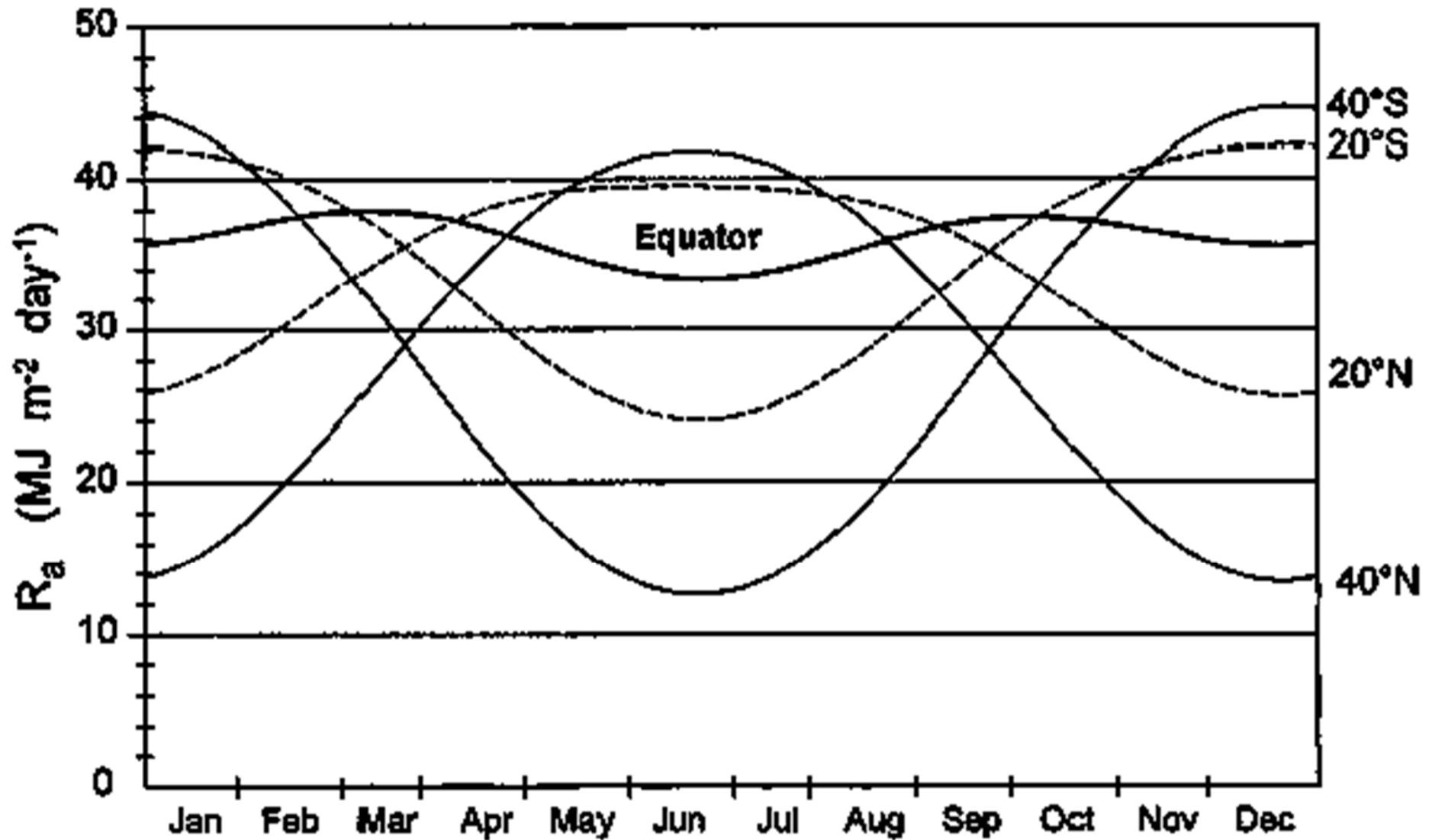
$$EF = LE / (R_n - G)$$

# ET for hydrological studies



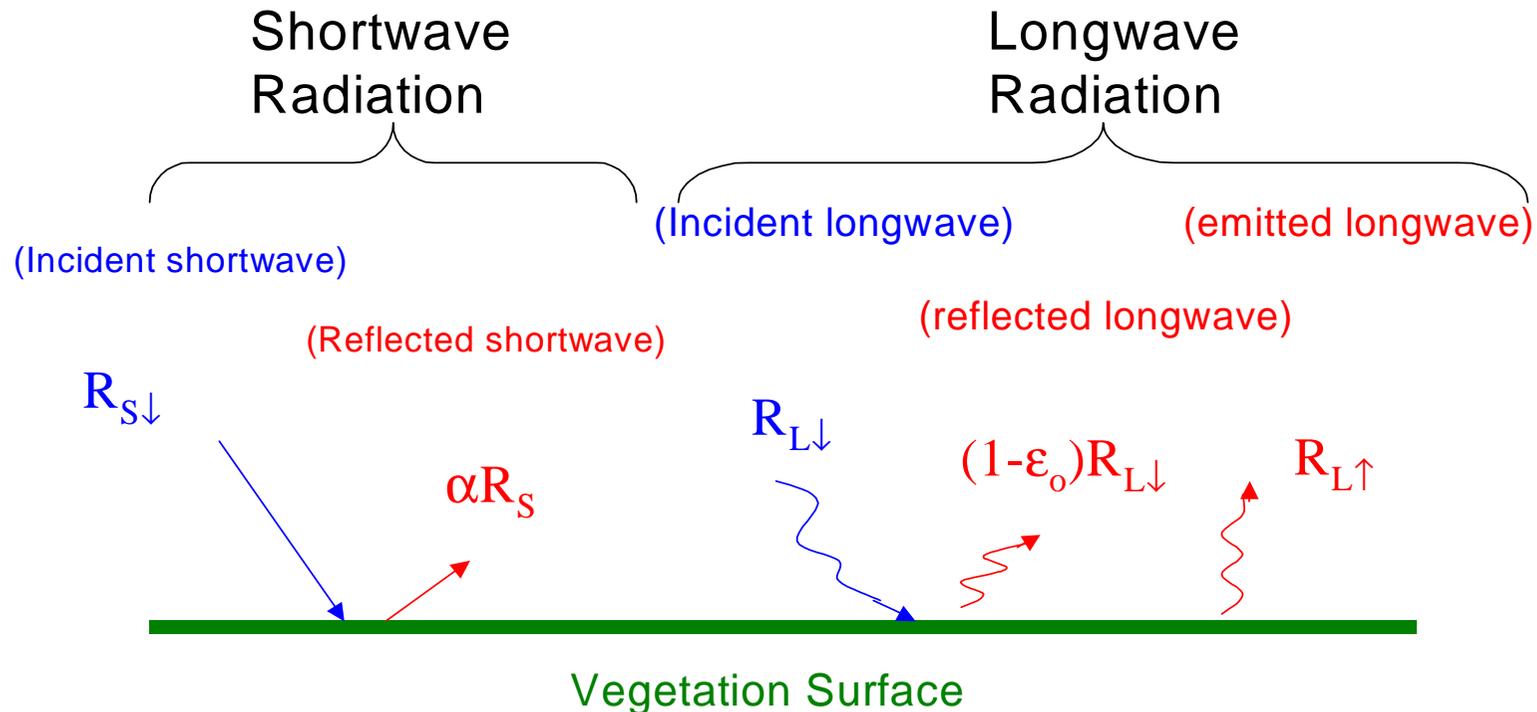
# Extra-terrestrial radiation

---



# Surface Radiation Balance

## Surface Radiation Balance



**Net Surface Radiation = Gains – Losses**

$$R_n = (1-\alpha)R_{S\downarrow} + R_{L\downarrow} - R_{L\uparrow} - (1-\epsilon_0)R_{L\downarrow}$$

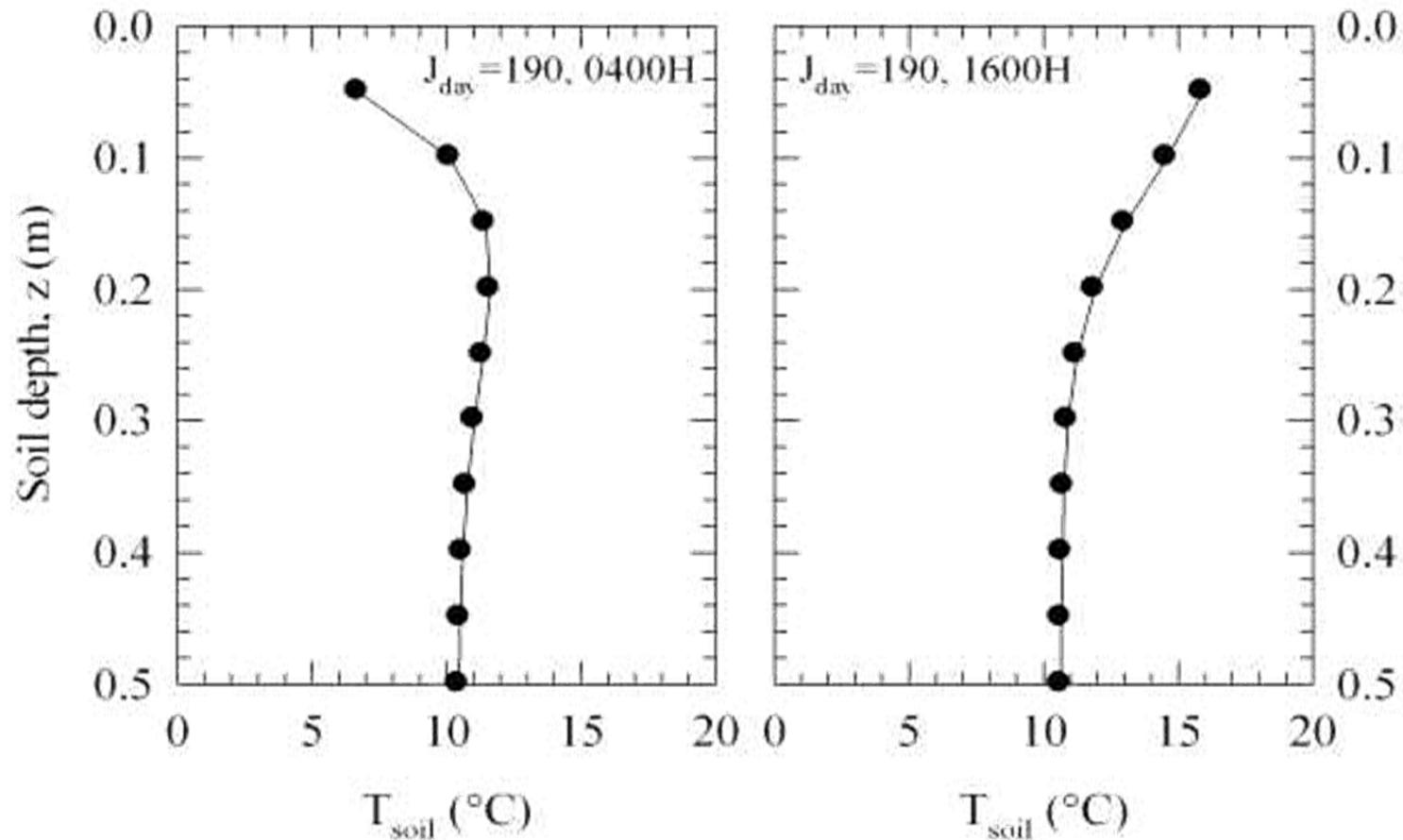
$$R_L = \epsilon\sigma T^4$$

$\epsilon$ =emissivity

$\sigma=5.67 \cdot 10^{-8}$  Stefan Boltzmann

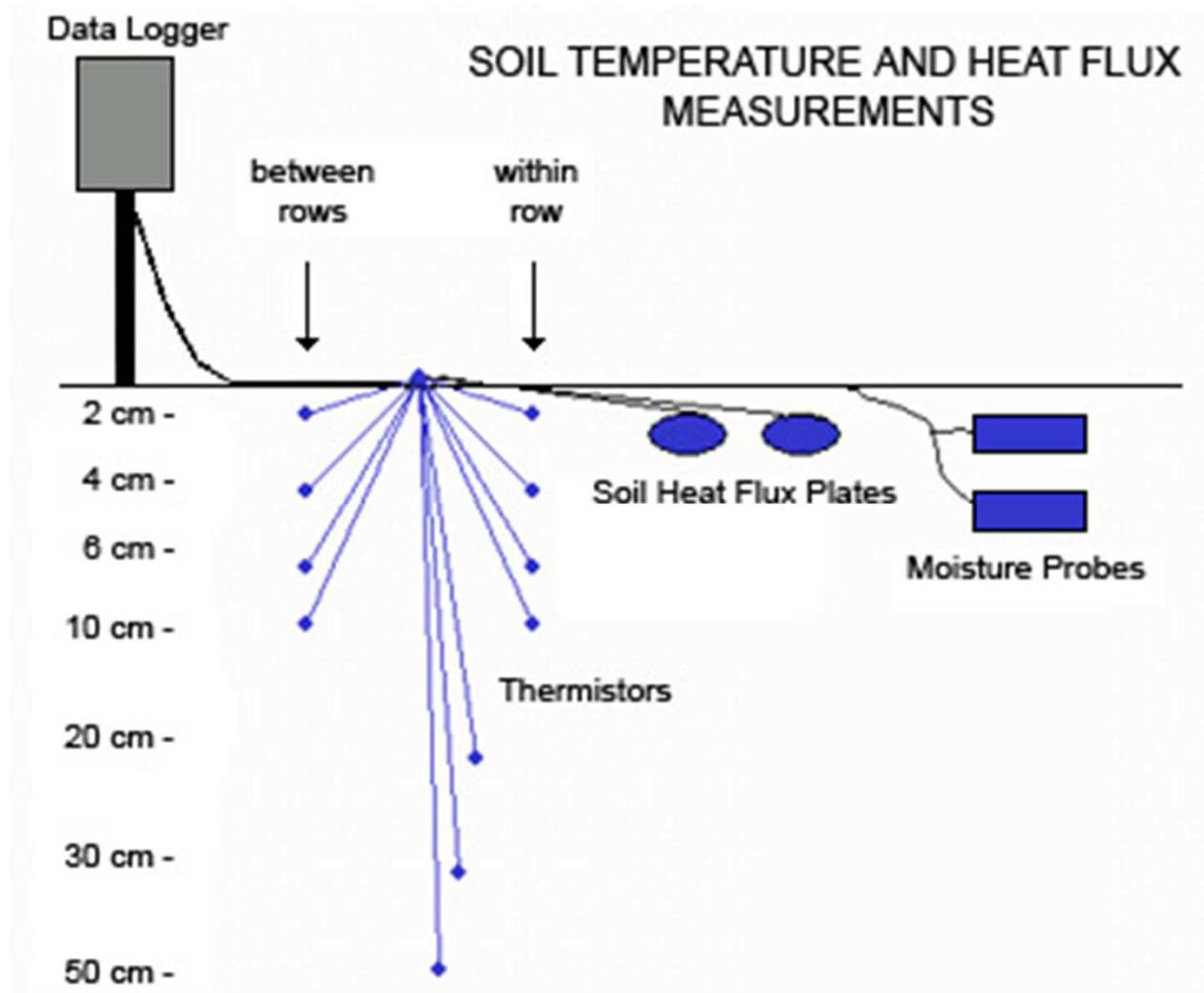
# Soil heat flux

i.



$$J_q = -K_q \frac{\partial T}{\partial x}$$

# Soil heat flux



[16]

$$J_q = -K_q \frac{\partial T}{\partial x}$$

# Soil heat flux

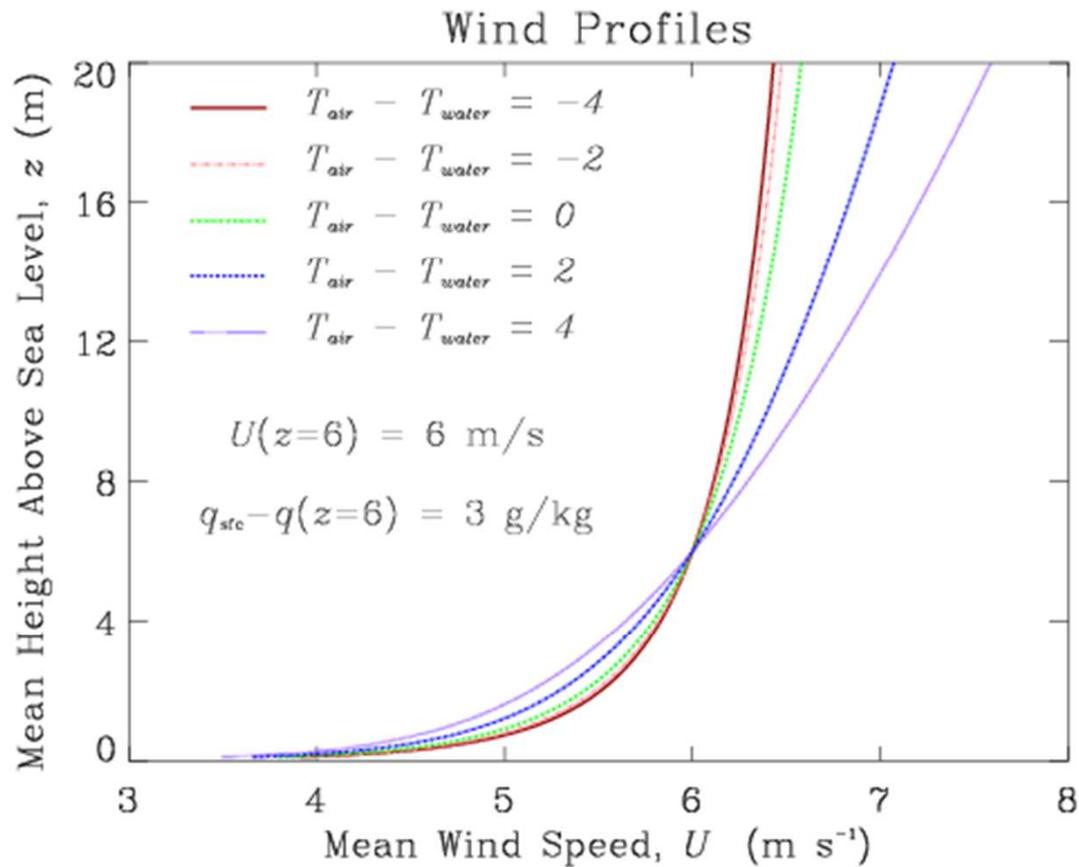
---

$$G = f R_n$$

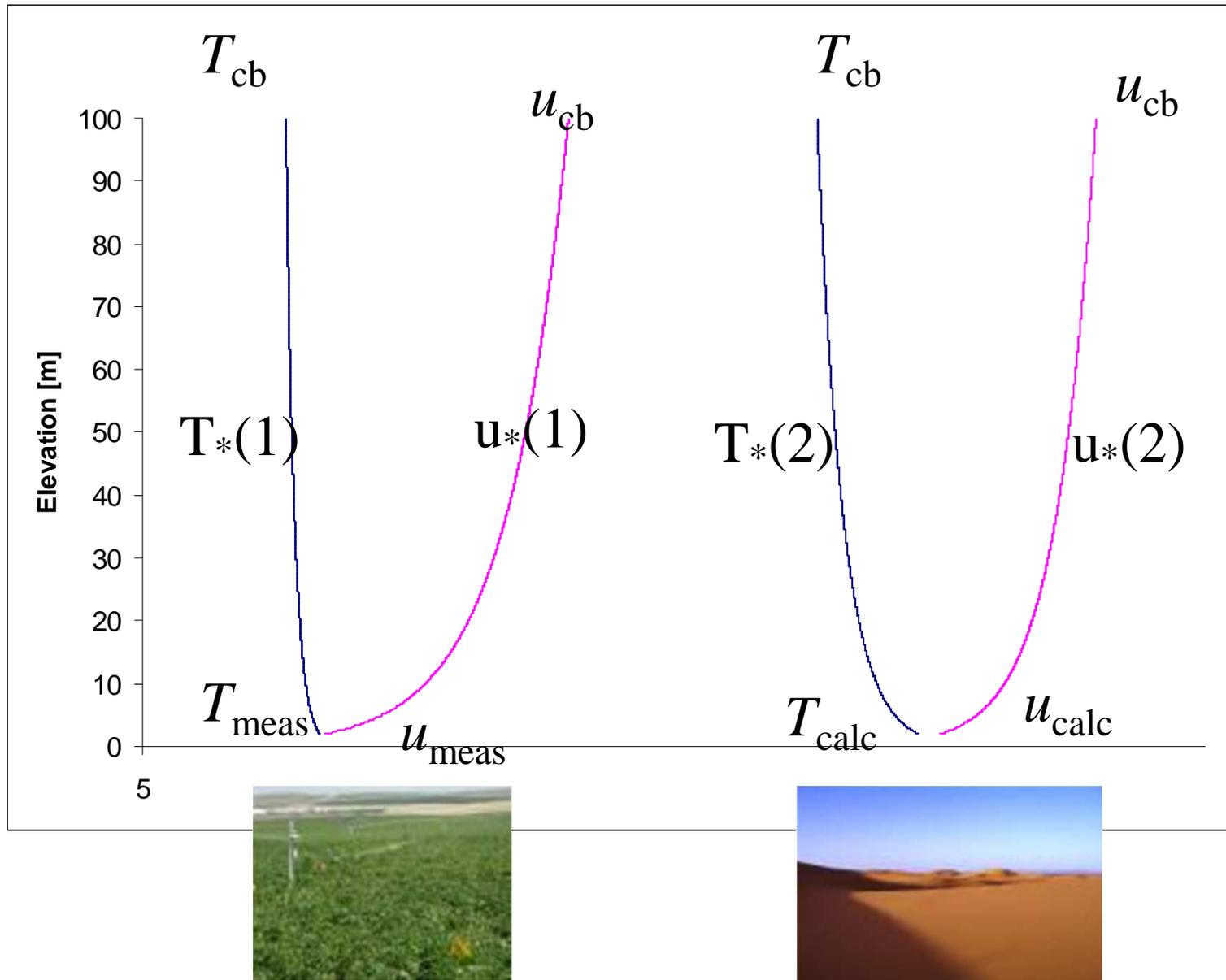
- Fraction depends on direct exposure
- Fraction depends on pace of warming up

f = 0.4 for deserts  
= 0.1 for crops  
= 0.5 for water bodies  
= 0.05 for forests

# Logarithmic wind profile

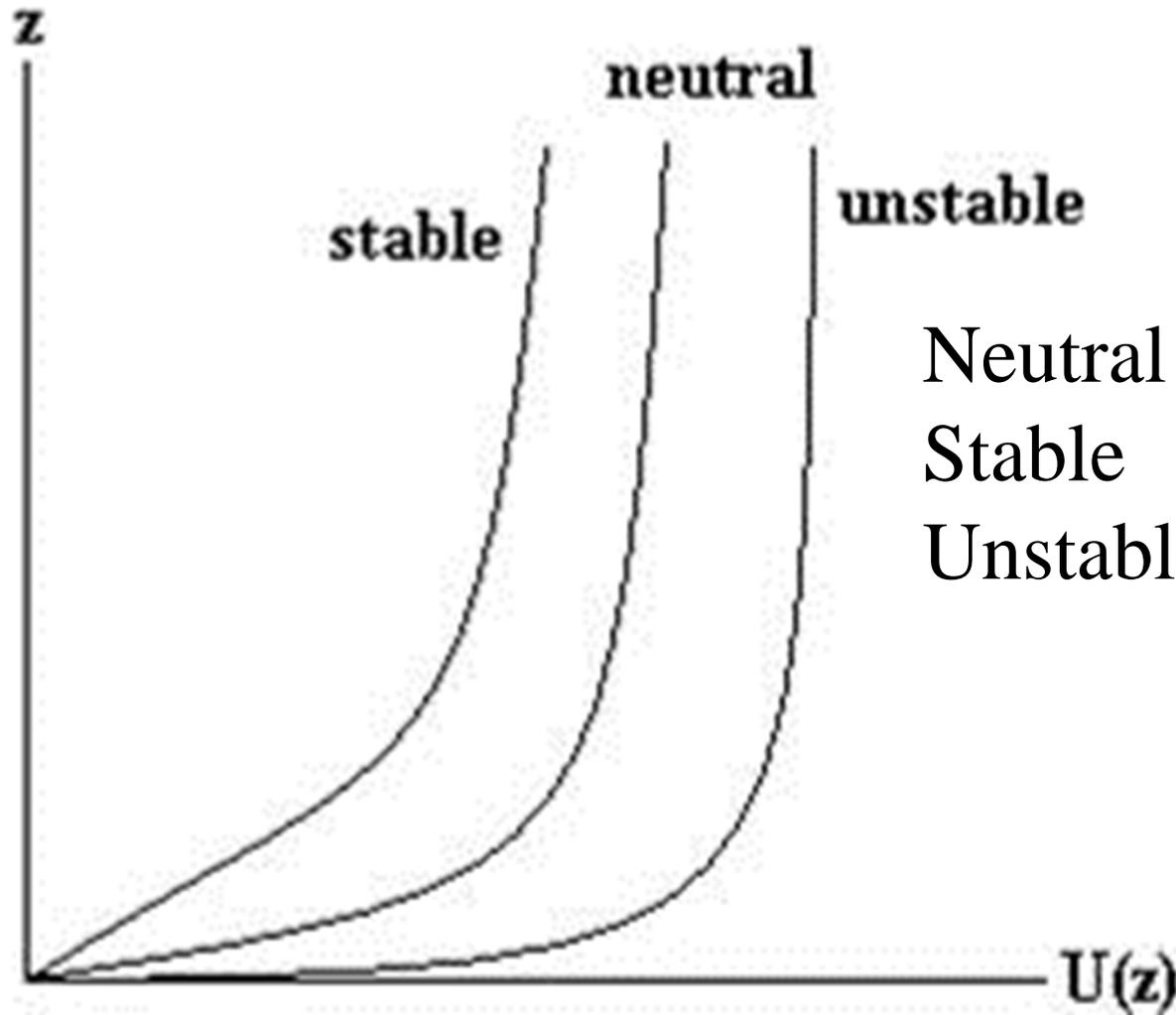
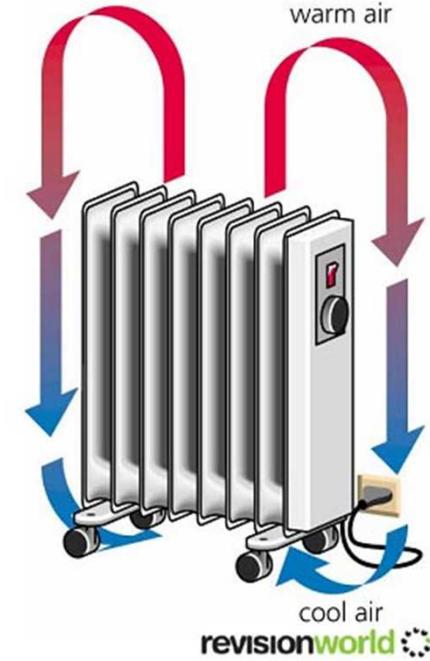


# Flux – profile relationships for momentum, heat and vapor



# Effect of buoyancy on turbulent transport

height



- Neutral = no convection
- Stable = heat towards land
- Unstable = heat away from land

wind speed

# Sensible Heat Flux (H)

$$H = (\rho \times c_p \times dT) / r_{ah}$$

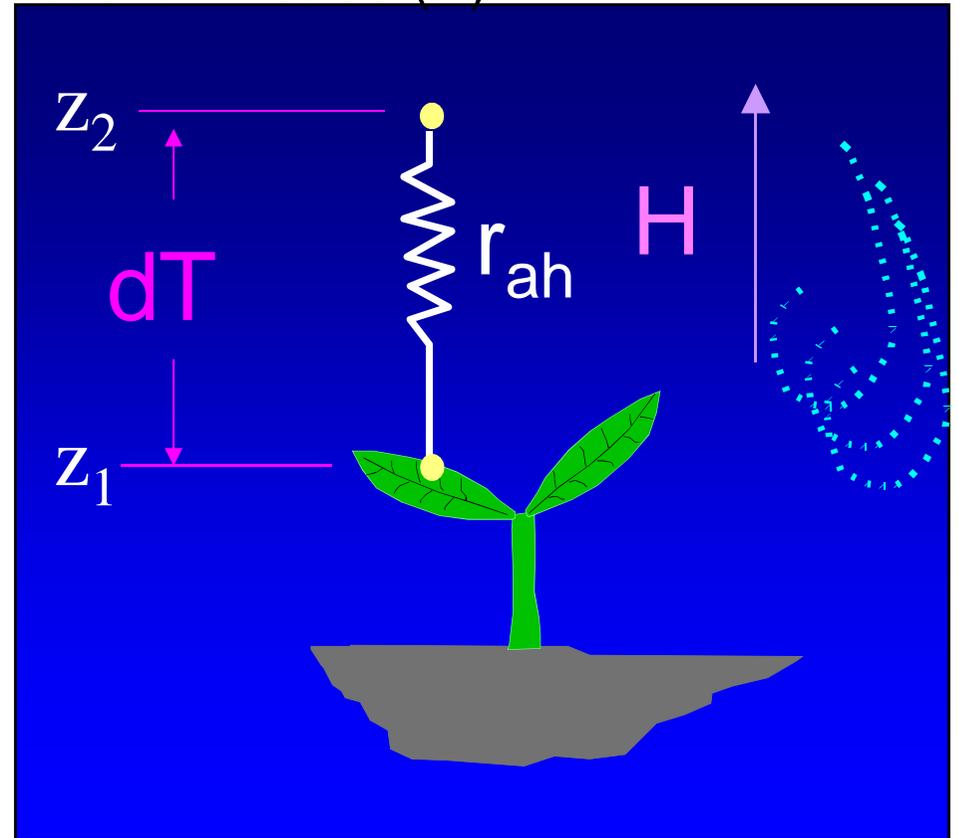
$dT$  = “floating” near surface temperature difference (K)

$r_{ah}$  = the aerodynamic resistance  
from  $z_1$  to  $z_2$

$$r_{ah} = \frac{\ln\left(\frac{z_2}{z_1}\right) - \Psi_{h(z_2)} + \Psi_{h(z_1)}}{u_* \times k}$$

$u_*$  = friction velocity

$k$  = von karmon  
constant (0.41)



# Stability correction

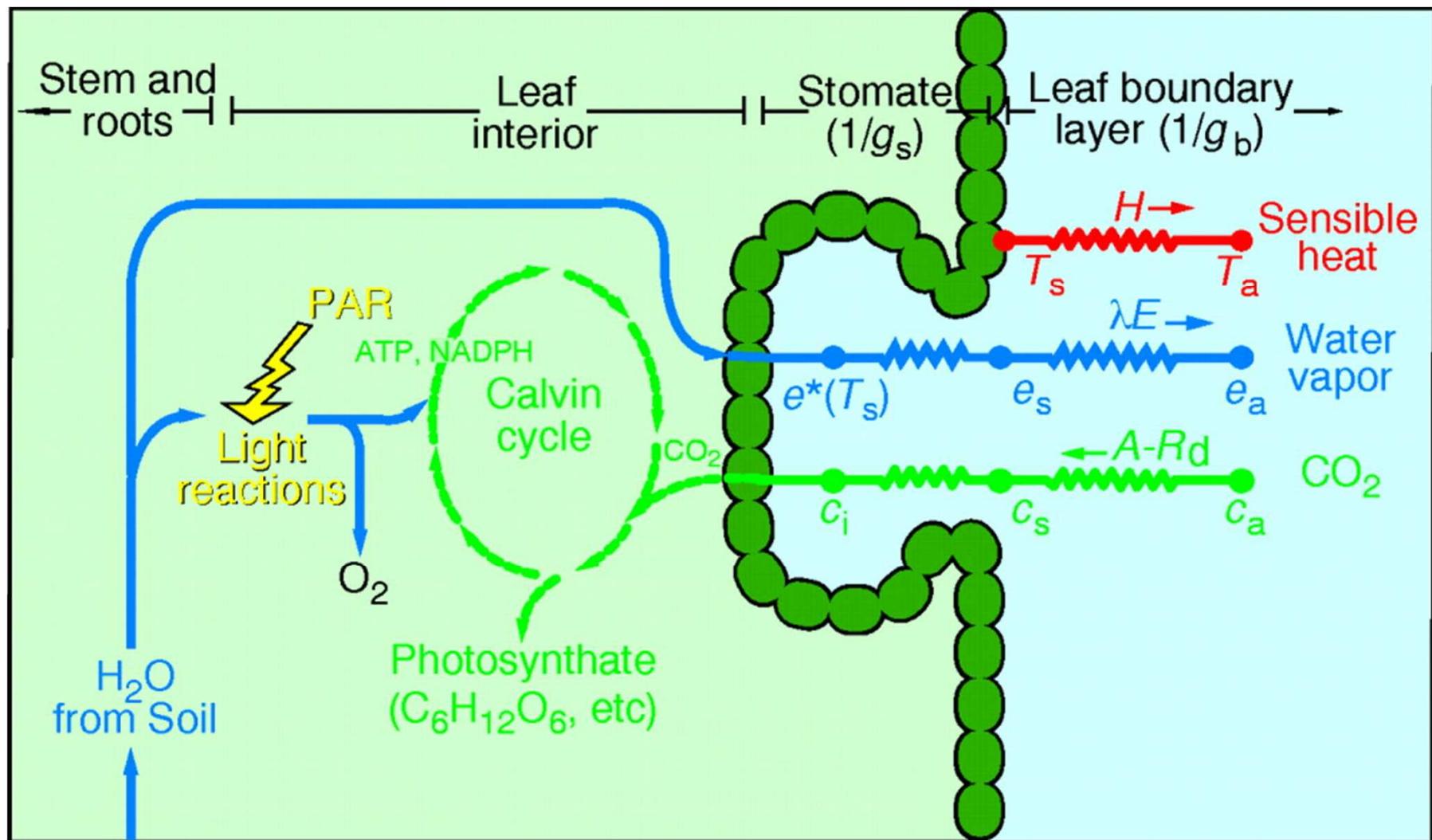
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$$u^* = \frac{u_{200} k}{\ln\left(\frac{200}{z_{0m}}\right) - \Psi_{m(200m)}} \quad r_{ah} = \frac{\ln\left(\frac{z_2}{z_1}\right) - \Psi_{h(z_2)} + \Psi_{h(z_1)}}{u^* \times k}$$

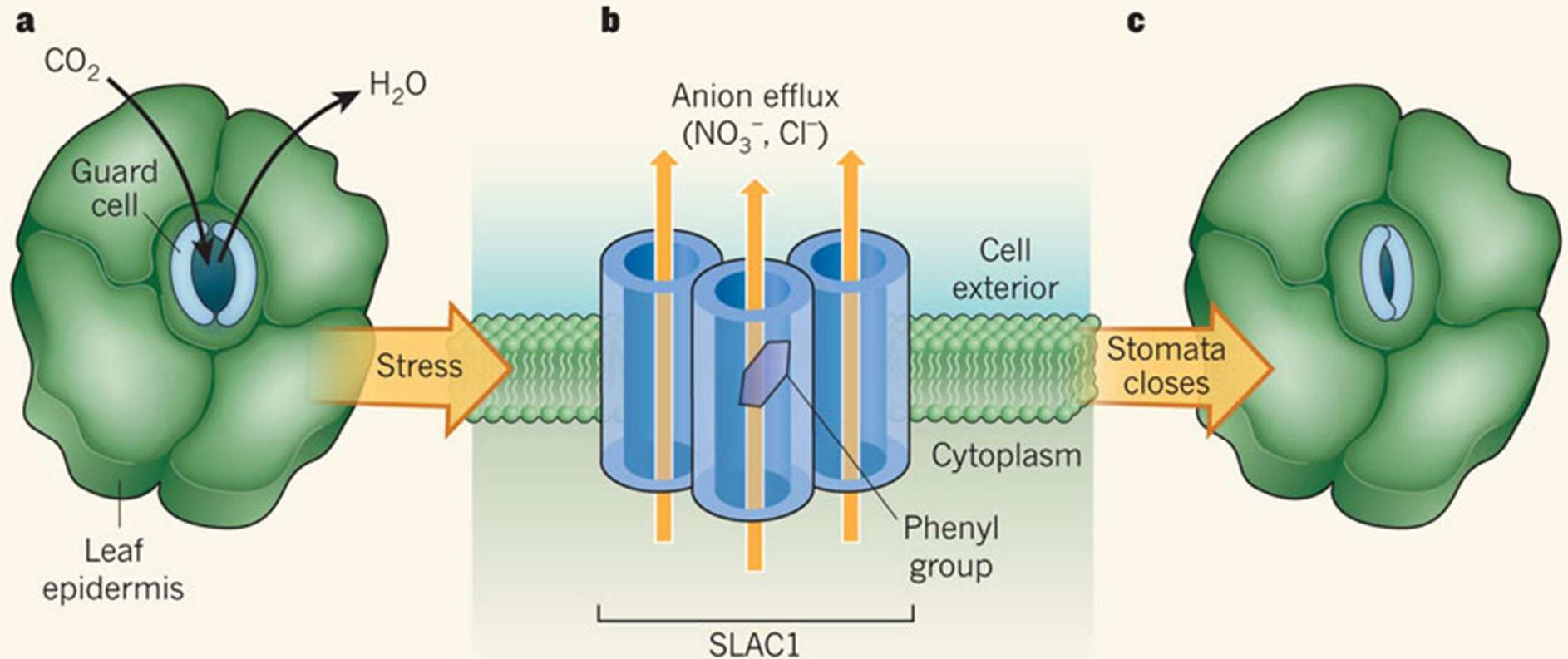
- New values for dT are computed for the “anchor” pixels.
- New values for a and b are computed.
- A corrected value for H is computed.
- The stability correction is repeated until H stabilizes.

# Evaporation from vegetation

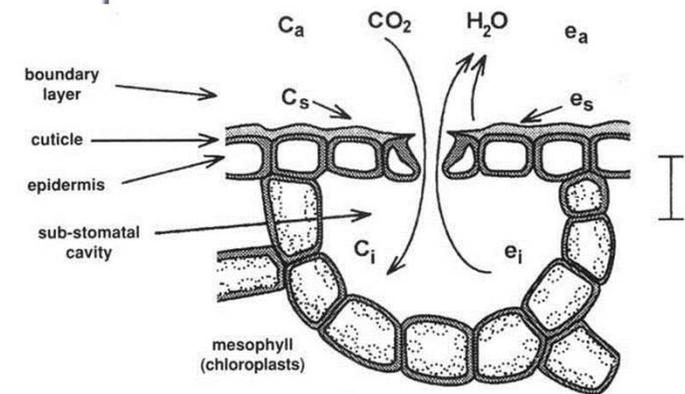
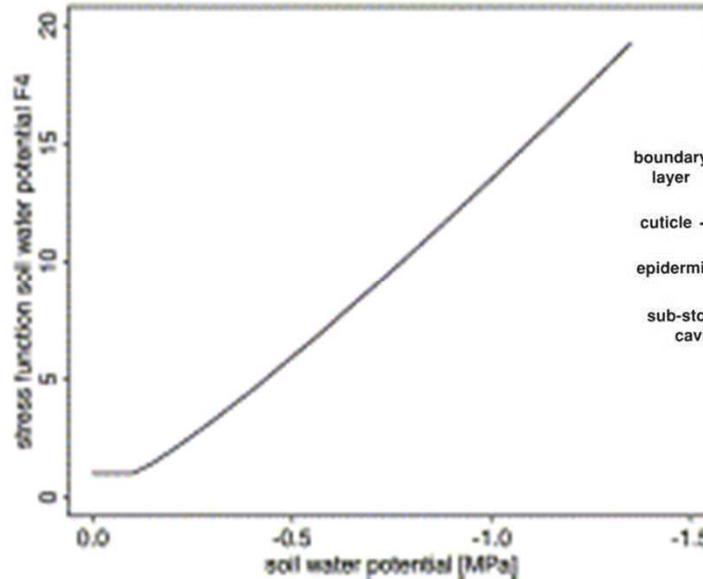
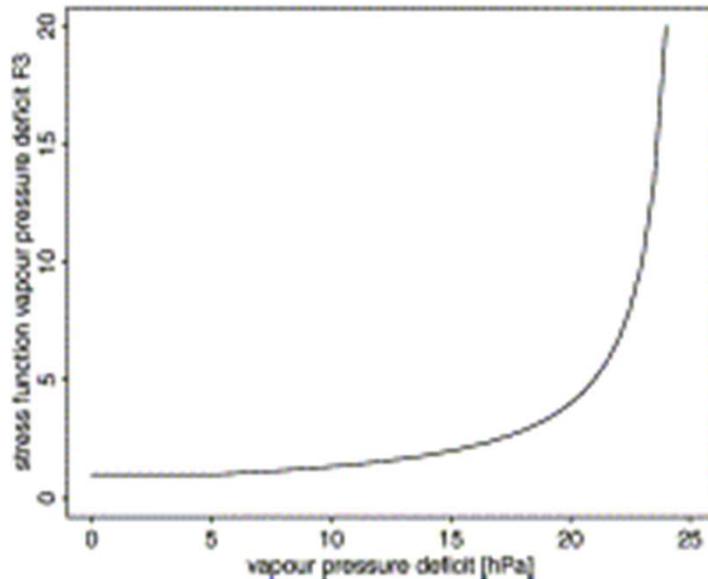
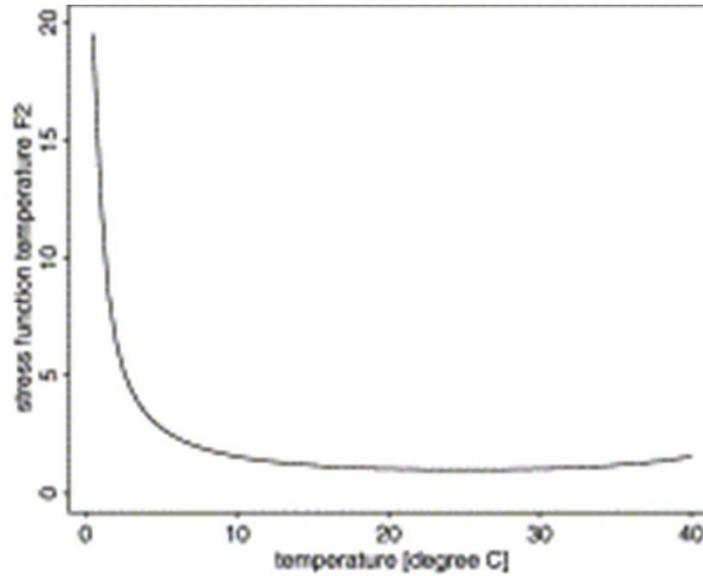
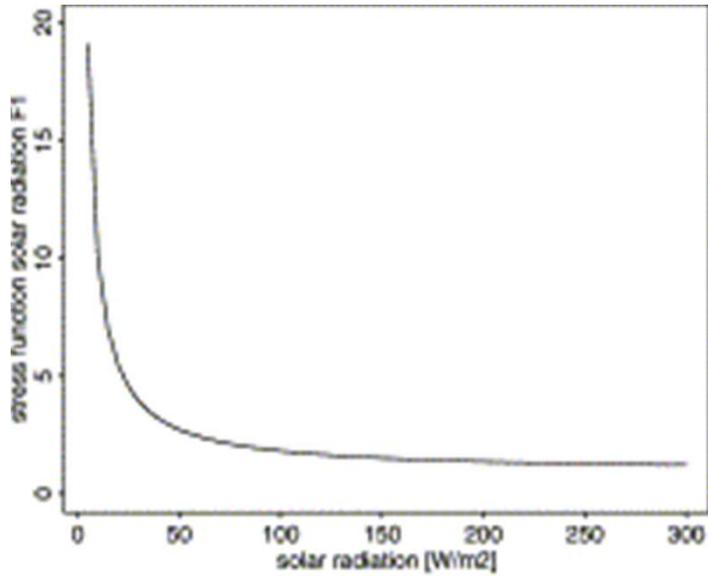
$$L = \beta \left[ \frac{e^*(T_s) - e_a}{r_a + r_c} \right] \frac{\rho_a C_p}{\gamma}$$



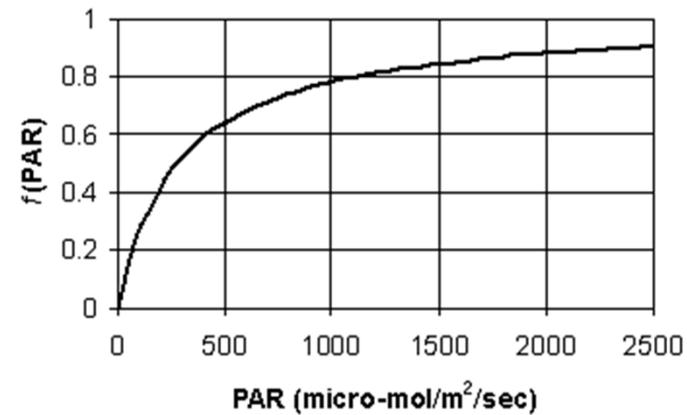
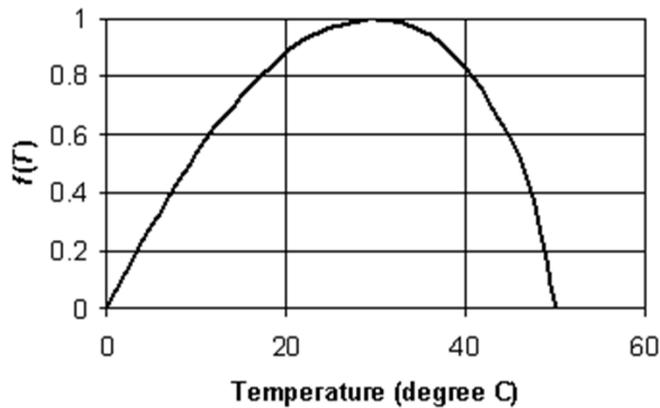
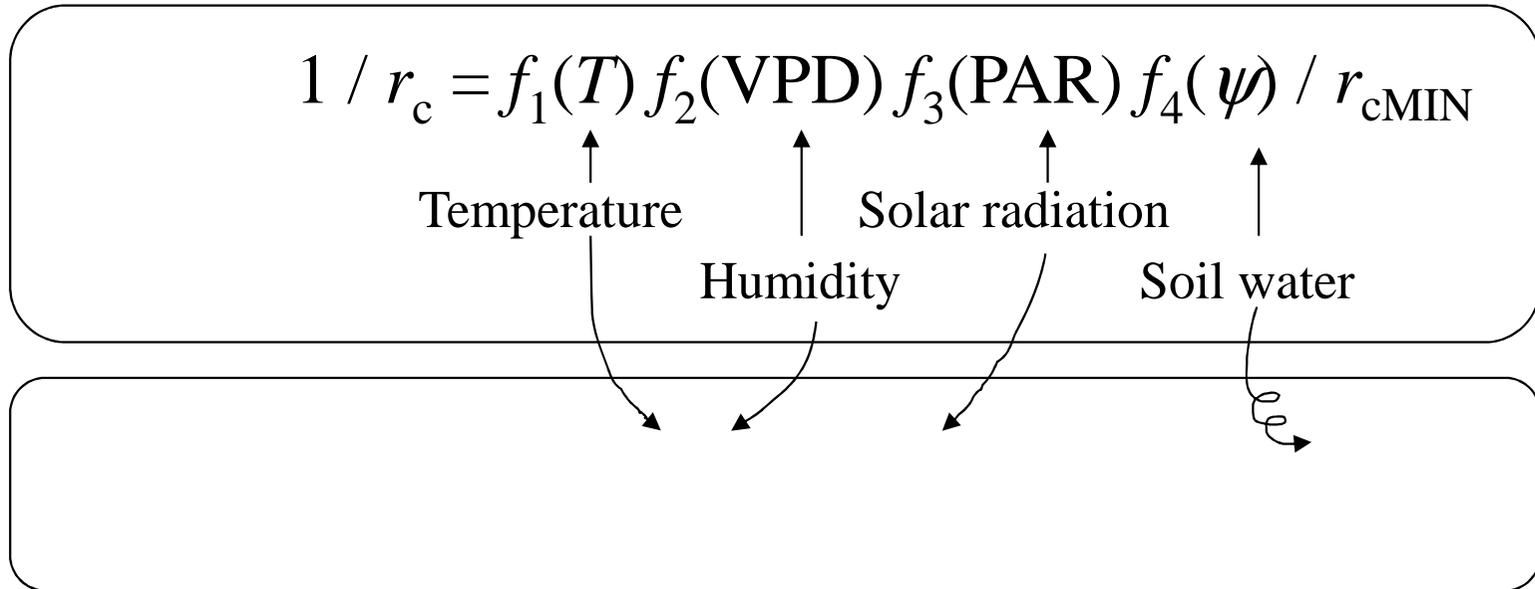
# Stomatal regulation



# Stomatal regulation

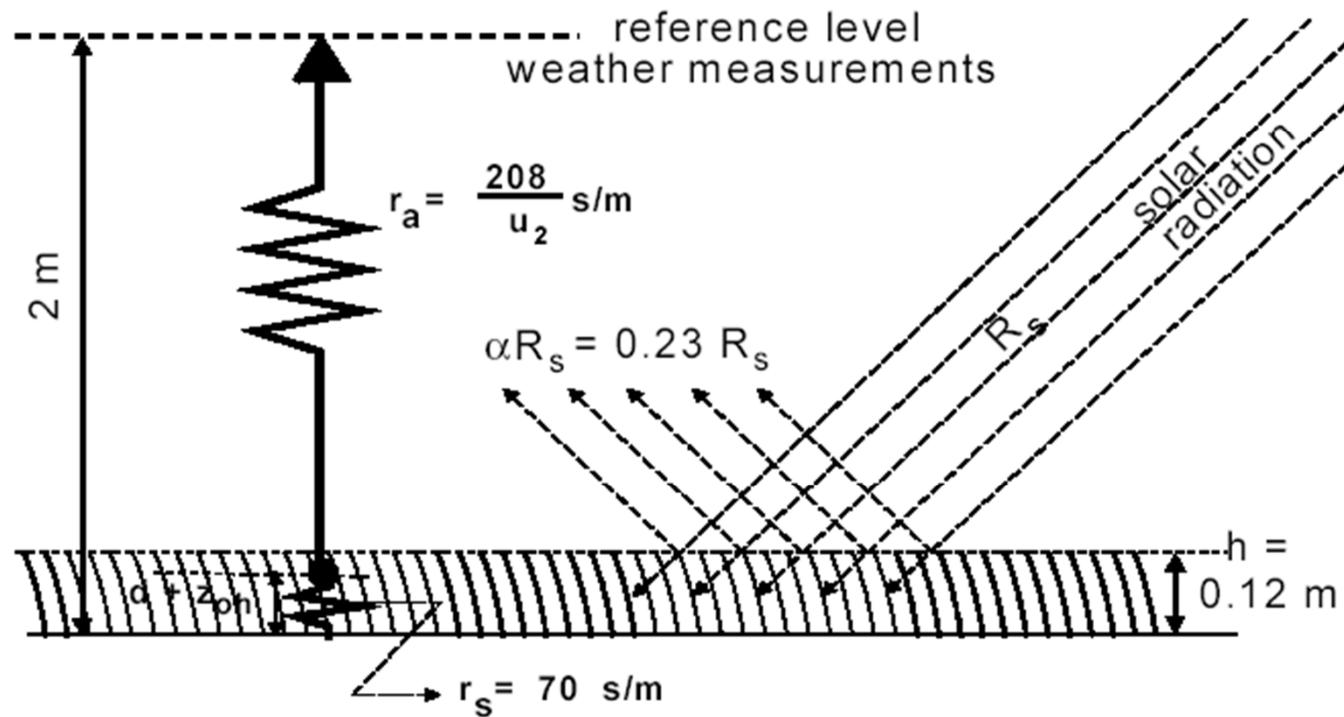


# Canopy Resistance Model



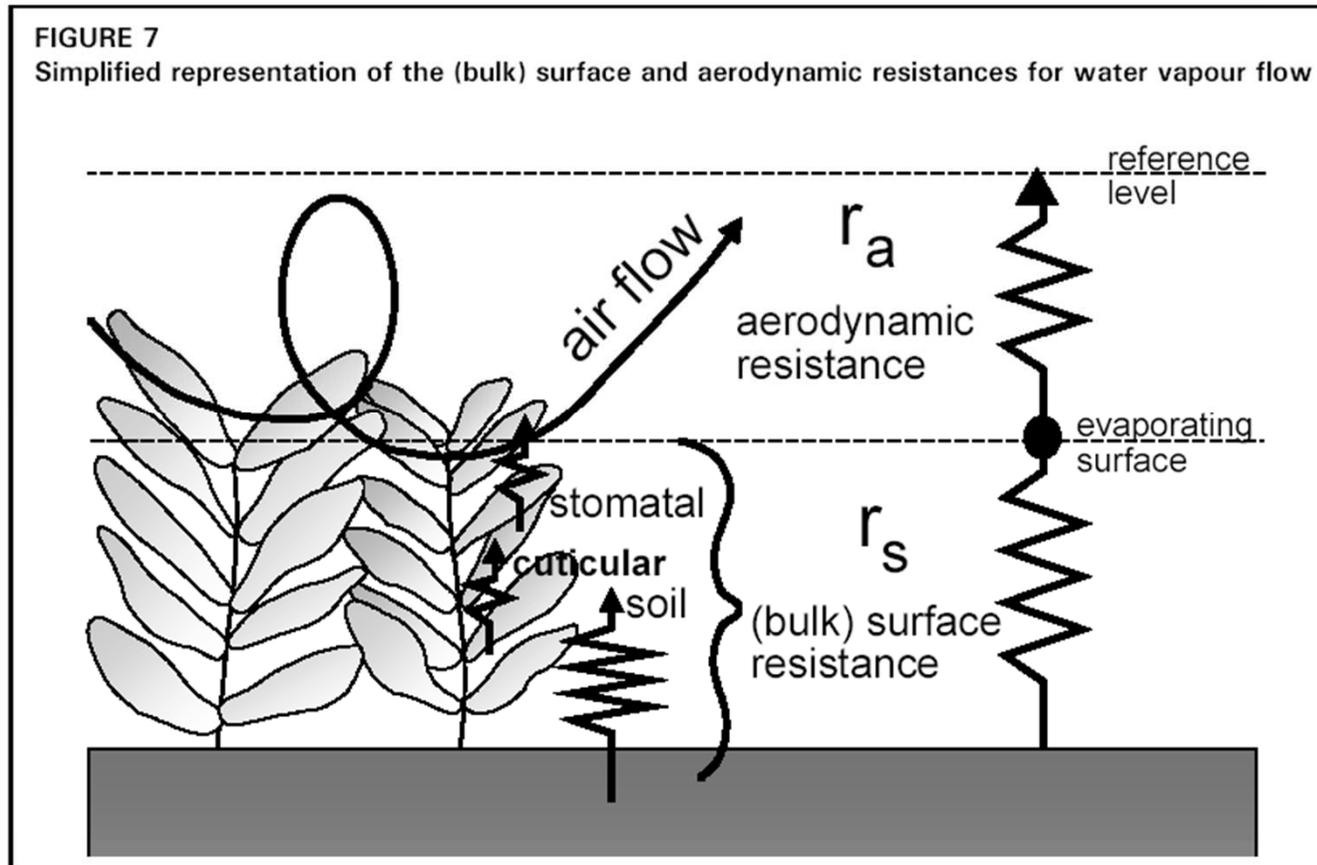
# Reference ET

FIGURE 9  
Characteristics of the hypothetical reference crop



[19]

# Penman-Monteith for $ET_{ref}$ ( $ET_0$ )



[19]

The Penman-Monteith form of the combination equation is:

$$\lambda ET = \frac{\Delta(R_n - G) + \rho_a c_p \frac{(e_s - e_a)}{r_a}}{\Delta + \gamma \left(1 + \frac{r_s}{r_a}\right)} \quad (3)$$

# Aerodynamic resistance

## BOX 4

### The aerodynamic resistance for a grass reference surface

For a wide range of crops the zero plane displacement height,  $d$  [m], and the roughness length governing momentum transfer,  $z_{om}$  [m], can be estimated from the crop height  $h$  [m] by the following equations:

$$d = 2/3 h$$

$$z_{om} = 0.123 h$$

The roughness length governing transfer of heat and vapour,  $z_{oh}$  [m], can be approximated by:

$$z_{oh} = 0.1 z_{om}$$

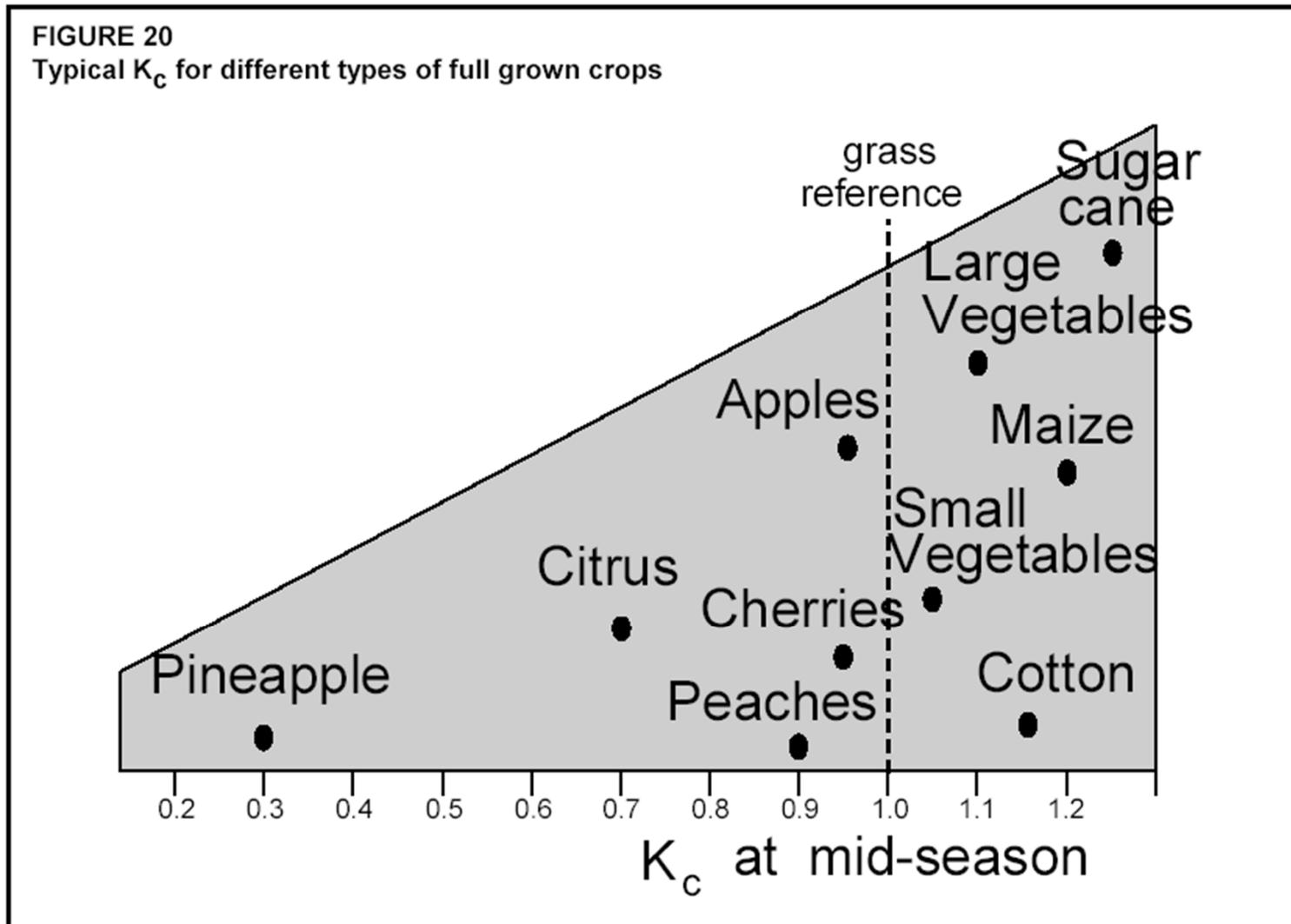
Assuming a constant crop height of 0.12 m and a standardized height for wind speed, temperature and humidity at 2 m ( $z_m = z_h = 2$  m), the aerodynamic resistance  $r_a$  [ $s\ m^{-1}$ ] for the grass reference surface becomes (Eq. 4):

$$r_a = \frac{\ln \left[ \frac{2 - 2/3(0.12)}{0.123(0.12)} \right] \ln \left[ \frac{2 - 2/3(0.12)}{(0.1)0.123(0.12)} \right]}{(0.41)^2 u_2} = \frac{208}{u_2}$$

where  $u_2$  is the wind speed [ $m\ s^{-1}$ ] at 2 m.

[19]

# Potential ET for correction of grass

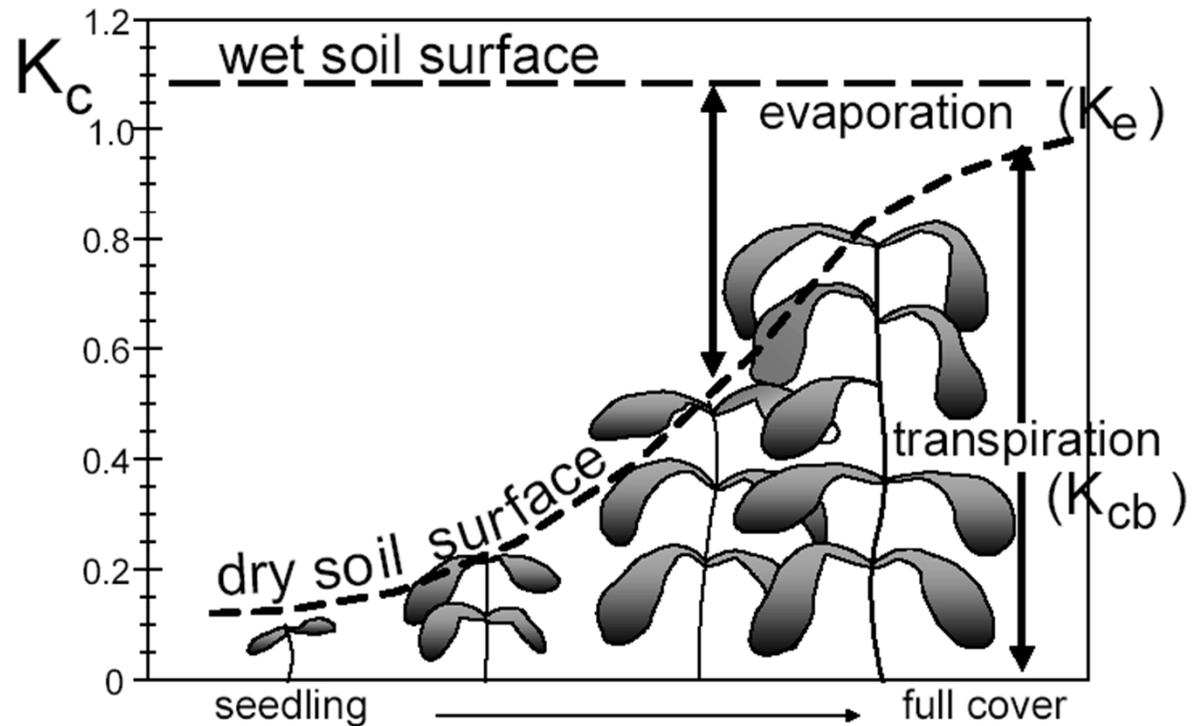


[19]

# Crop coefficient

FIGURE 22

The effect of evaporation on  $K_c$ . The horizontal line represents  $K_c$  when the soil surface is kept continuously wet. The curved line corresponds to  $K_c$  when the soil surface is kept dry but the crop receives sufficient water to sustain full transpiration



[19]

# Sources images

- [1] Land Surface Modeling Concept, source: [lis.gsfc.nasa.gov](http://lis.gsfc.nasa.gov)
- [2] Annual evaporation map, source: unknown
- [3] Global energy flows, source: Trenberth et al (2009)
- [4] The Electromagnetic Spectrum, source: The COMET Program
- [5] Atmospheric Transmission, source: Creative Commons Wikipedia/Robert A. Rohde
- [6] EM spectrum micrometers, image courtesy of CCRS/CCT
- [7] Fruit Crop Health, source: [www.organicfarming.com.au](http://www.organicfarming.com.au)
- [8] Rice plants, source: Flickr.com - IRRI Images
- [9] Spectral reflectance profiles, source: [regional.org.au](http://regional.org.au)
- [10] Source: Cavero et al (2009)
- [11] Measuring vegetation, source: NASA/Robert Simmon
- [12] Spectral signatures, source: ESA
- [13] The energy balance for rainfed land and irrigated land, source: [www.waterwatch.nl](http://www.waterwatch.nl)
- [14] Source: [www.waterwatch.nl](http://www.waterwatch.nl)
- [15] Water drainage system, source: unknown
- [16] Sensors measuring soil temperature and soil heat flux, source: Carbon Sequestration Program, University of Nebraska
- [17] Role of SLAC1 channels in stomatal closure, source: Thomine et al (2010)
- [18] Image courtesy of Nature Education
- [19] Source: [www.fao.org](http://www.fao.org)