

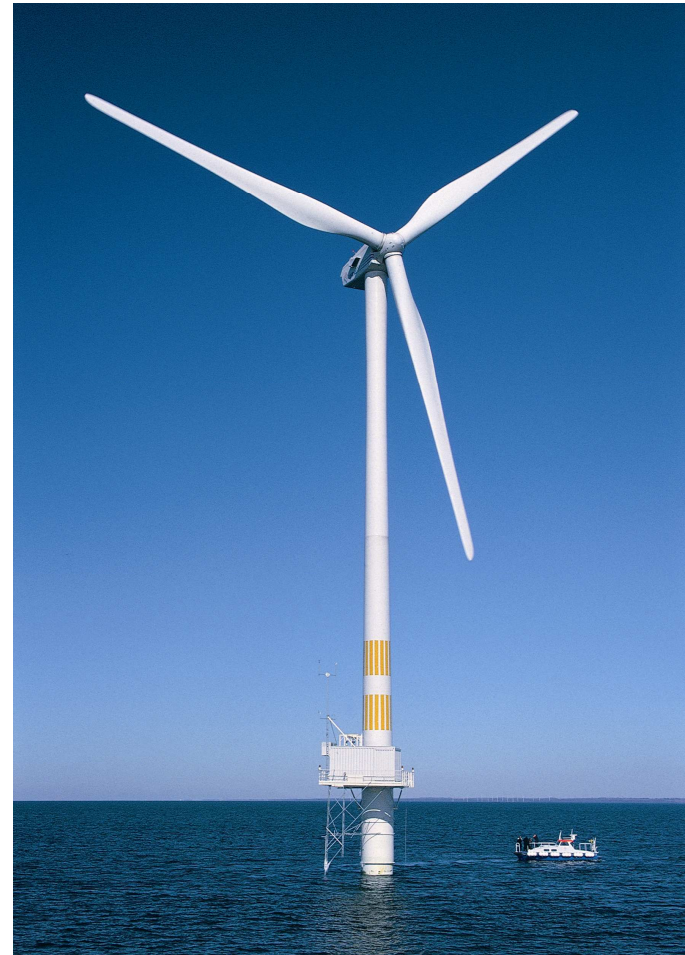
# Introduction to wind energy

Relevant to offshore wind farm design

Offshore Wind Farm Design

Michiel Zaijer

2007-2008



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# Overview

- Rotor aerodynamics
- Power and load control
- Energy production
- Turbine technology
- Multi-MW turbines turbines

# Rotor aerodynamics

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# Determining power and loads

## 0. The approach

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# Blade element – momentum method

## 1. Momentum balance

Macroscopic perspective

Loads from conservation laws



## 2. Blade elements

Local perspective

Loads from lift and drag

# Determining power and loads

## 1. Momentum balance

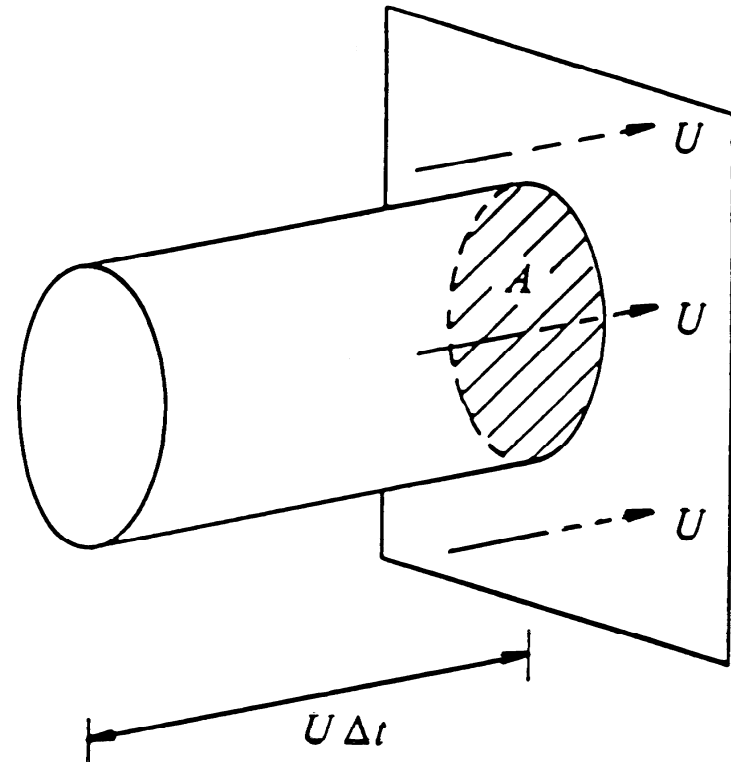
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# Mass, momentum and energy flows

Mass per second through A:

**Mass flow**  $m = \rho U A$

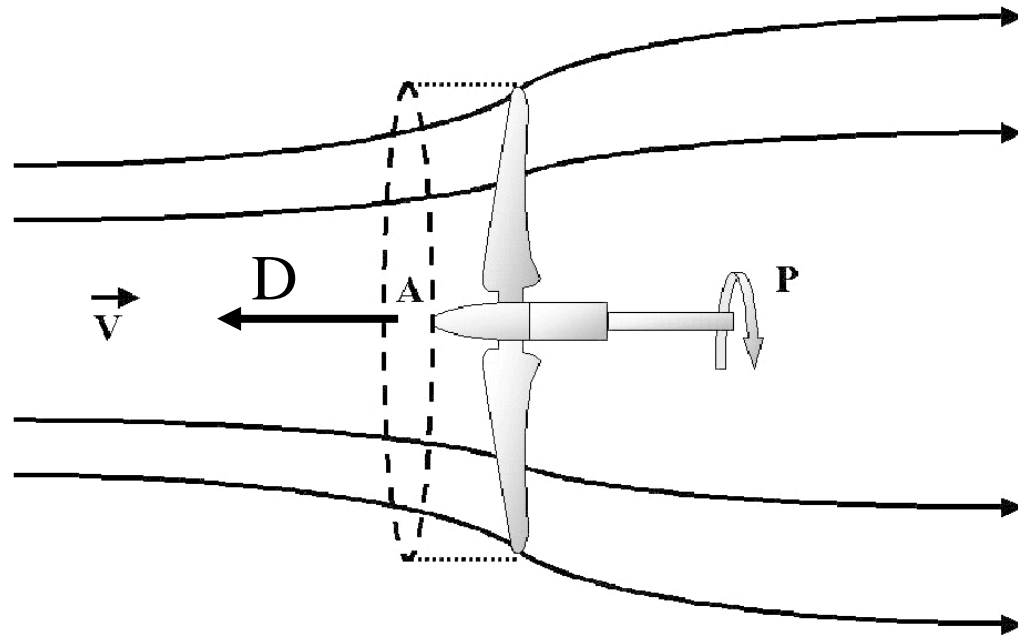


Substitute:

**Momentum flow**  $m U = \rho U^2 A$

**Energy flow**  $\frac{1}{2} m U^2 = \frac{1}{2} \rho U^3 A$

# Actuator disc – represents rotor

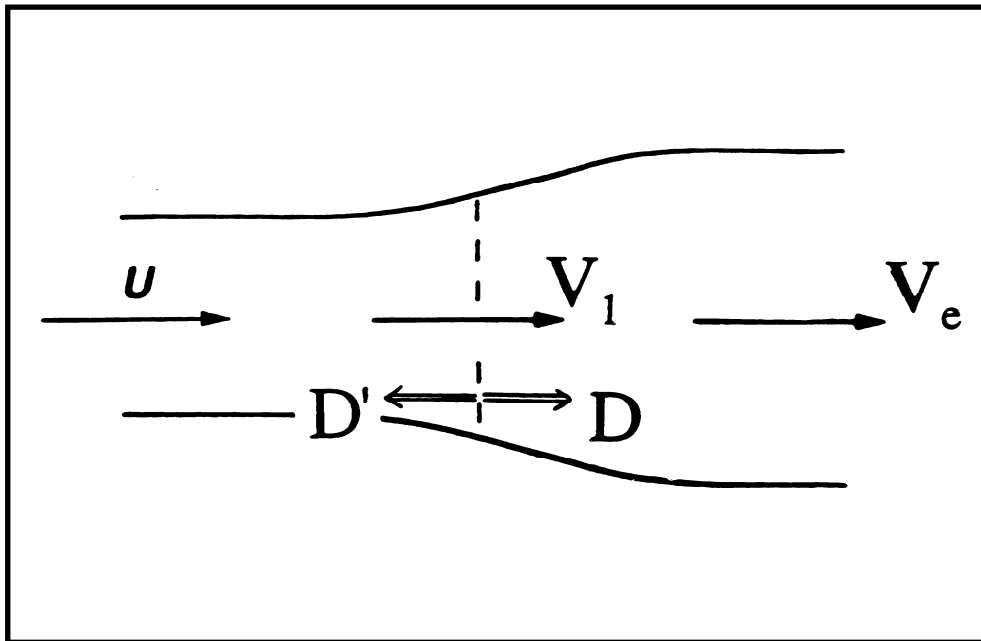


At actuator disc:

**Axial rotor force (thrust)**  $D$   
**Power extraction**  $P$



# Conservation laws



Thrust  $\equiv$  change in momentum

$$D = m (U - V_e)$$

Power extracted at rotor disc

$$D V_1 = m V_1 (U - V_e)$$

Kinetic energy loss in flow

$$\begin{aligned} \frac{1}{2} m (U^2 - V_e^2) &= \\ \frac{1}{2} m (U - V_e) (U + V_e) \end{aligned}$$

Power  $\equiv$  Energy loss

$$V_1 = \frac{1}{2} (U + V_e)$$

# Dimensionless induction factor

Define induction factor  
(dimensionless)

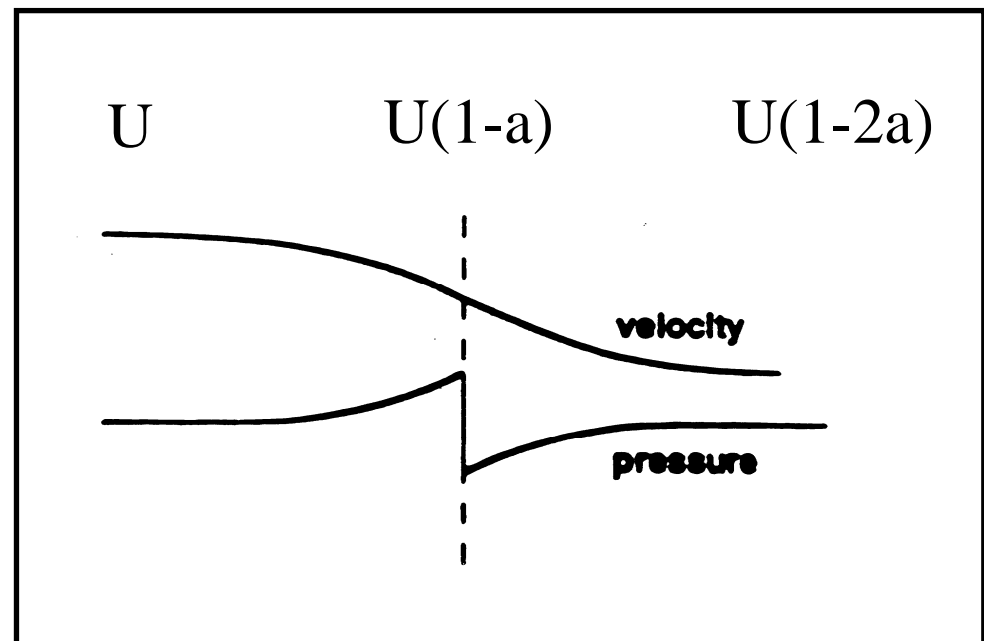
$$a = (U - V_1) / U$$

Rearrange

$$V_1 = U (1 - a)$$

Substitute on previous page

$$V_e = U (1 - 2a)$$



# Substitution with induction factor

## Mass flow

$$m = \rho V_1 A = \rho U (1-a) A$$

## Thrust

$$D = m (U - V_e) = \frac{1}{2} \rho U^2 A 4a(1-a)$$

## Power

$$P = \frac{1}{2} m (U^2 - V_e^2) \\ = \frac{1}{2} m (U - V_e)(U + V_e) = \frac{1}{2} \rho U^3 A 4a(1-a)^2$$

# Dimensionless thrust and power

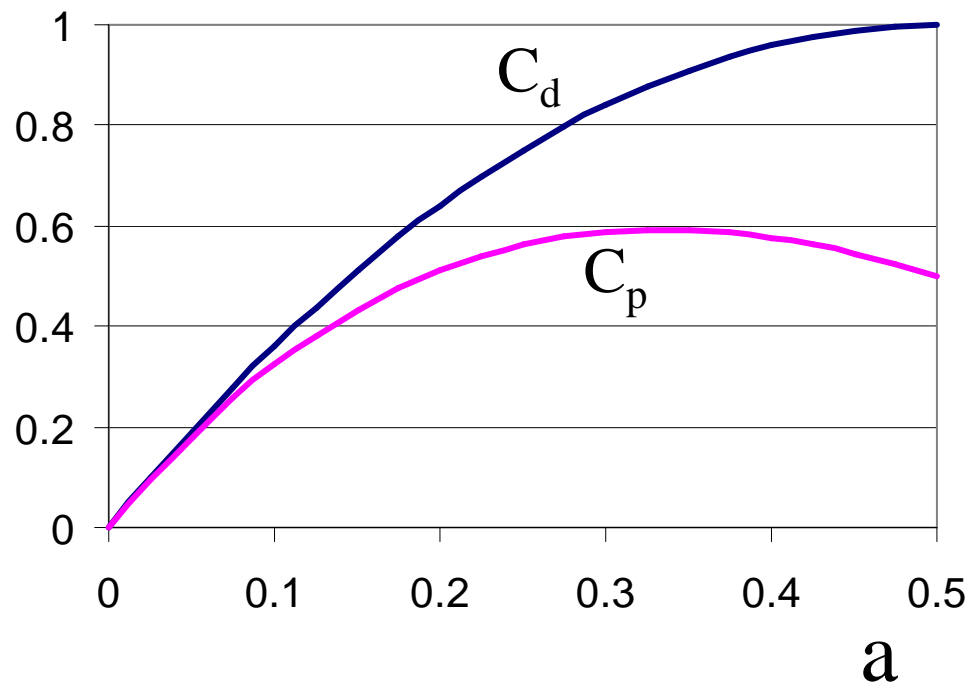
Define

$$\begin{aligned} D &= \frac{1}{2} \rho U^2 A 4a(1-a) = \frac{1}{2} \rho C_d U^2 A \\ P &= \frac{1}{2} \rho U^3 A 4a(1-a)^2 = \frac{1}{2} \rho C_p U^3 A \end{aligned}$$

Dimensionless coefficients become

$$\begin{aligned} C_d &= 4 a (1-a) \\ C_p &= 4 a (1-a)^2 \end{aligned}$$

# Intermezzo: Optimum power



The Betz optimum:  
 $C_p$  is maximum when

$$\frac{dC_p}{da} = 0$$

Result

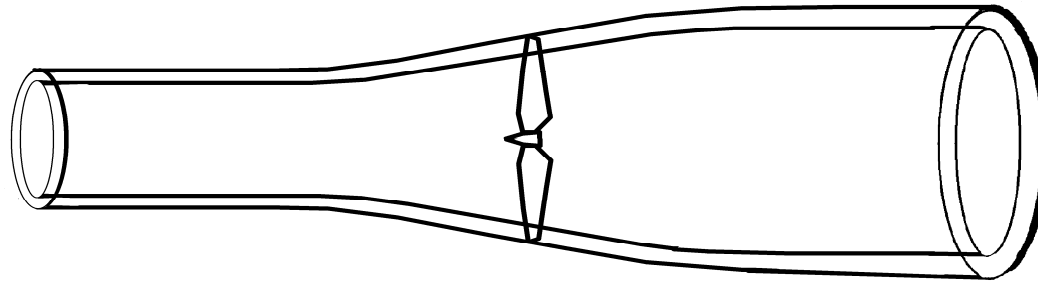
$$a = 1/3$$

$$C_{P,\max} = 16/27 \approx 0.59$$

$$C_d = 8/9$$

# Annular approach

Divide stream tube in concentric annuli, parallel to flow



## Assumptions

1. **Annuli don't interact**
  - Induction factor 'a' independent of other annuli
  - No flow from one annulus to another)
2. **No tangential change within one annulus**
  - Induction factor 'a' constant over annulus

# Mass, thrust and power per annulus

## Mass flow

$$\begin{aligned} m &= \rho V_1 A &= \rho U (1-a) A \\ dm &= \text{mass per annulus} &= \rho U (1-a) 2\pi r dr \end{aligned}$$

## Thrust

$$\begin{aligned} D &= m (U - V_e) &= \frac{1}{2} \rho U^2 4a(1-a) A \\ dD &= \text{thrust per annulus} &= \frac{1}{2} \rho U^2 4a(1-a) 2\pi r dr \end{aligned}$$

## Power

$$\begin{aligned} P &= \frac{1}{2} m (U^2 - V_e^2) &= \frac{1}{2} \rho U^3 4a(1-a)^2 A \\ dP &= \text{power per annulus} &= \frac{1}{2} \rho U^3 4a(1-a)^2 2\pi r dr \end{aligned}$$

# Determining power and loads

## 2. Blade elements of a rotor

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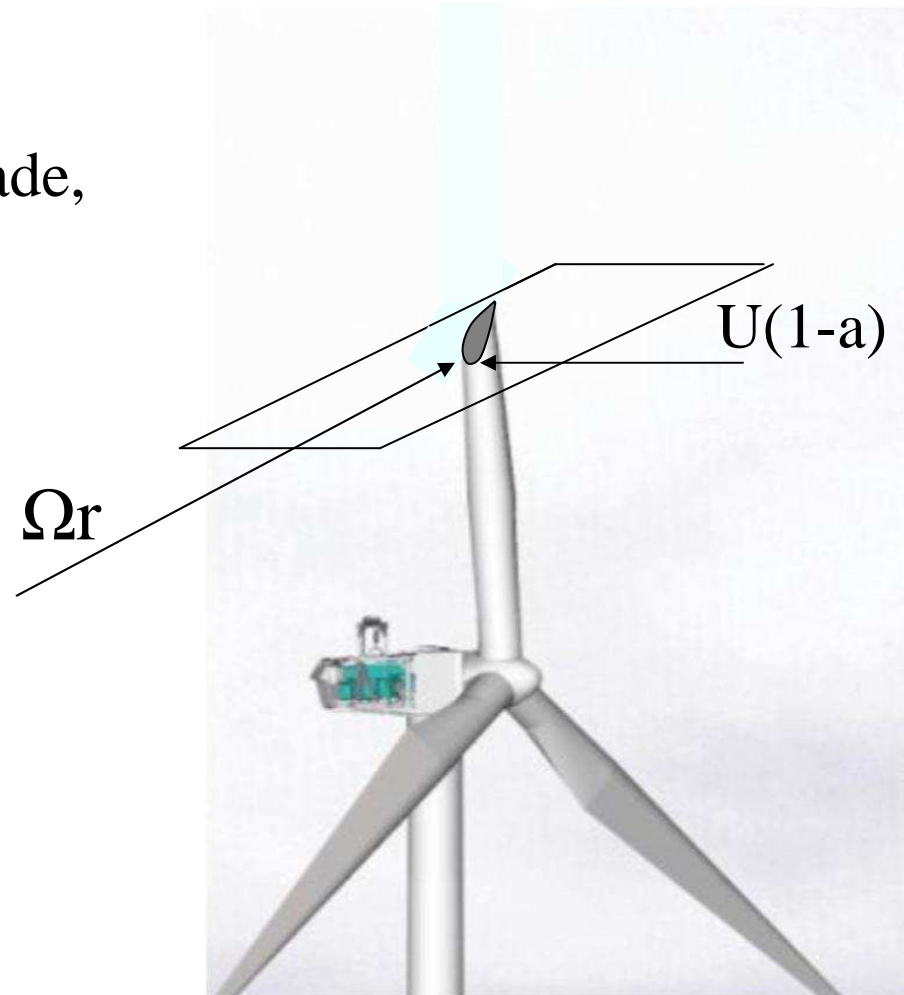
16



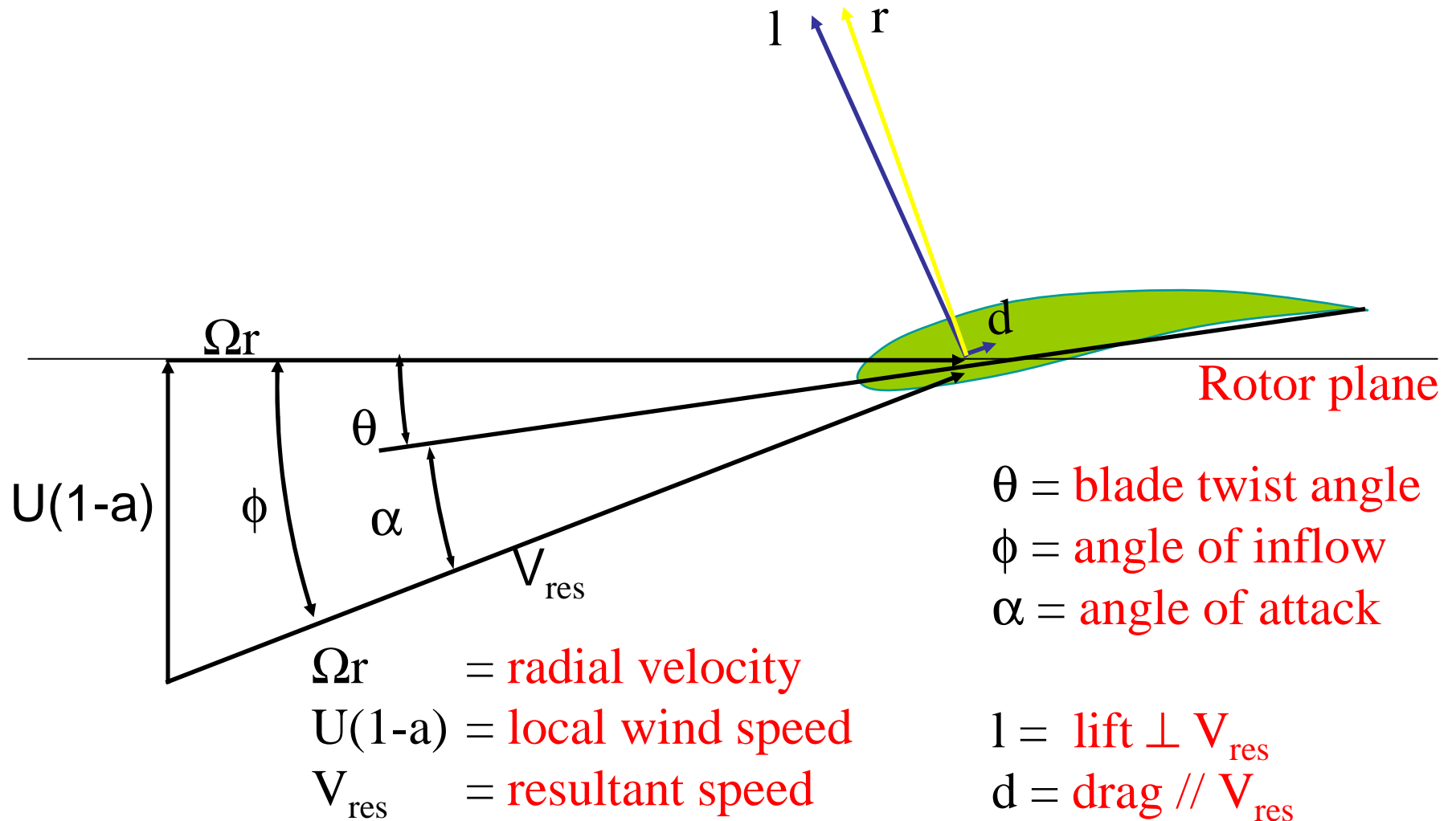
# Cross-section of blade

Consider cross-section of blade,  
perpendicular to blade axis,  
with velocity vectors

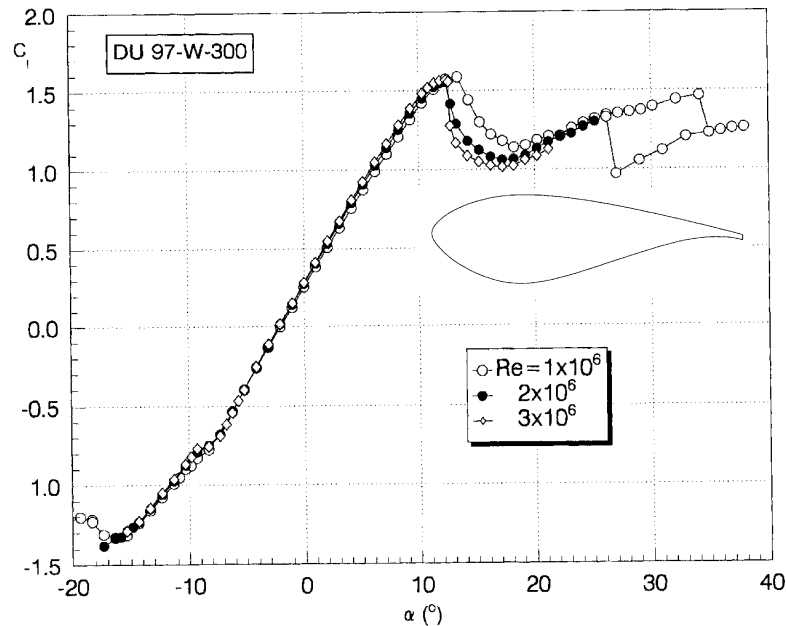
$U(1-a)$  and  $\Omega r$



# Aerofoil forces and velocities

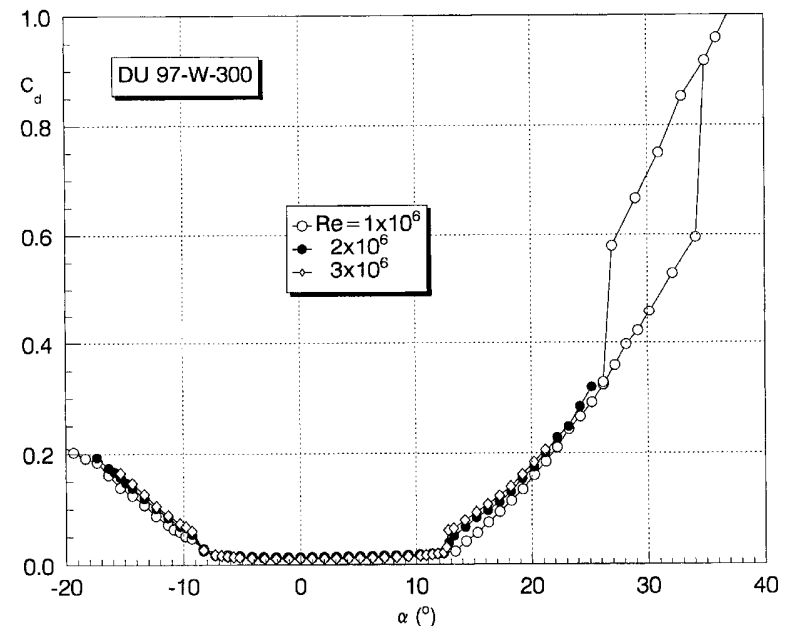


# Lift and drag (2-dimensional flow)



$$l = C_l \cdot \frac{1}{2} \rho U^2 c$$

Lift coefficient



$$d = C_d \cdot \frac{1}{2} \rho U^2 c$$

Drag coefficient

# Flow regimes



Attached flow



Separated flow  
(stalled)

# Thrust and power

Contribution to thrust  $dD$  per blade element  $dr$

$$dD = N ( l \cos(\phi) + d \sin(\phi) ) dr \quad N = \text{Number of blades}$$

$$dD = N ( C_l \frac{1}{2} \rho V_{res}^2 \cos(\phi) + C_d \frac{1}{2} \rho V_{res}^2 \sin(\phi) ) c dr$$

$$dD = N ( C_l \frac{1}{2} \rho (\Omega r)^2 + C_d \frac{1}{2} \rho (U(1-a))^2 ) c dr$$

Contribution to power  $dP$  per blade element  $dr$

$$dP = N ( l \sin(\phi) - d \cos(\phi) ) \Omega r dr$$

$$dP = N ( C_l \frac{1}{2} \rho (U(1-a))^2 - C_d \frac{1}{2} \rho (\Omega r)^2 ) c \Omega r dr$$

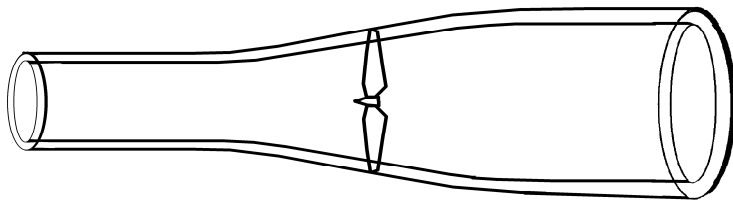
# Determining power and loads

## 3. Blade element – momentum method: BEM

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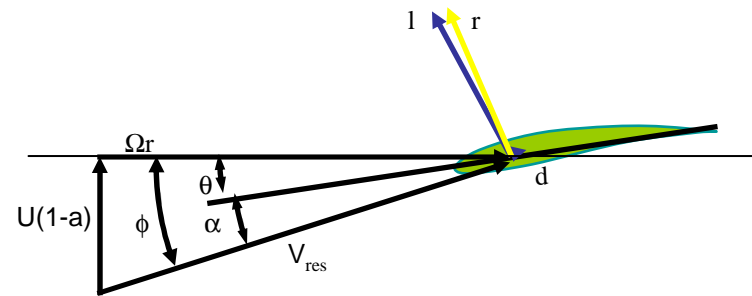
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# Combining two theories



## Momentum balance

$$dD = \frac{1}{2} \rho U^2 4a(1-a) 2\pi r dr$$



## Blade elements

$$dD = N \left( C_l \frac{1}{2} \rho (\Omega r)^2 + C_d \frac{1}{2} \rho (U(1-a))^2 \right) c dr$$

When we assume  $dD_{\text{momentum balance}} = dD_{\text{blade elements}}$

→ Two equations – two unknowns:  $dD$  and  $a$

# Solving induction factor with BEM

For each annulus:

- Choose an initial value for 'a'.
- Use this to calculate angle of attack and from this  $C_1$  and  $C_d$
- Calculate axial aerodynamic force on blade element:  $dD$
- From  $dD$  follows a new value for 'a' with momentum theory
- Continue until 'a' reaches a constant value.



# Solving loads and power with BEM

Once 'a' is known for all annuli, integrate blade elements

## Thrust on rotor

$$D = \int_0^R N ( C_1 \frac{1}{2} \rho (\Omega r)^2 + C_d \frac{1}{2} \rho (U(1-a))^2 ) c dr$$

## Power on main shaft

$$P = \int_0^R N ( C_1 \frac{1}{2} \rho (U(1-a))^2 - C_d \frac{1}{2} \rho (\Omega r)^2 ) c \Omega r dr$$

# Additions to BEM

- Tip losses / infinite number of blades
- Wake rotation (tangential forces and velocities)

Included in all state-of-the-art calculation tools

# Characterising rotor aerodynamics

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# The $C_p - \lambda$ curve

Define tip speed ratio

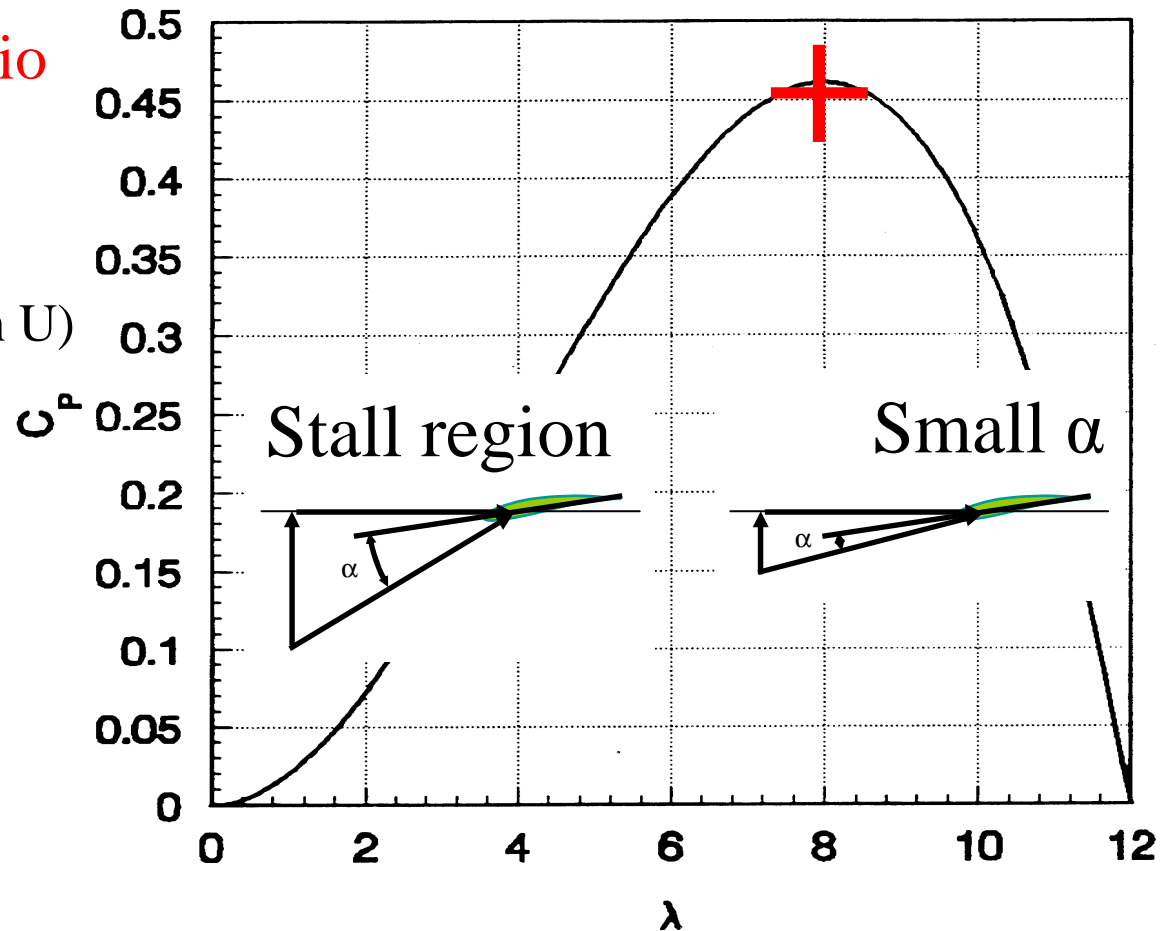
$$\lambda = \Omega R / U$$

(Low  $\lambda$  = low  $\Omega$  or high  $U$ )

Example

$$\lambda_{\text{design}} = 8$$

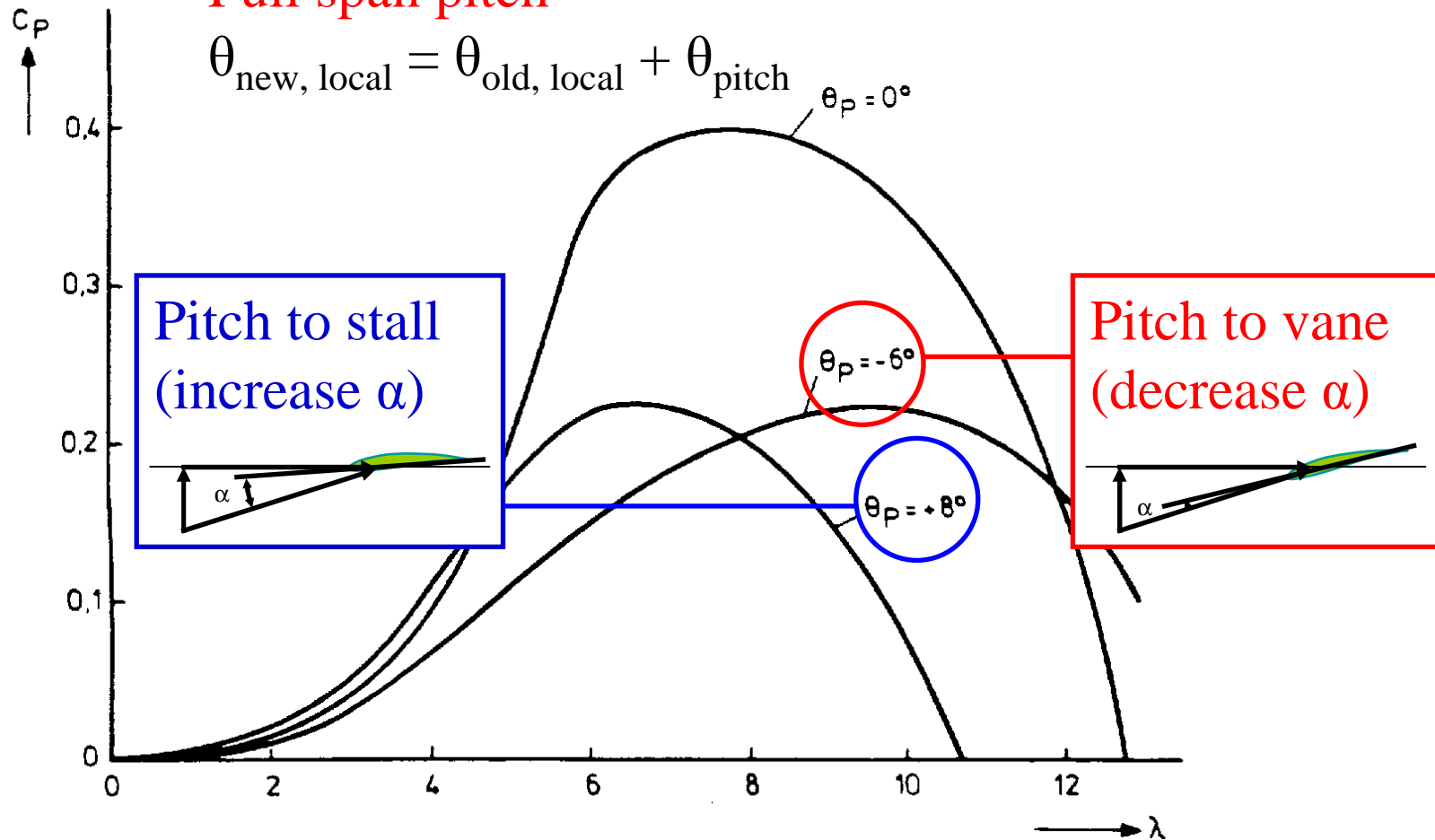
$$C_{p,\text{max}} = 0.46$$



# Cp-λ curves for different pitch

Full span pitch

$$\theta_{\text{new, local}} = \theta_{\text{old, local}} + \theta_{\text{pitch}}$$



# Wind turbine control

## Aerodynamic aspects

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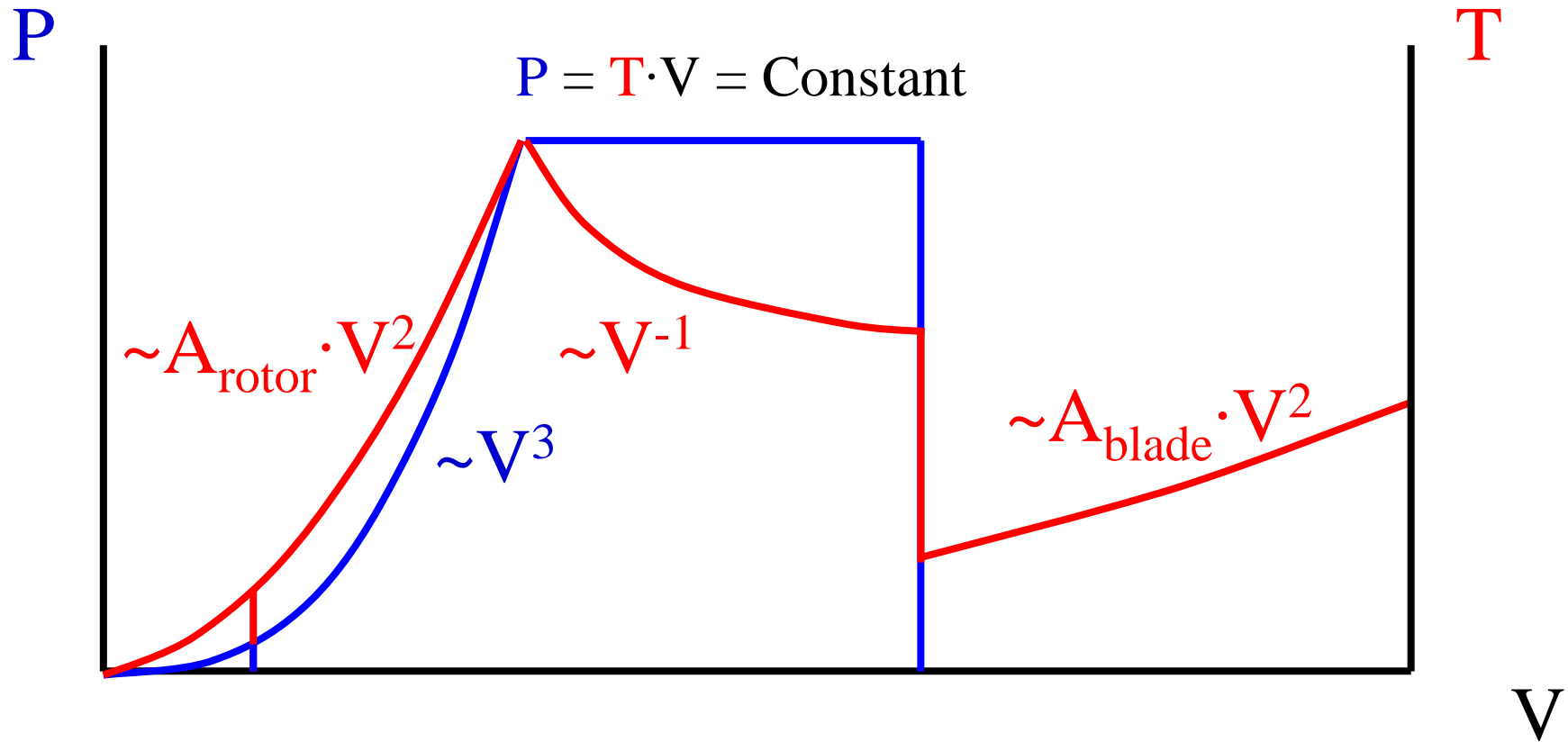
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# Power and thrust curves

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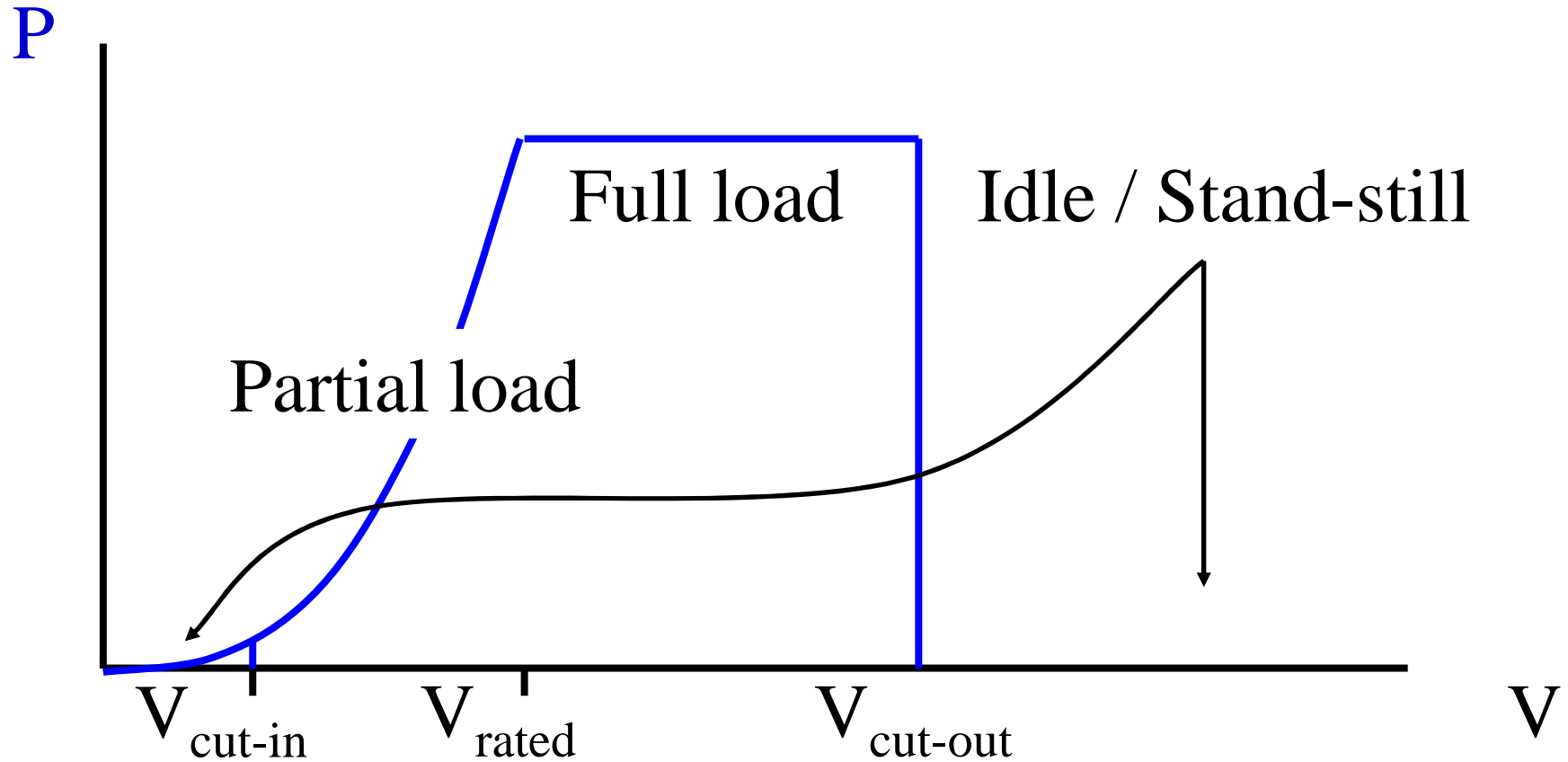
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# “Ideal” Power and thrust curves





# Terminology for regions of operation

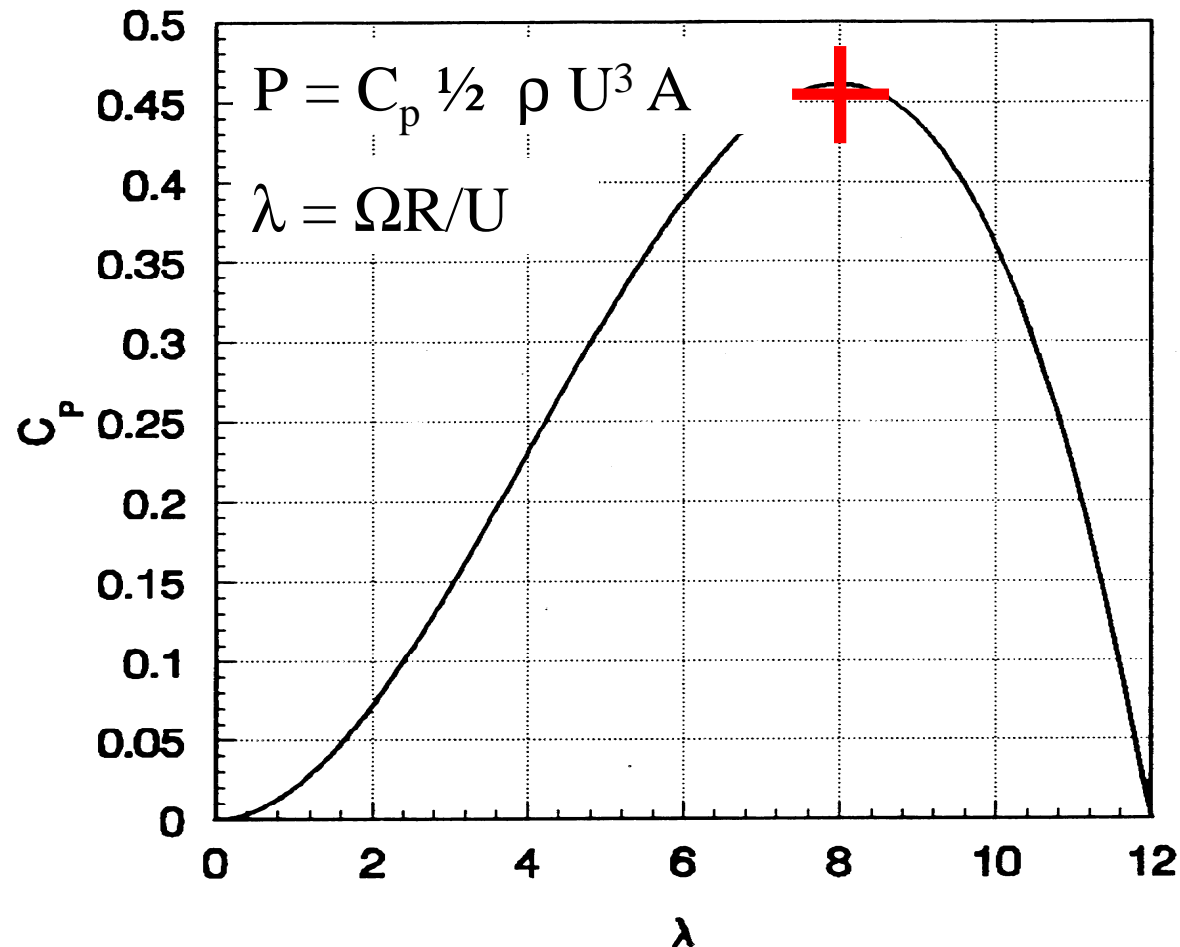


# Partial load – power control

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# Ideal power control – variable speed



$$P \sim U^3$$

$$\rightarrow C_p(\lambda) = C_{p,\max}$$

$$\rightarrow \lambda = \lambda_{\text{design}}$$

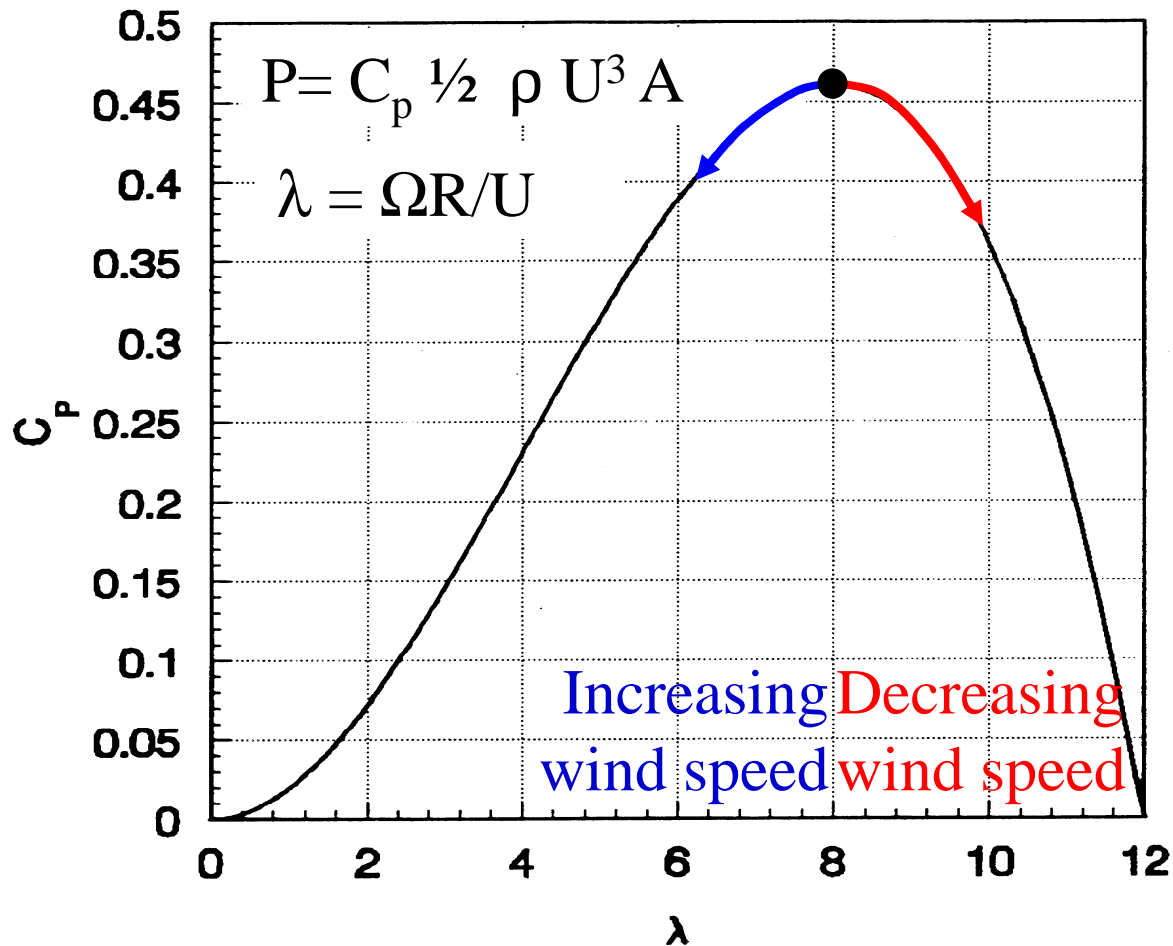
$$\rightarrow \Omega \sim U$$

**Variable speed!**  
**(Fixed pitch)**

$$\lambda_{\text{design}} = 8$$

$$C_{p,\max} = 0.46$$

# Constant speed power control



$P \leq P_{\text{variable speed}}$

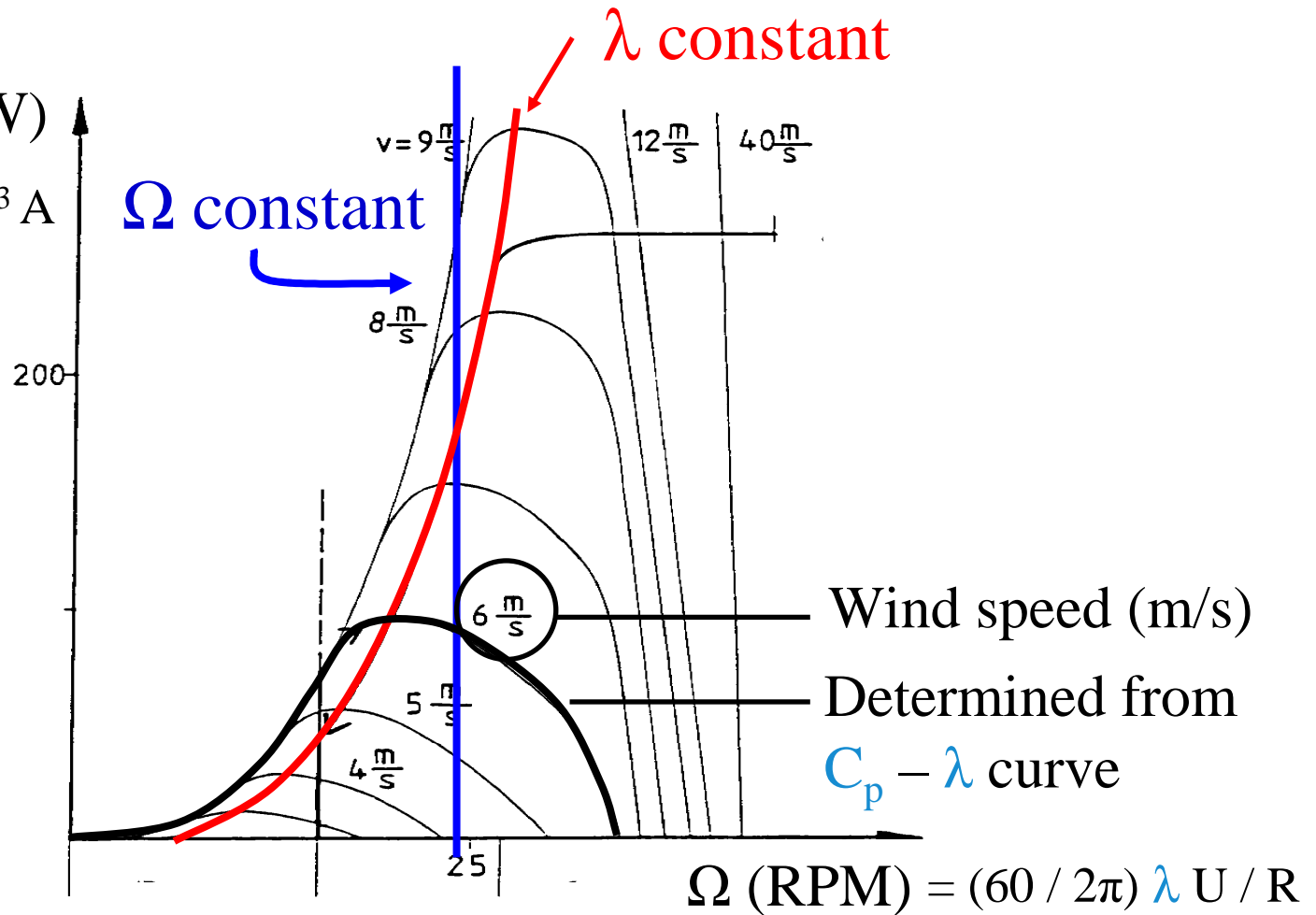
$C_{p,\text{max}}$  only at one wind speed

$$\lambda_{\text{design}} = 8$$

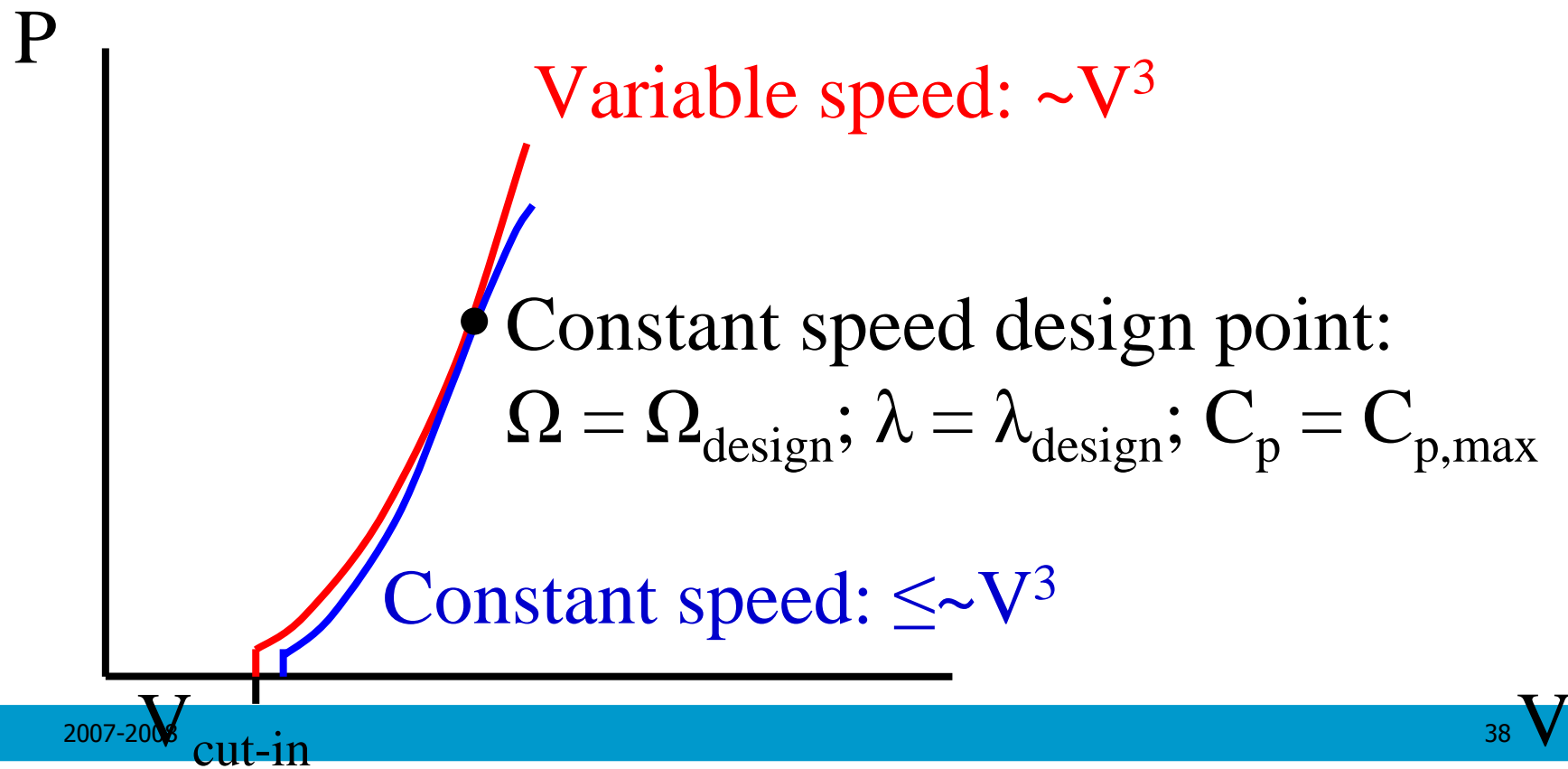
$$C_{p,\text{max}} = 0.46$$

# Power, RPM, wind speed

Power (kW)  
 $= C_p \frac{1}{2} \rho U^3 A$



# Power difference (partial load)

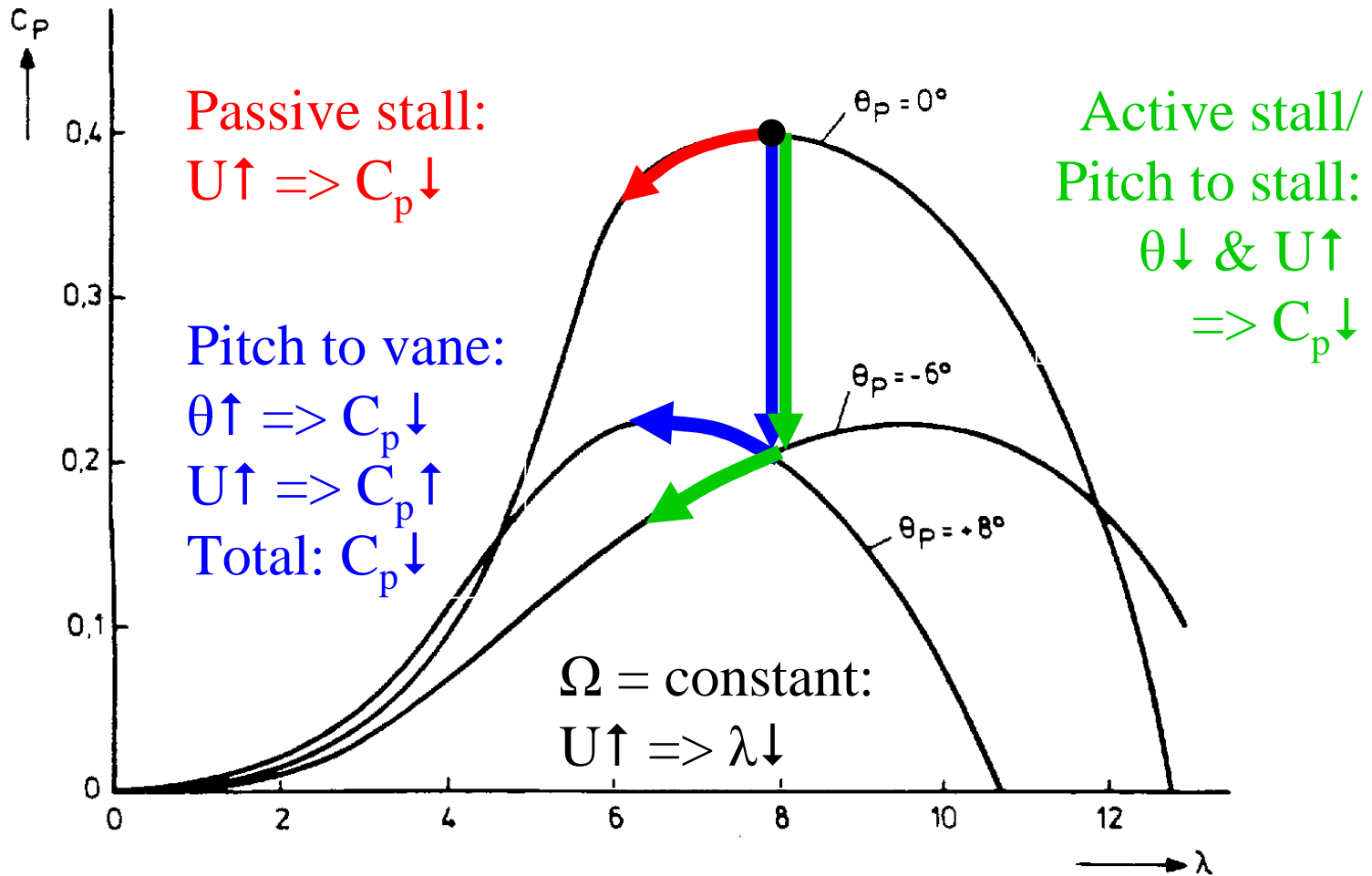


# Full load – power control

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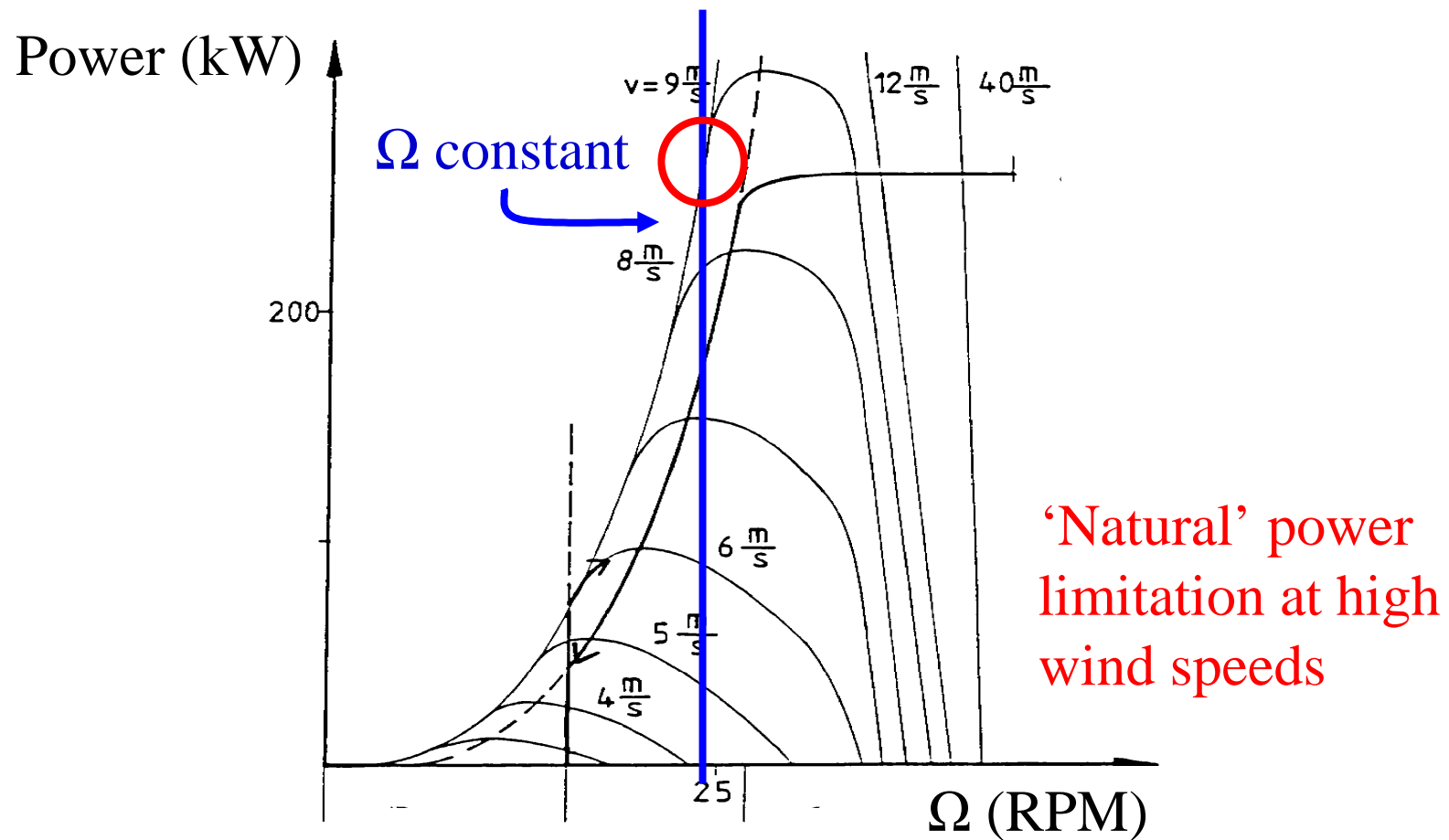
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# Control options (constant speed)



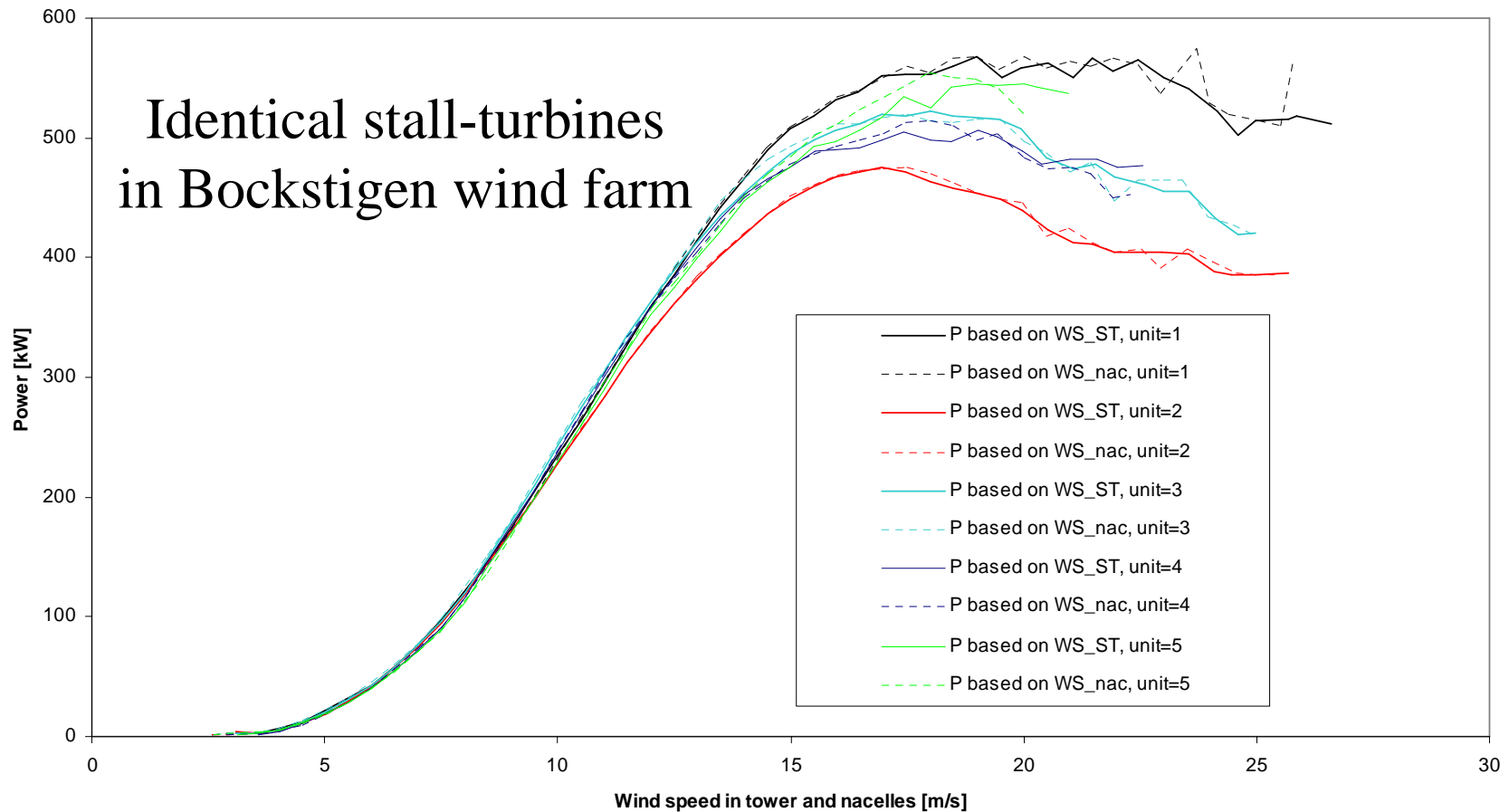


# Passive stall control



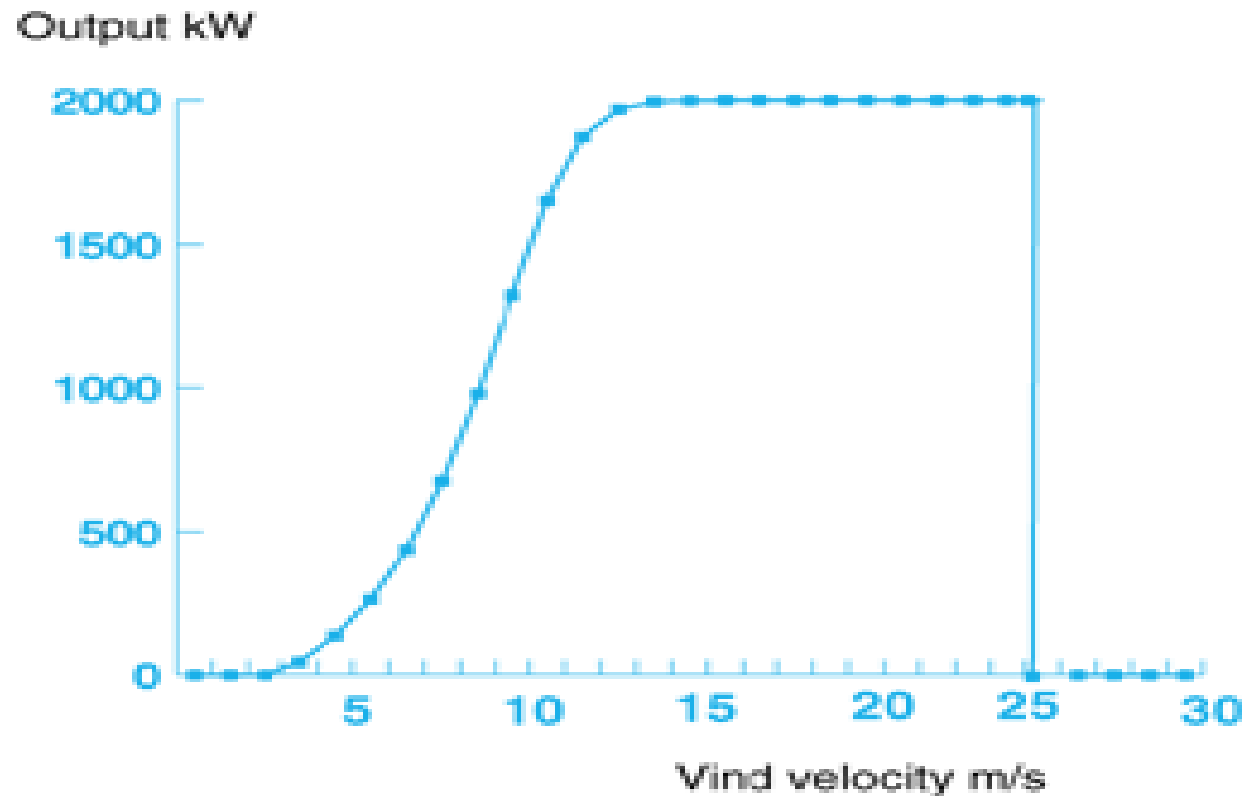
# Passive stall power curves

Comparison of power curves



# Pitch to vane power curve

Output curve for Vestas V80 - 2,0 MW

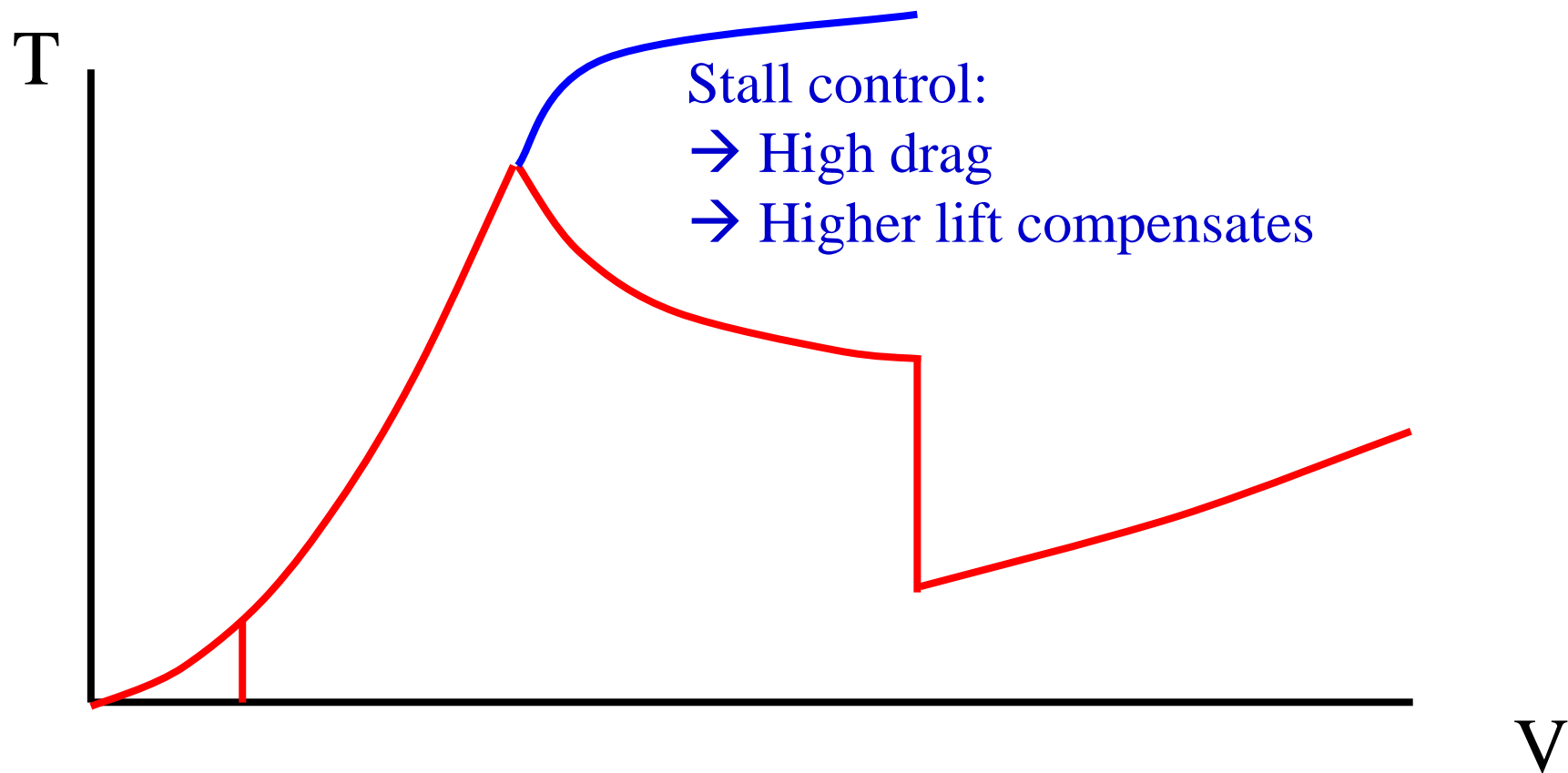


# Full load - Loads

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# Non-ideal thrust of stall control



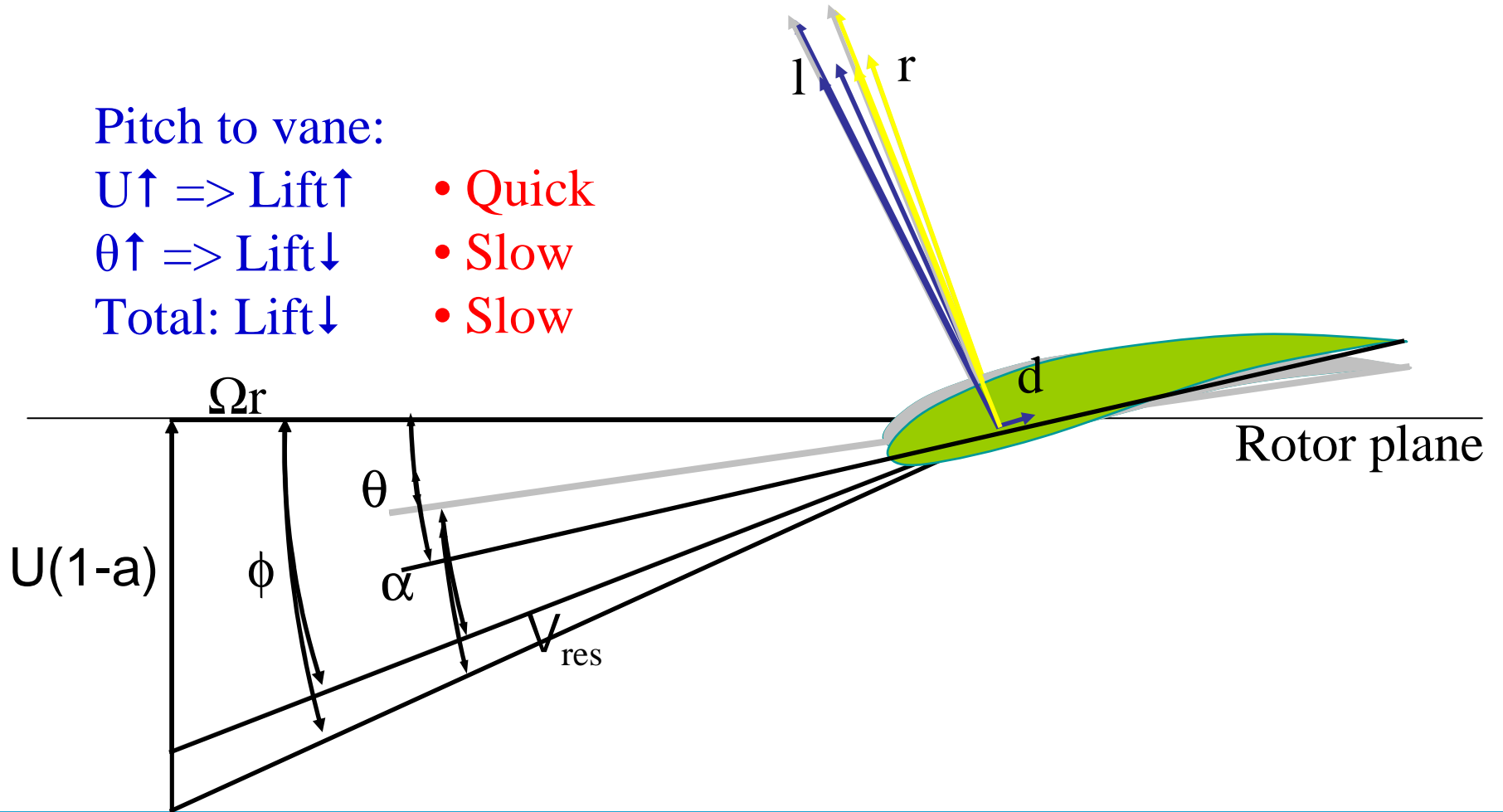
# Dynamic loads of pitch control

Pitch to vane:

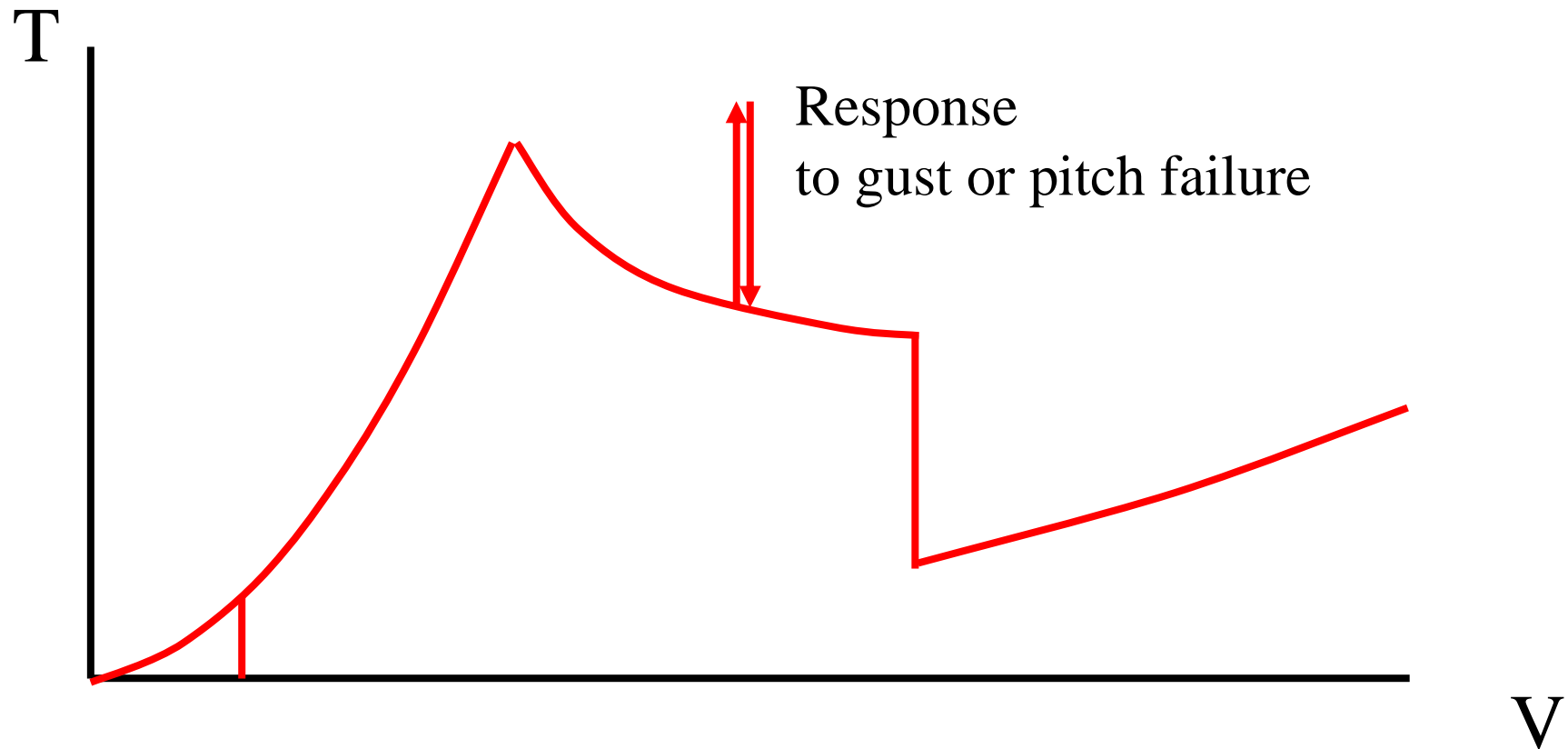
$U \uparrow \Rightarrow \text{Lift} \uparrow$  • Quick

$\theta \uparrow \Rightarrow \text{Lift} \downarrow$  • Slow

Total:  $\text{Lift} \downarrow$  • Slow



# Dynamics thrust of pitch control



# Load alleviation: gust response

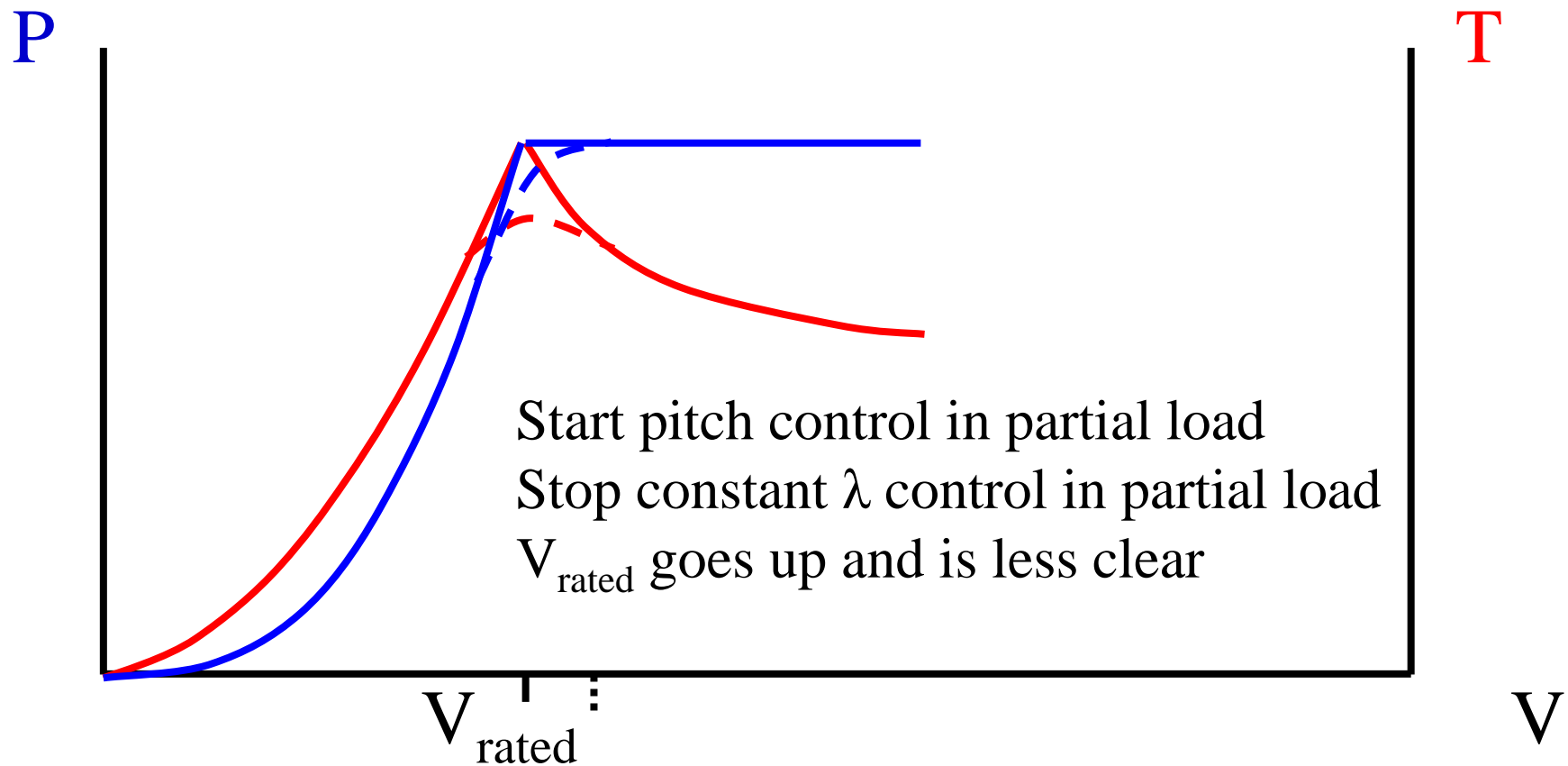
Use rotor as a flywheel

- Increase speed to absorb energy
- Decrease speed to release energy
- Reduce torque variations & peaks
- Reduce power variations
- Axial loads are NOT reduced!





# Load alleviation: Peak shaving

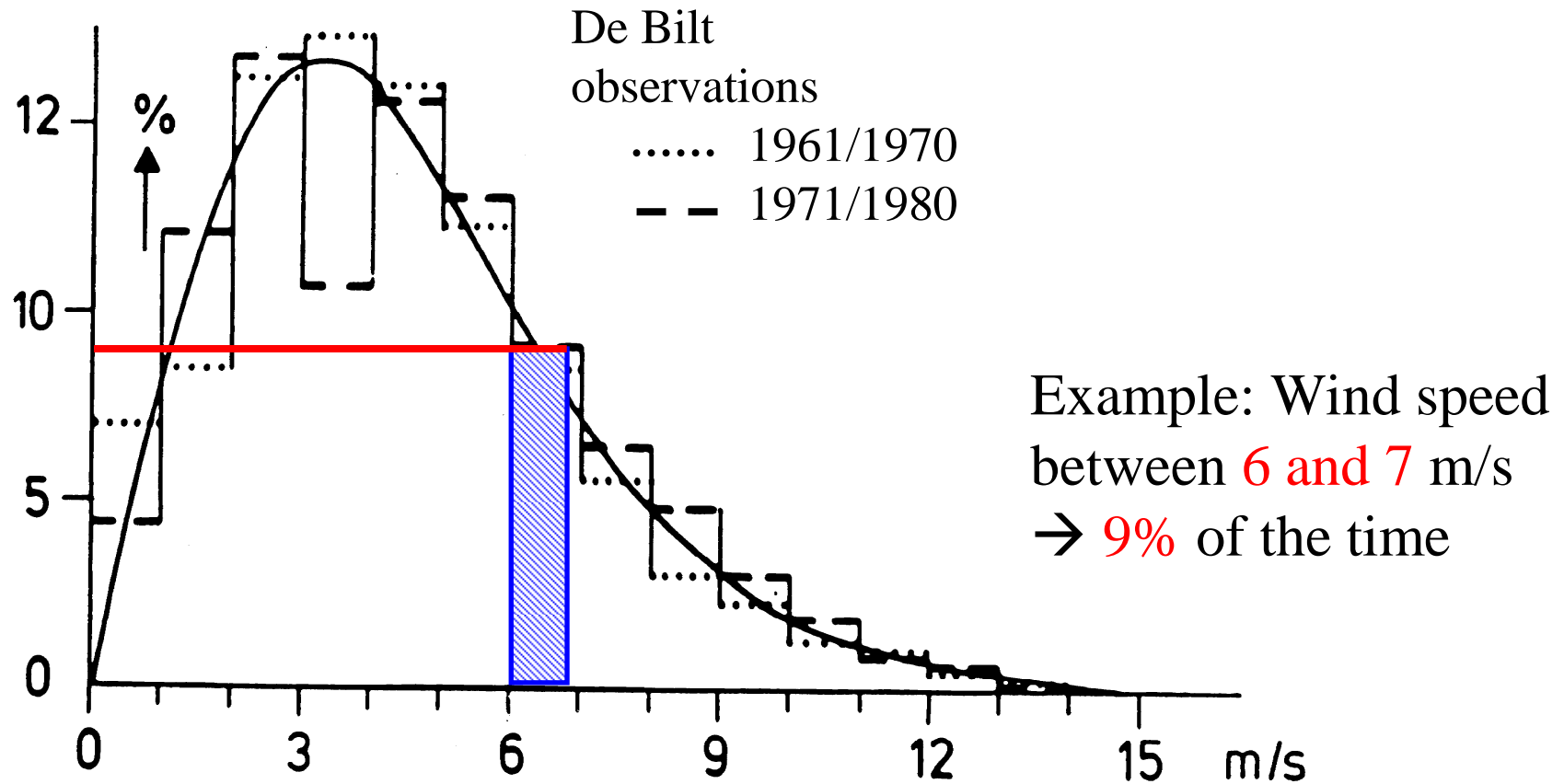


# Energy production

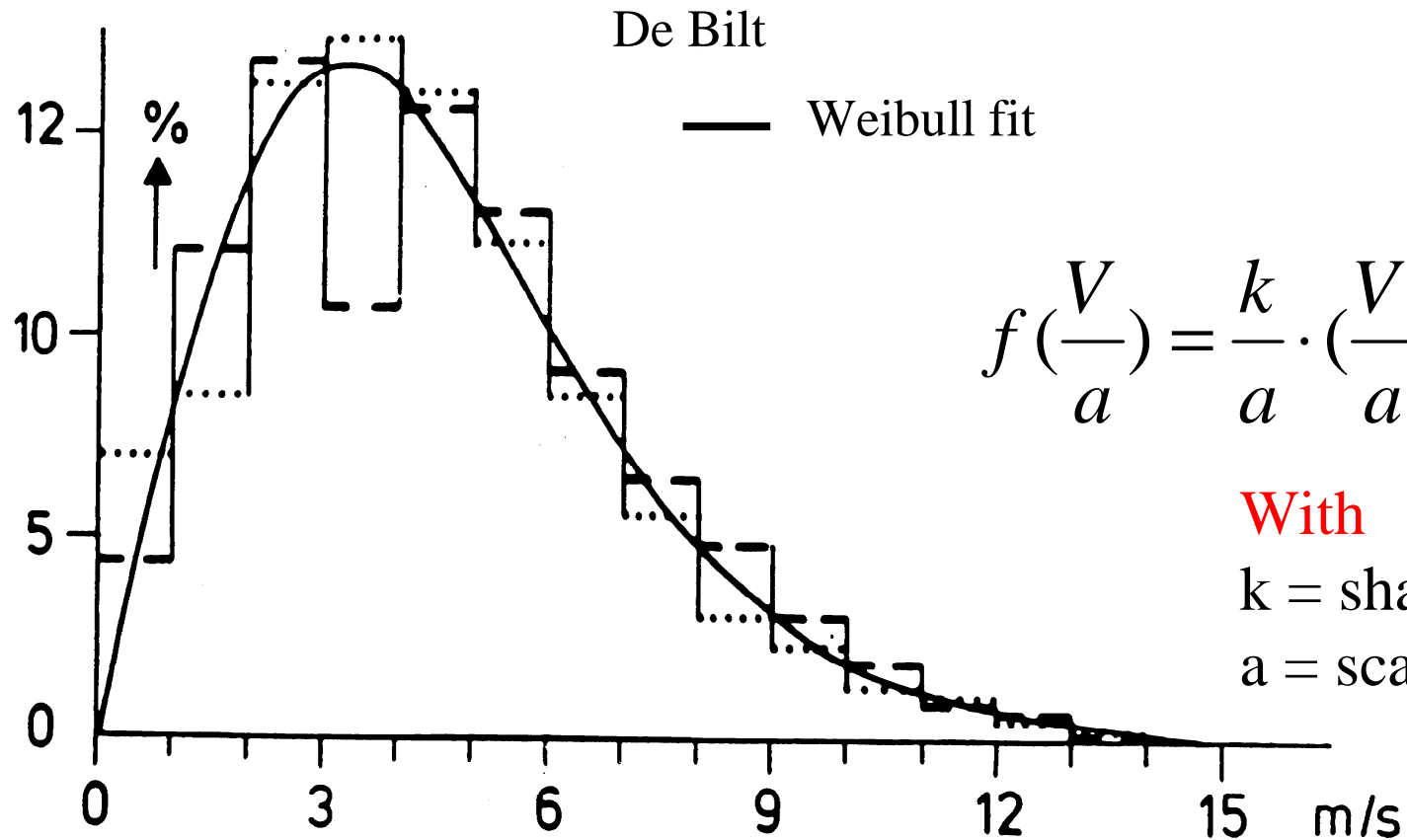
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# Wind speed distribution



# Weibull distribution



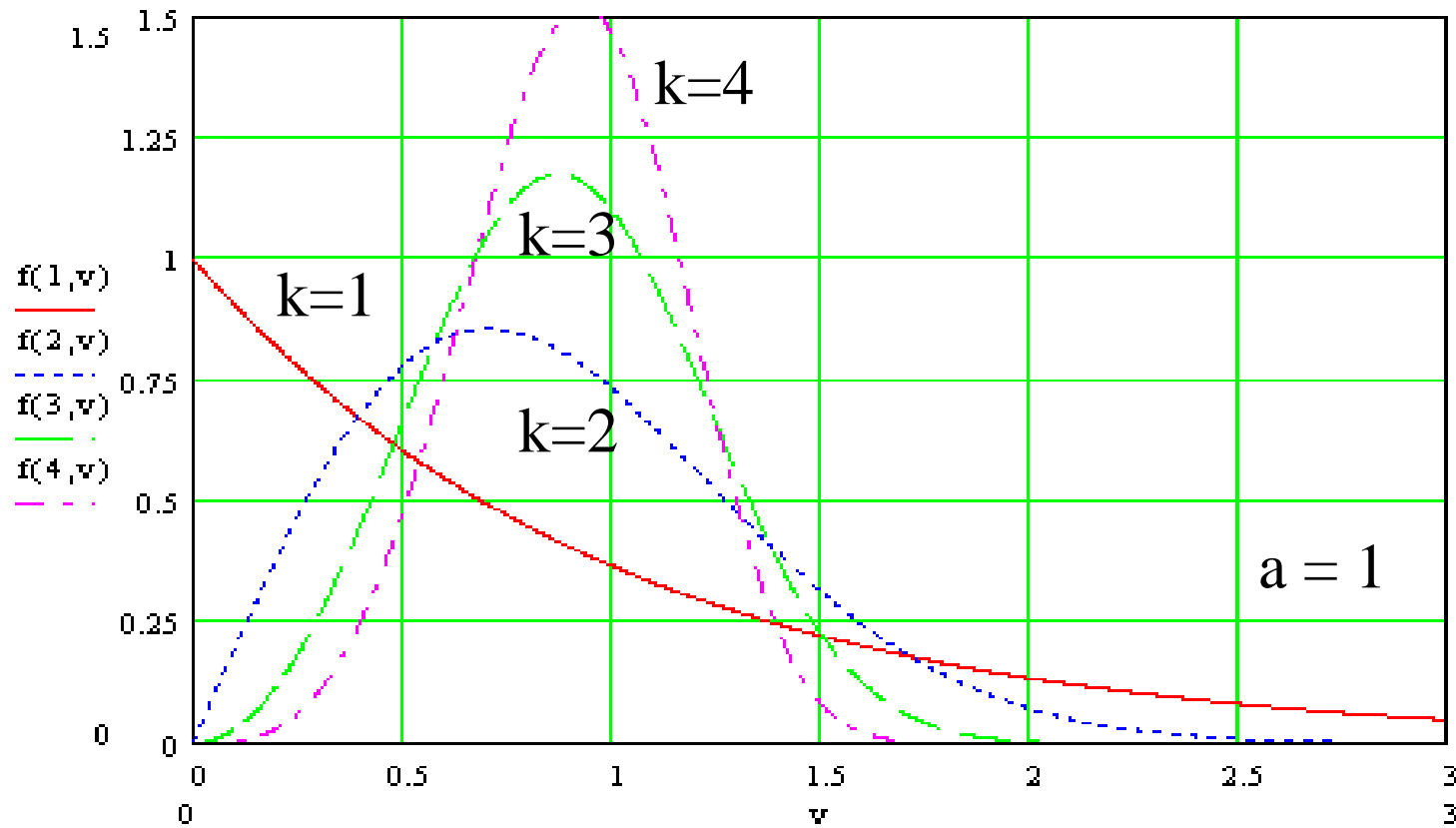
$$f\left(\frac{V}{a}\right) = \frac{k}{a} \cdot \left(\frac{V}{a}\right)^{k-1} \cdot e^{-\left(\frac{V}{a}\right)^k}$$

With

k = shape factor

a = scale factor

# Weibull distribution: examples



# Shape factor vs average wind speed

$$a = \frac{V_{avg}}{\Gamma\left(1 + \frac{1}{k}\right)}$$

With

$a$  = Weibull scale factor

$V_{avg}$  = Annual average wind speed

$\Gamma$  = Gamma function

$$\Gamma(\alpha) = \int_0^{\infty} \beta^{\alpha-1} e^{-\beta} d\beta$$

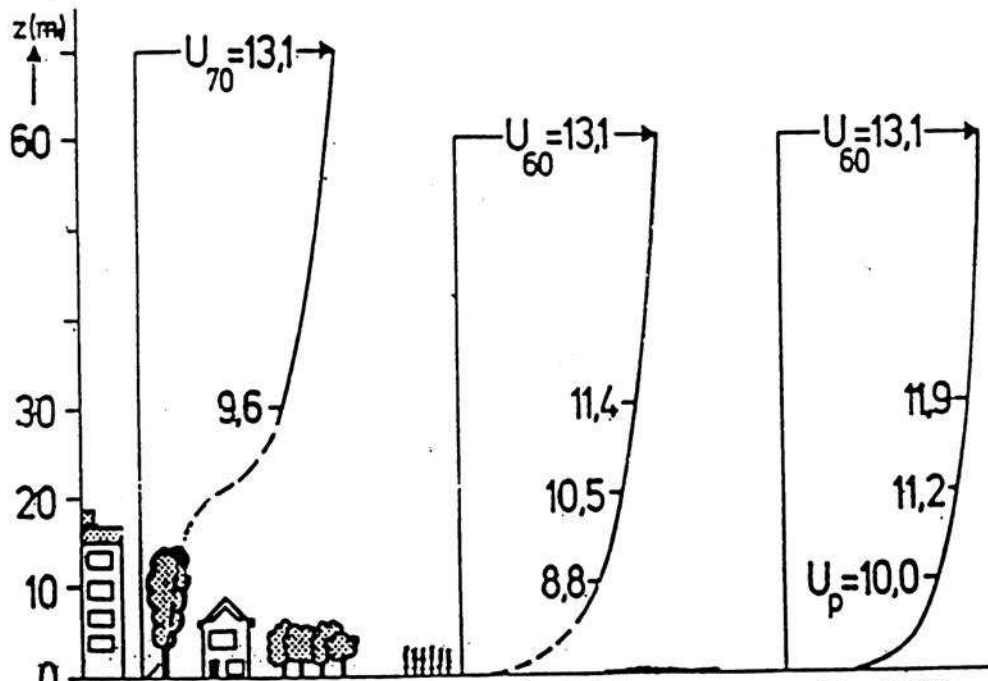
$$\Gamma\left(1 + \frac{1}{k}\right) \approx \left(0.568 + \frac{0.434}{k}\right)^{\frac{1}{k}}$$

Example

$$\Gamma\left(1 + \frac{1}{k}\right) \approx 0.886$$

$$\rightarrow V_{avg} > a$$

# Wind speed vs height



Power law

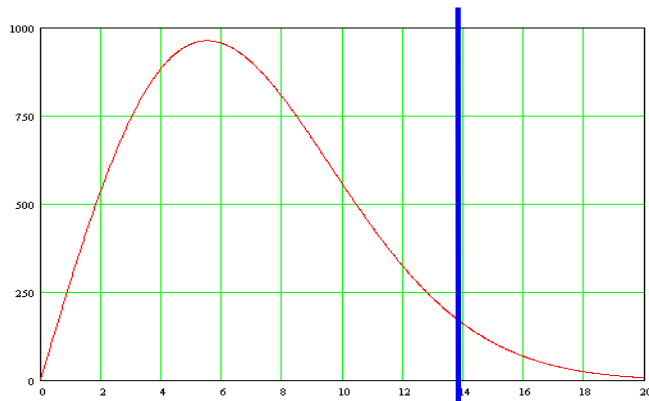
$$v(h) = v(h_{ref}) \cdot \left( \frac{h}{h_{ref}} \right)^\alpha$$

Offshore  $\alpha \approx 0.08 - 0.14$

Guideline  $\alpha = 0.11$

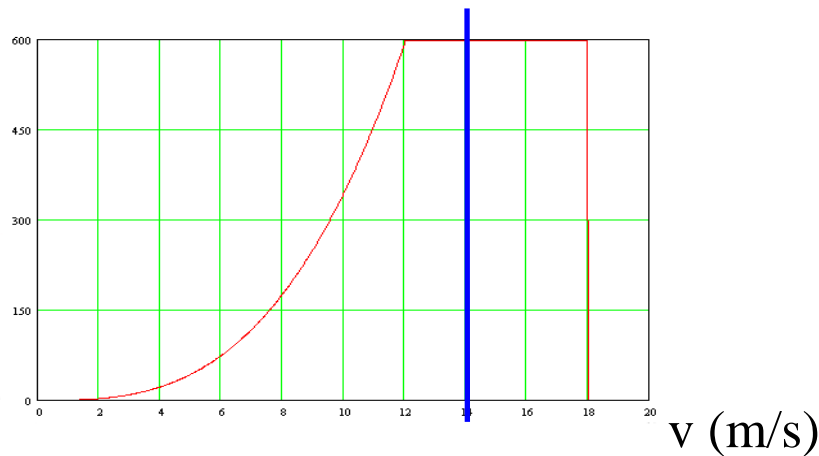
# Calculation of annual yield

T  
(hours/  
(m/s))



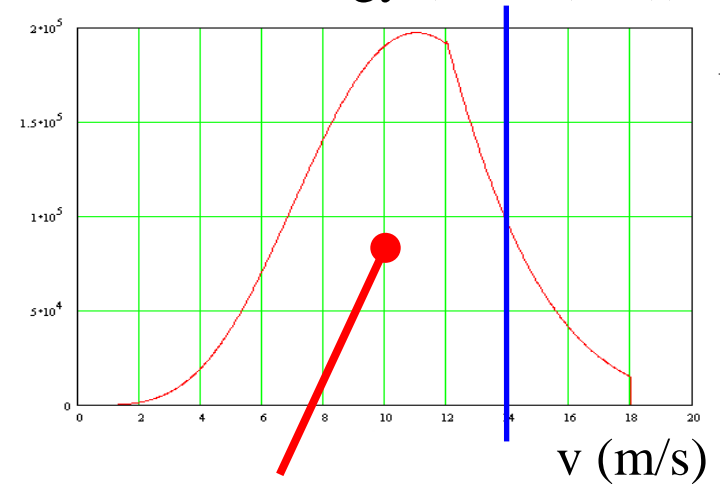
X

Power  
(kW)



$$E_{Turbine} = T \int_{V_{ci}}^{V_{co}} P_{el}(V) \cdot f(V) dV$$

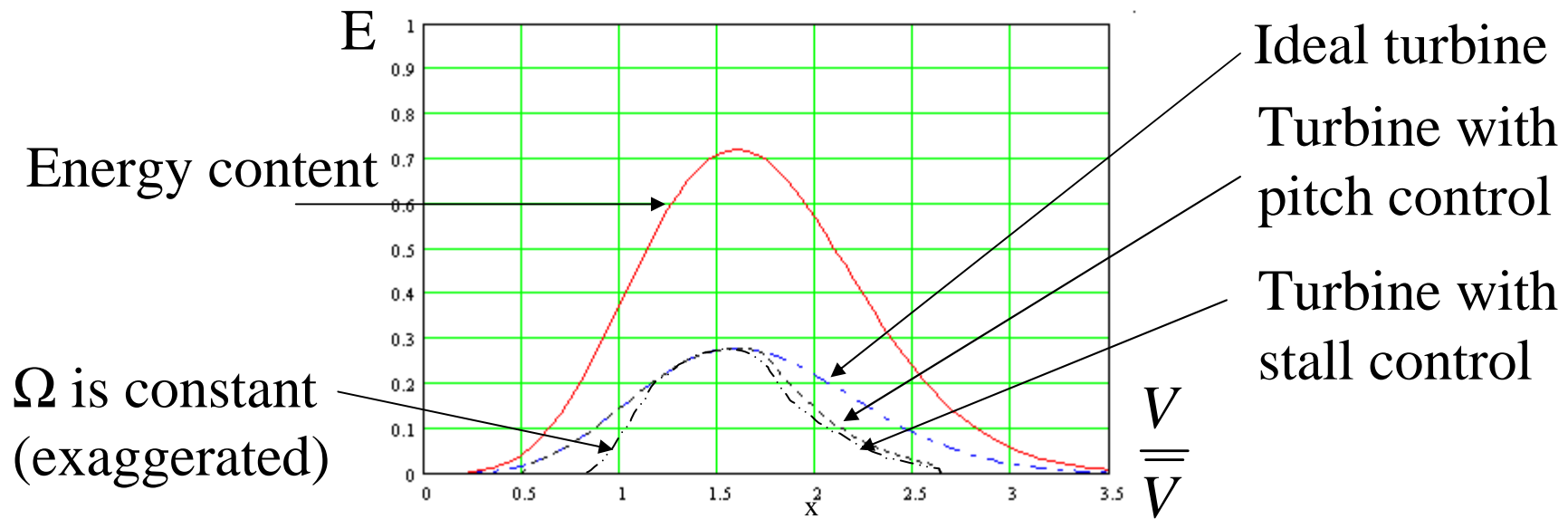
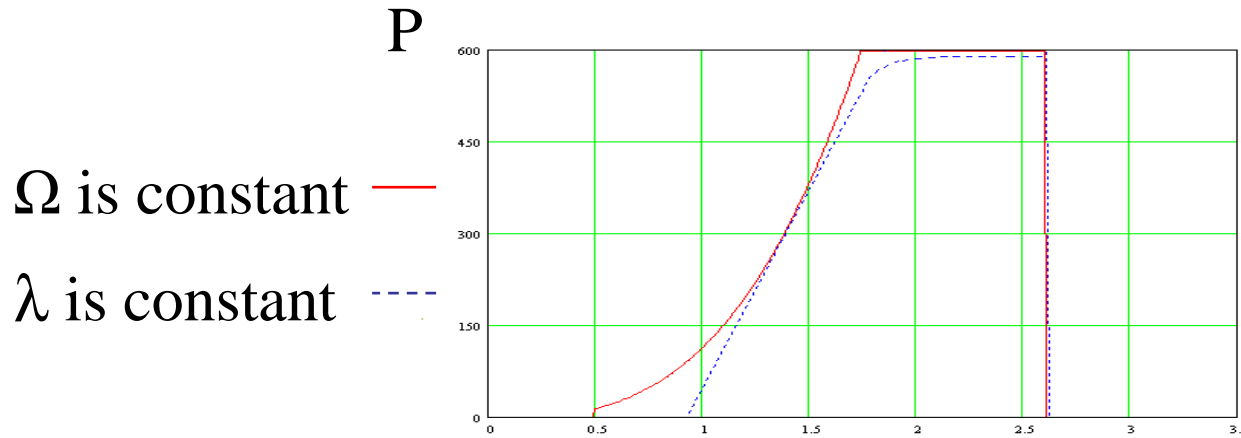
Contribution to energy (kWh/(m/s))



Total area = energy  
production in one year



# Energetic efficiency (1)



# Energetic efficiency (2)

- $V_{\text{cut-in}}$  : Hardly affects E, only interest is public perception
- Speed control** : Some effect on E
- Pitch/stall** : Some effect on E
- $V_{\text{cut-out}}$  : Limited effect on E, primarily determined by loads
- $V_{\text{rated}}$  : Has largest influence on E

# Capacity factor (1)

Yearly energy production

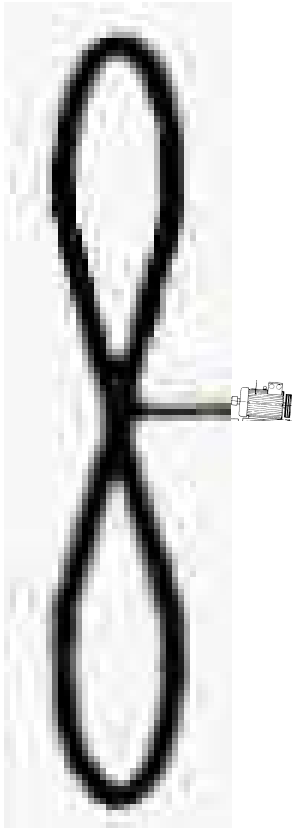
$$E = T \int_{V_{ci}}^{V_{co}} P_{el}(V) \cdot f(V) dV$$

The same yearly production would be generated in an equivalent amount of time  $T_{equivalent}$  running at full power:

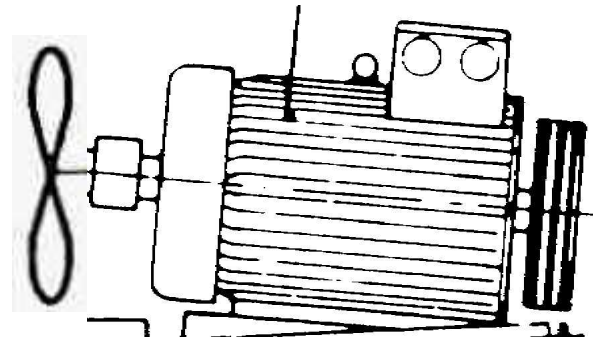
$$E = P_{rated} T_{equivalent}$$

$$cf = \frac{T_{equivalent}}{T_{year}} \quad \text{is called the capacity factor}$$

## Capacity factor (2)



$cf \approx 1$



$cf \approx 0$

**A high capacity factor is not necessarily good!**  
There is an economic optimum

# Characteristic values for cf

First generation turbines:  $cf \approx 0.2$

Present generation:  $cf \approx 0.25 - 0.3$

**Offshore wind farms:**  $cf \approx 0.35 - 0.45$

## For comparison

The capacity factor of all the power generation ability mounted in the Netherlands :

$$cf = \frac{\text{total electricity consumption}}{\text{maximum electricity production} \cdot 8760} \approx 0.5$$

(8760 is the number of hours in a year)

# Energy losses

$$P(V)_{\text{Electrical}} = P(V)_{\text{Aero}} \cdot \eta_{\text{Drive train}} \cdot \eta_{\text{Generator}} \cdot \eta_{\text{Conversion}}$$

P-V curve of manufacturer includes these losses

Additional **farm related** losses:

- Availability of the turbines
- Availability of the electrical infrastructure
- Aerodynamic farm losses (wakes)
- Transformation and transmission losses

**Sources**

- Models
- Guestimates (literature)

# Estimating energy production (1)

Energy yield =

number of hours/year \* installed power \* capacity factor

e.g.: 8760 h \* 108 MW \* 0.35  $\approx$  331 GWh / y

for offshore wind park Egmond aan Zee

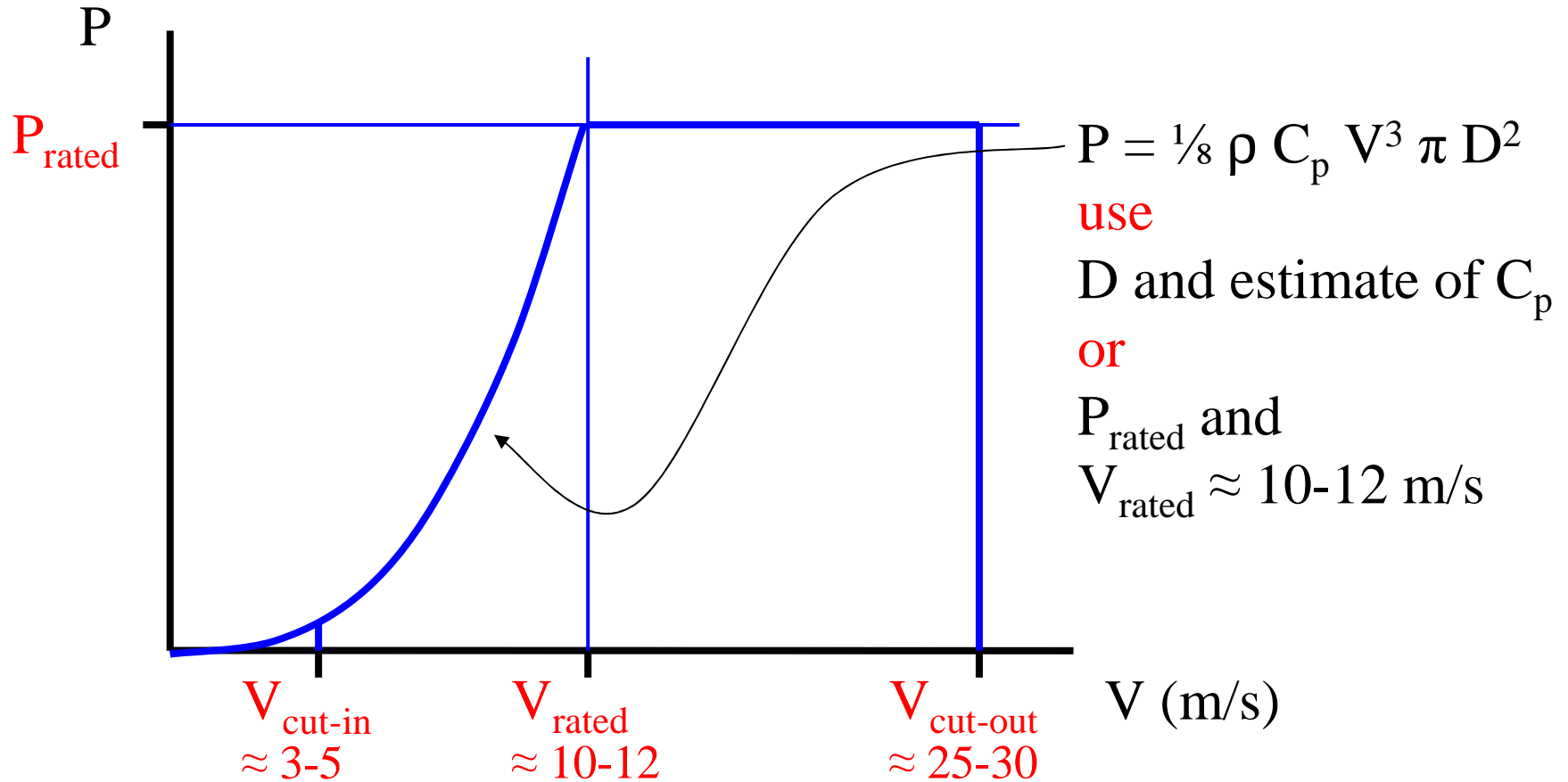
(Average Dutch household: 3.2 MWh / y)

**Only applicable for order of magnitude guess !!**

Wind speed distribution (based on data) indispensable

# Estimating energy production (2a)

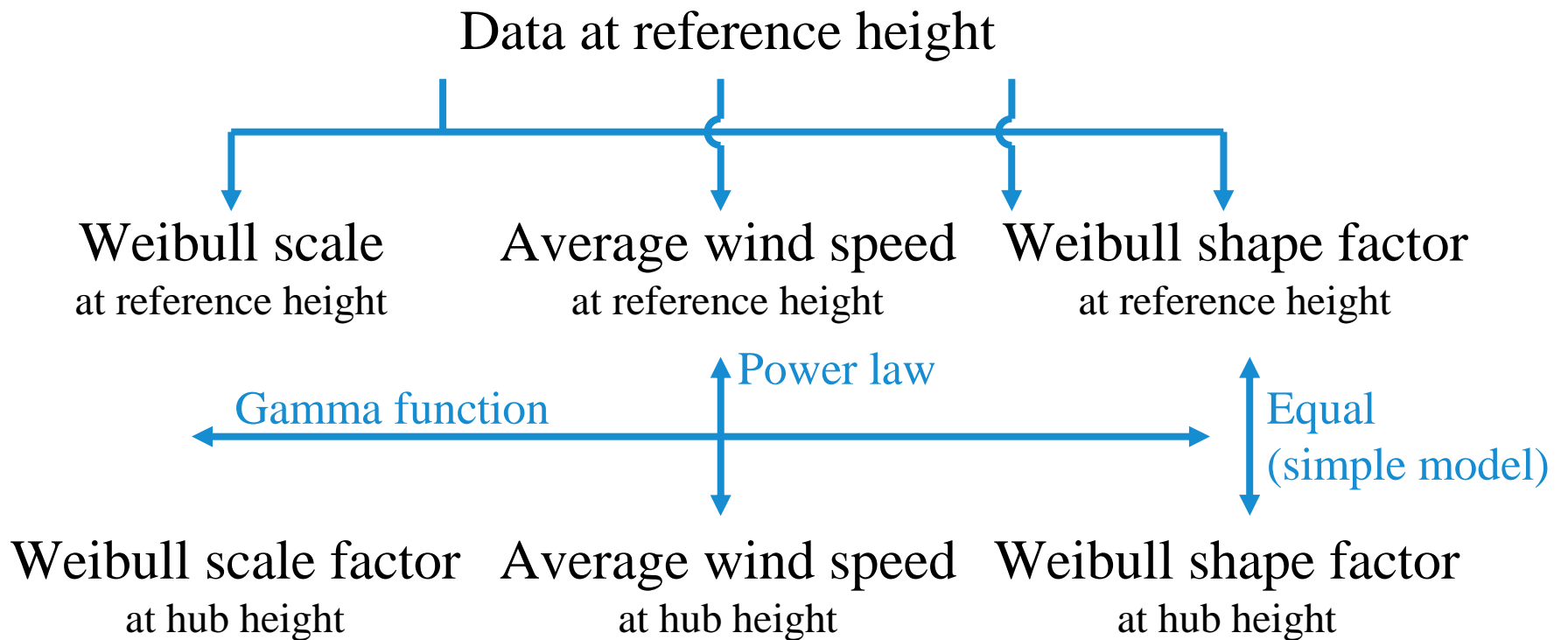
Estimate power curve





# Estimating energy production (2b)

Estimate wind speed distribution



# Turbine technology

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# Blades

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# Blades



Picture source: LM

Composite  
One-piece  
Flexible  
Skin / spar  
T-bolts



# Large blades: pre-bending in mould



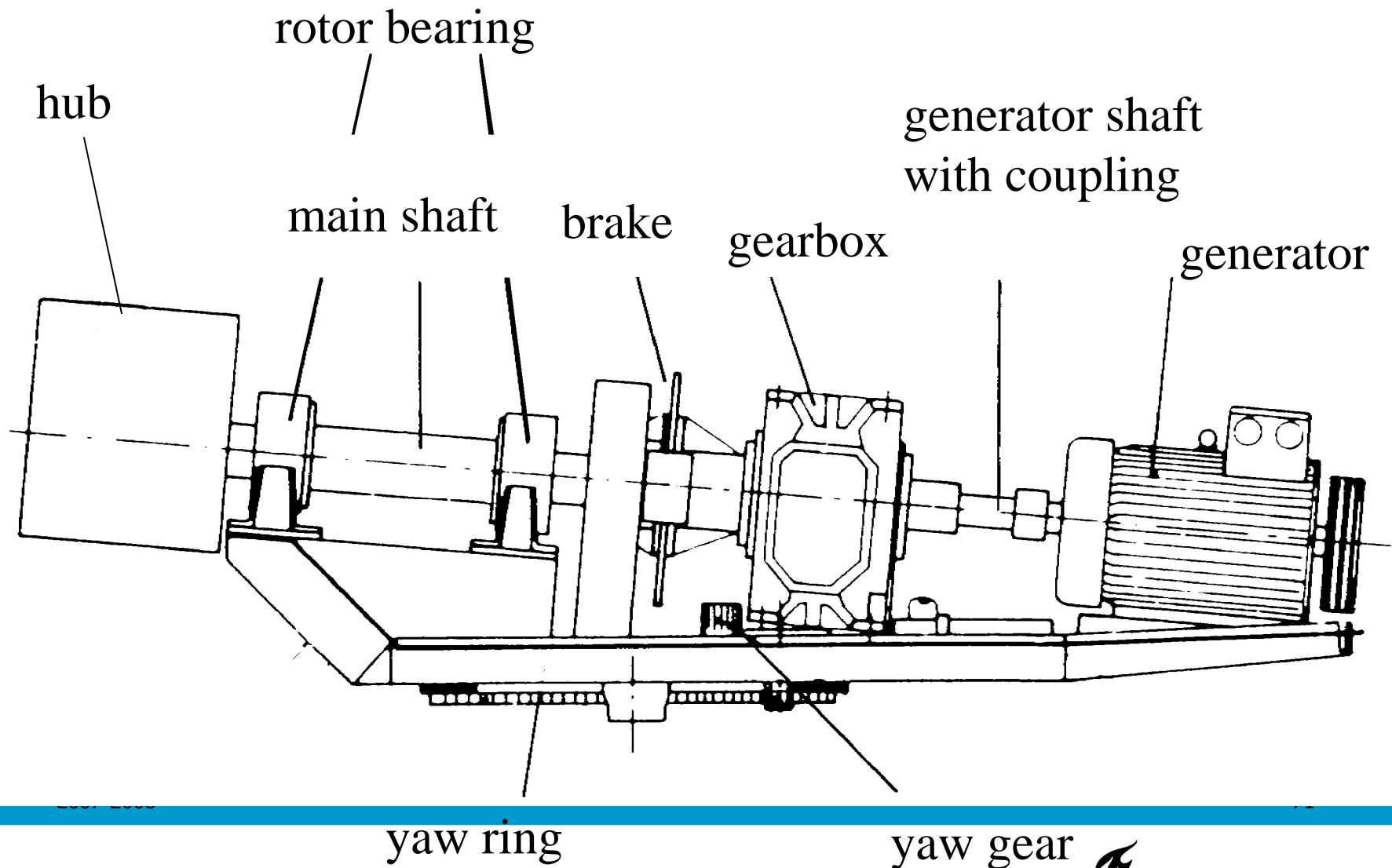
Blade pitched 90°

# Overview of the drive train

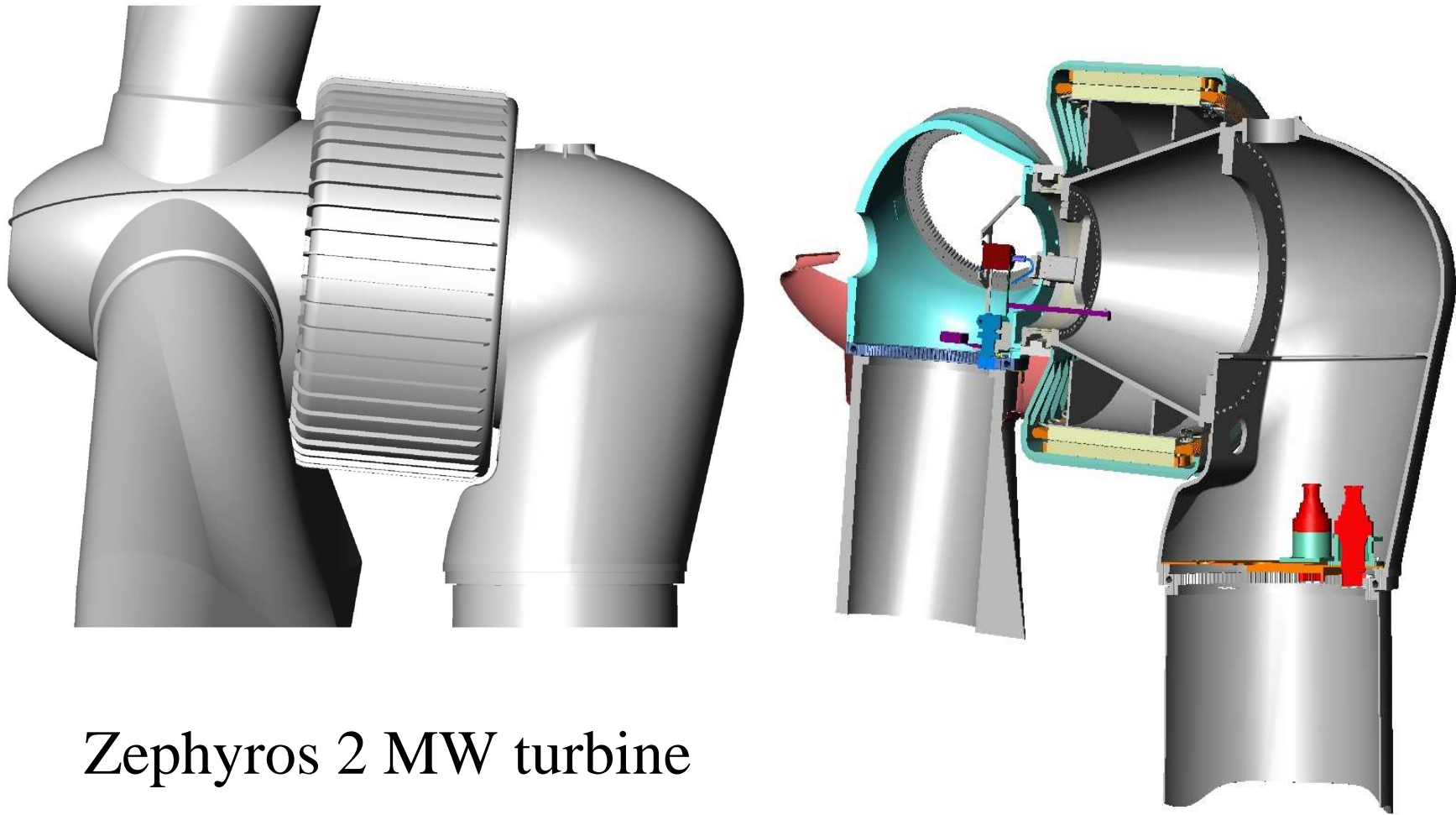
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# Drive train (with gearbox)



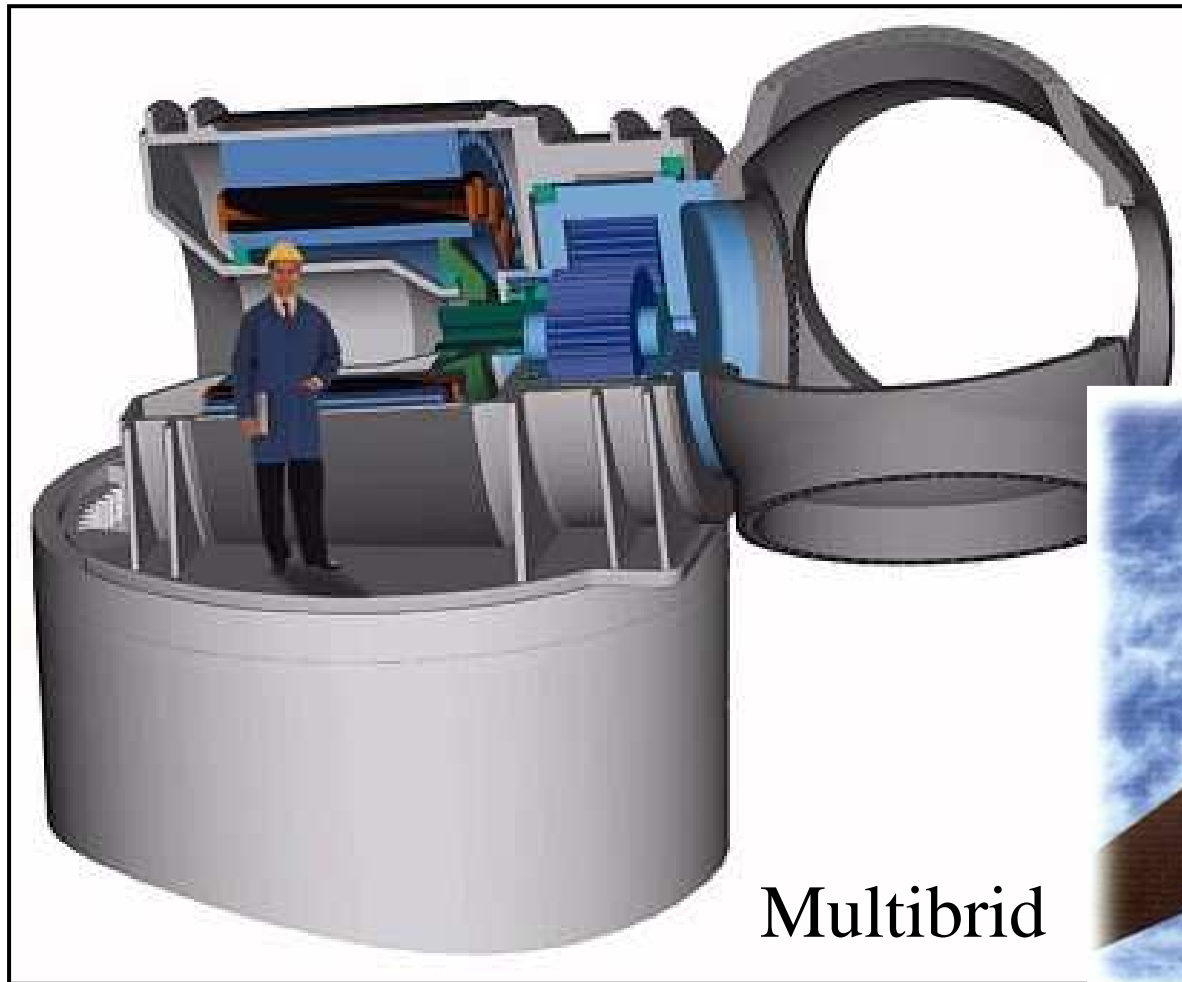
# Drive train without gear: direct drive



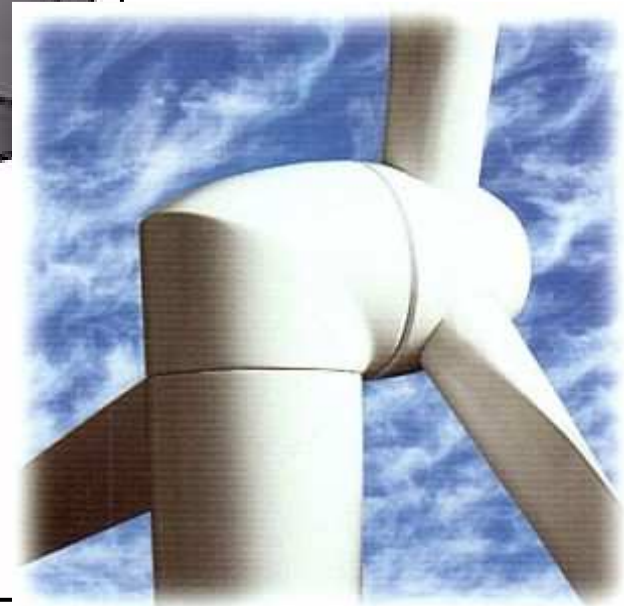
Zephyros 2 MW turbine



# Compact drive train

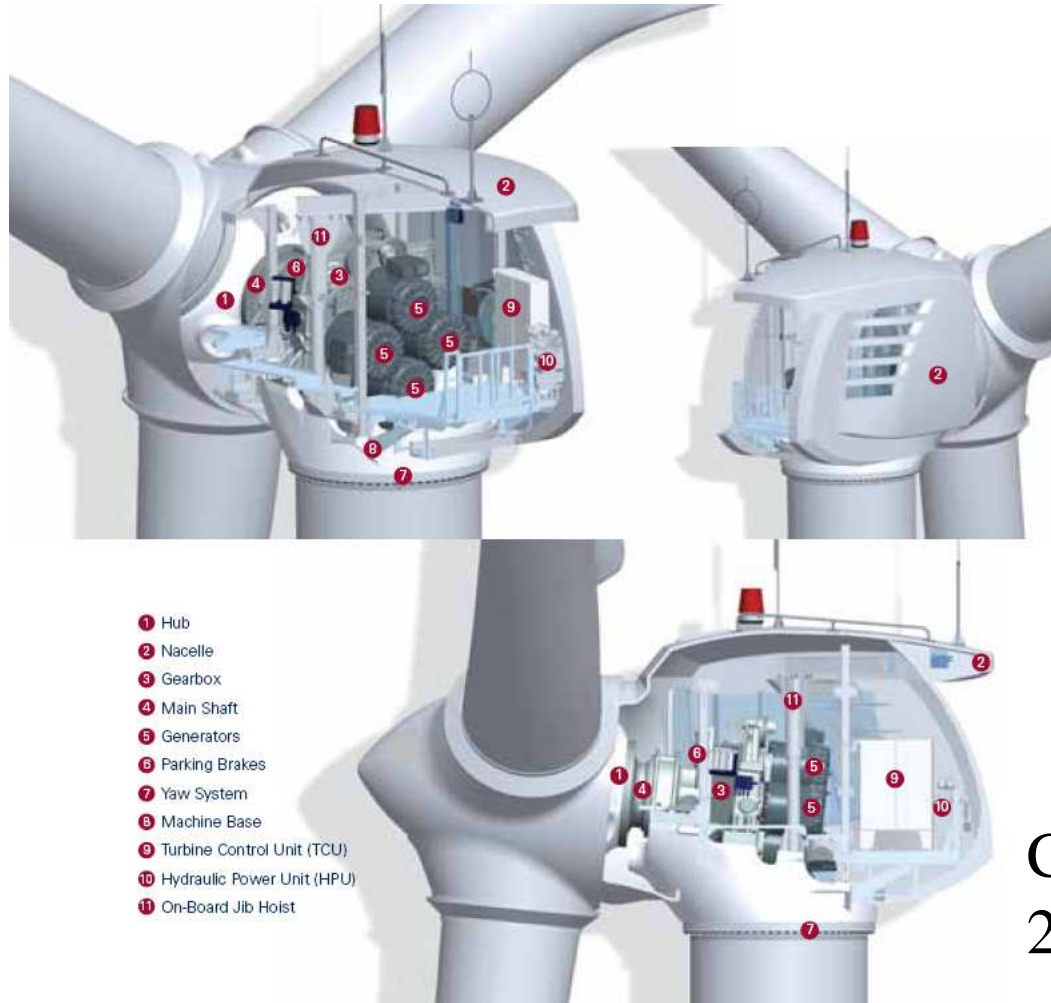


1-stage gearbox,  
medium speed  
generator, direct hub-  
gear-generator  
connections



Multibrid

# Gearbox & generator



Clipper Liberty  
2.5 MW

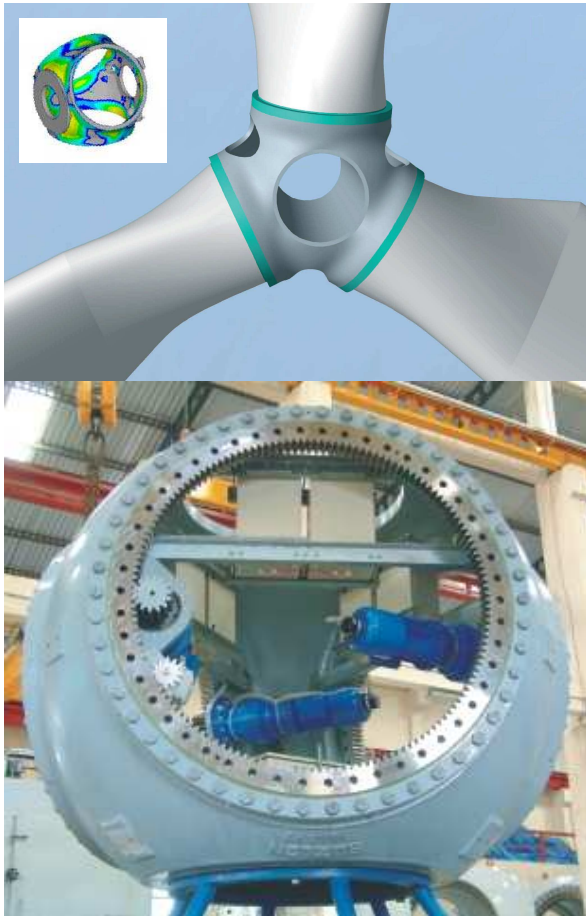
# Hub

2007-2008

75

# Hub

# Hub and cover



Composite aerodynamic cover

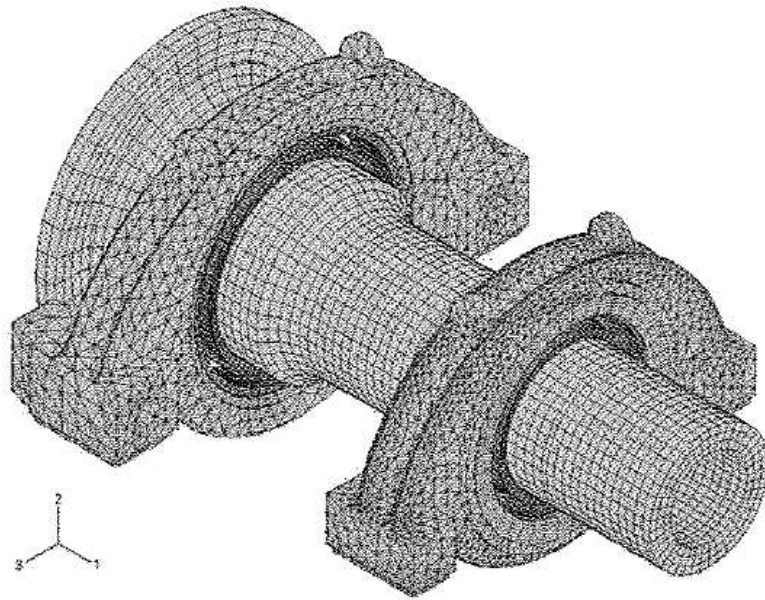
Cast-iron hub for rotor loads

# Main shaft and bearings

2007-2008

78

# Double and single bearings

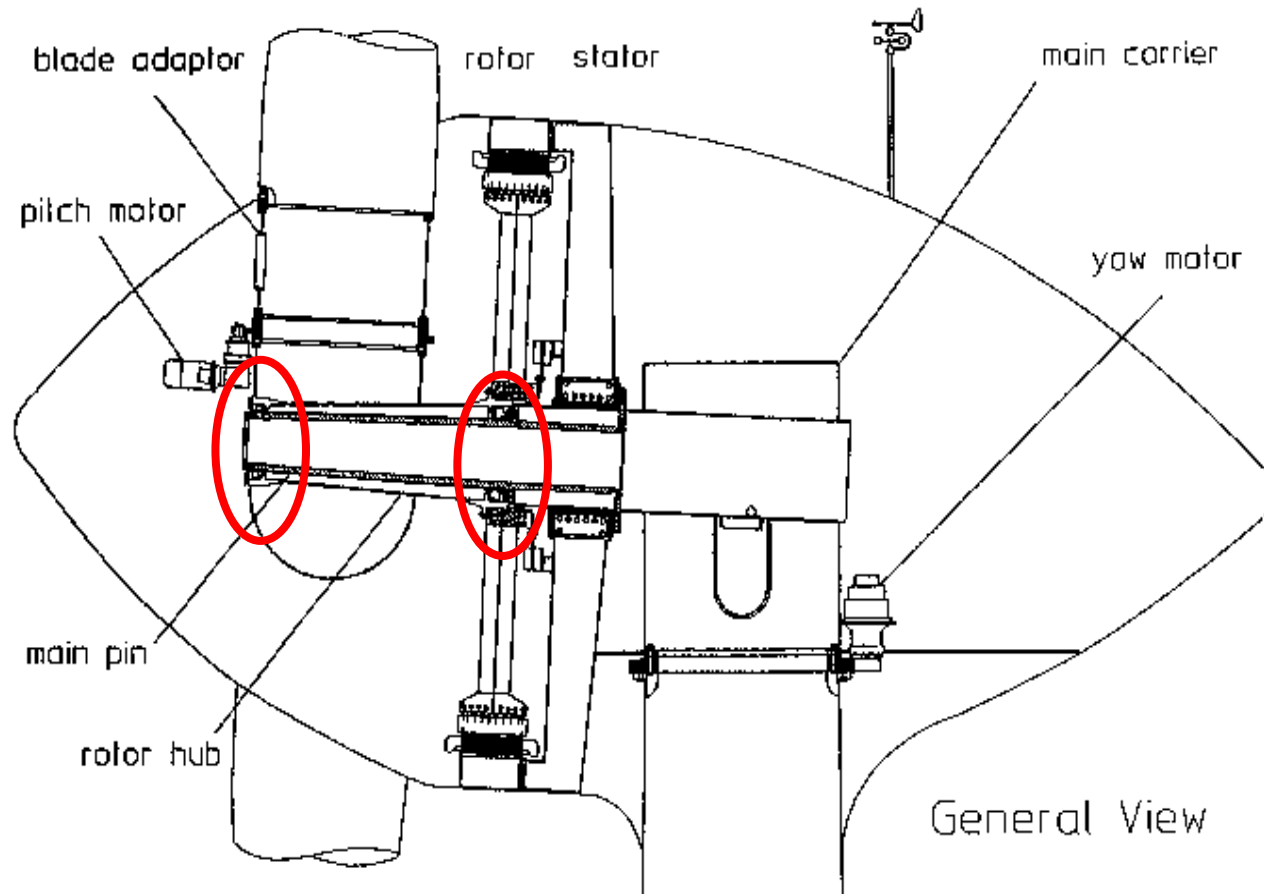


Rotating main shaft

Direct connection to hub



# Bearings on fixed axle pin





# Gearbox

2007-2008

81

# type of transmission

Parallel



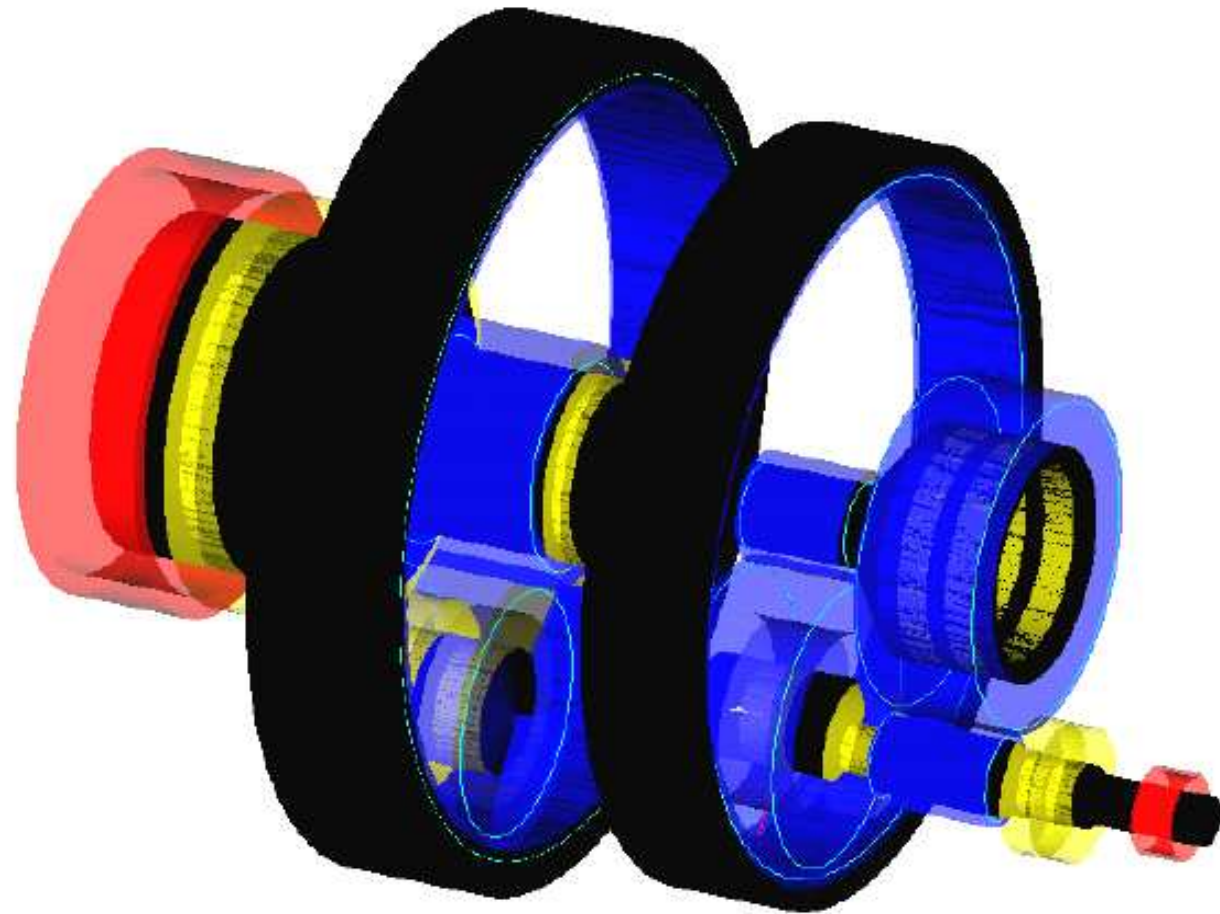
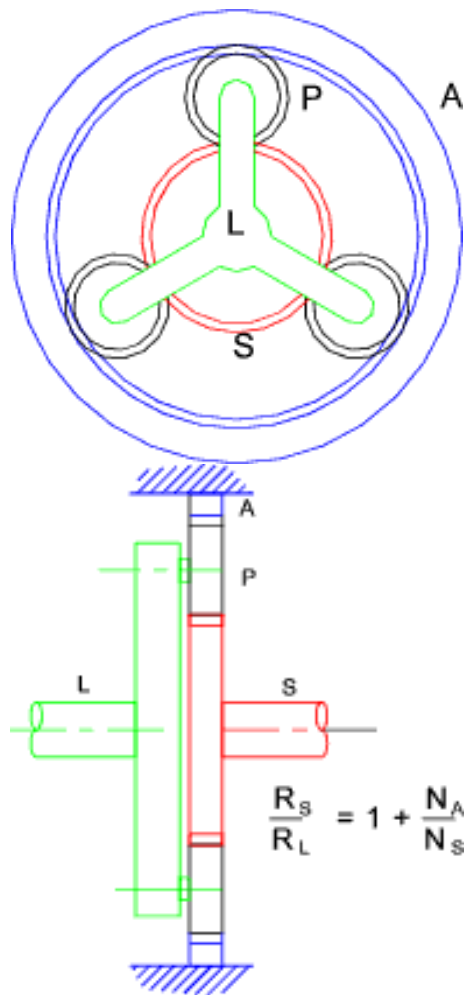
Simple

Planetary



Compact for high power

# Gearbox – planetary & parallel stages



# Generator

2007-2008

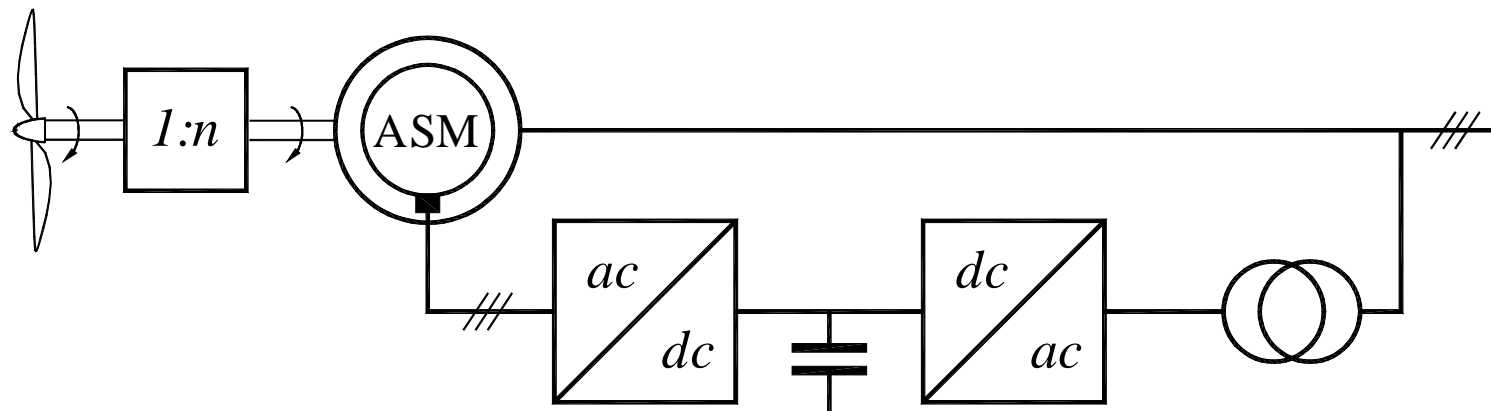
84

# Doubly fed generator



5 MW-class from ABB

Partly variable speed  
Fed rotor  
Inverters needed

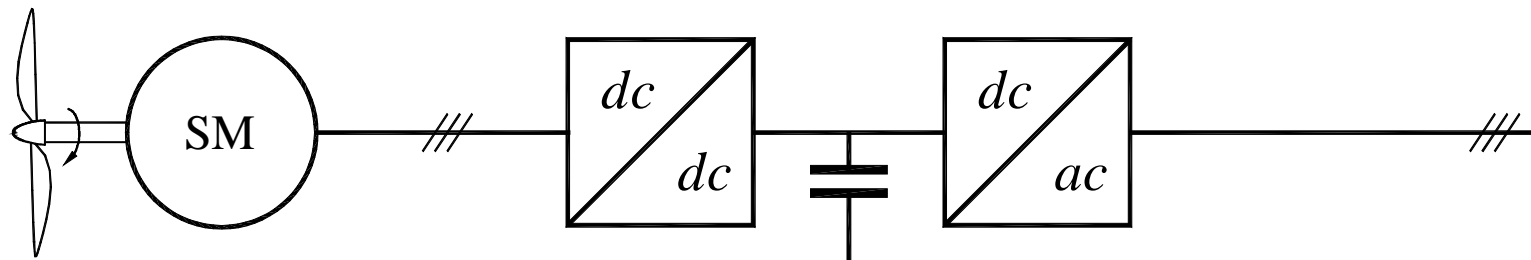


# Direct drive (synchronous) generator

ENERCON 4.5 – 6 MW

Full variable speed  
Rotor windings for magnetic field  
Inverters needed

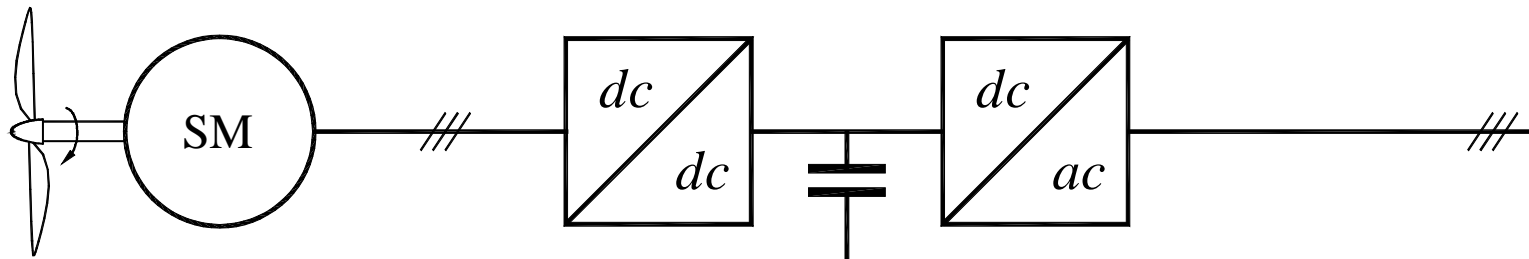
**Low speed:**  
(Very) big diameters needed



# Permanent magnet generator



Full variable speed  
No rotor windings  
Inverters needed



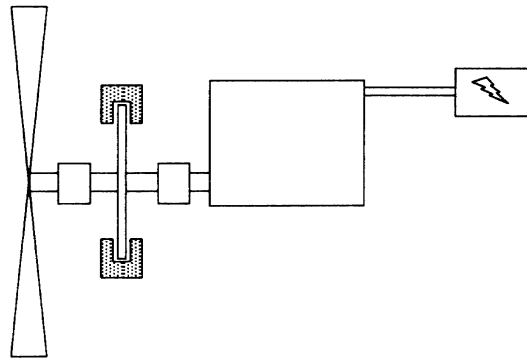
# Brakes

2007-2008

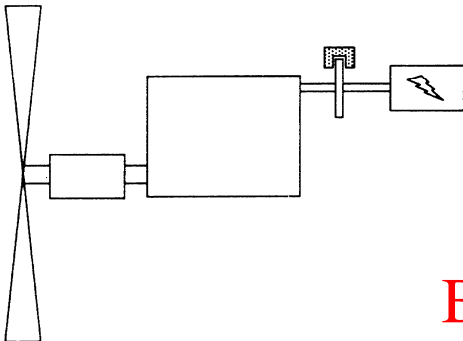
88



# Location of (fail-safe) brakes



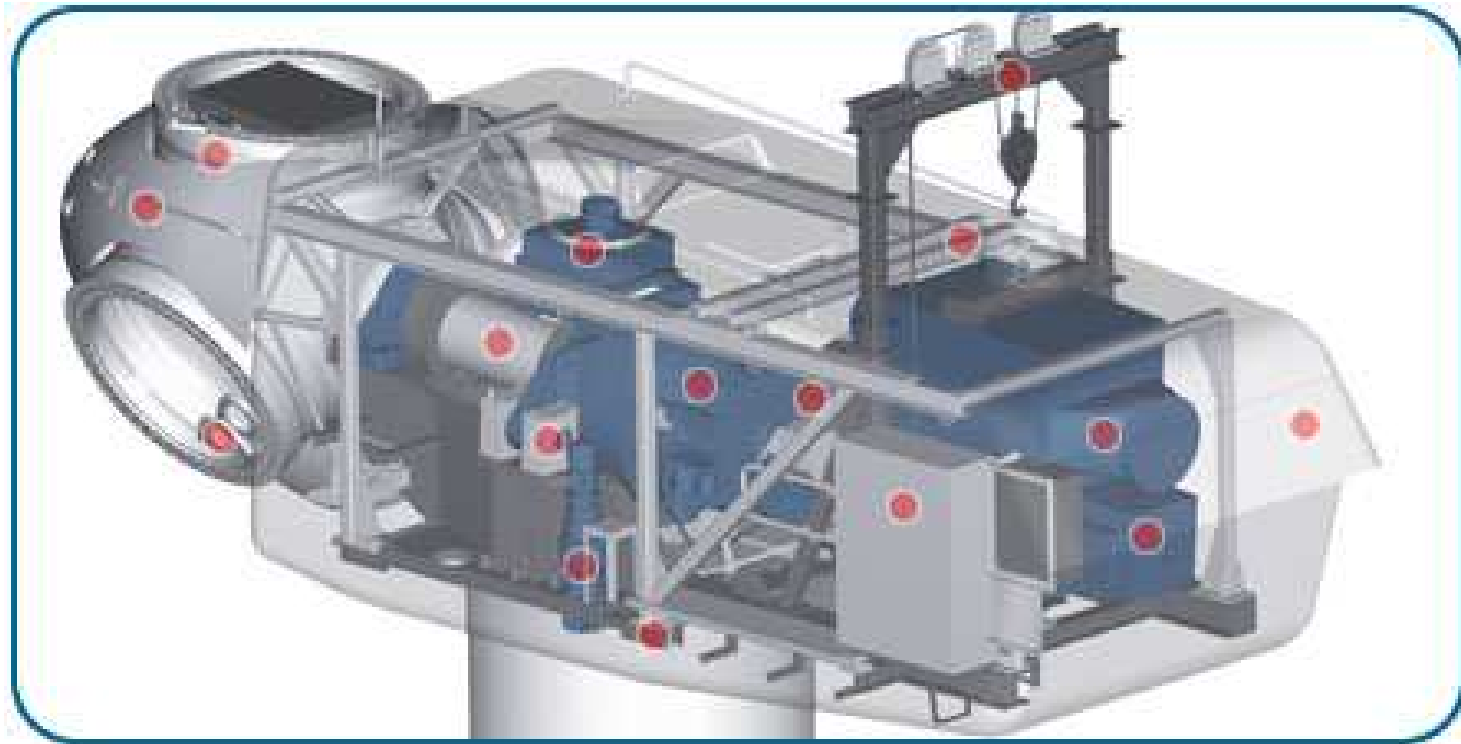
- brake located on slow shaft  
(rotor shaft has double bearings)



- brake located on fast shaft

Brakes are actively released (hydraulics)  
and passively clamped (springs)

# Aerodynamic brake



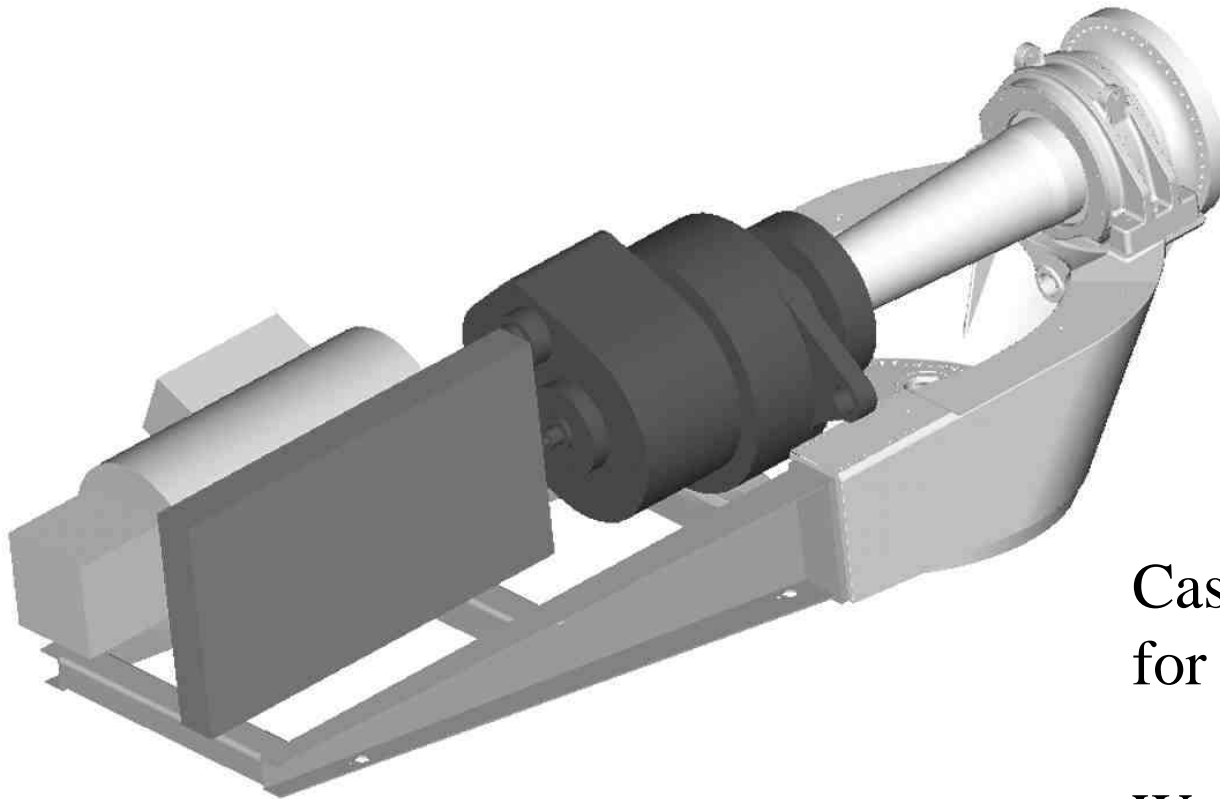
- Each blade can pitch individually to brake
- Only mechanical parking brake

# Bedplate / Main frame

2007-2008

91

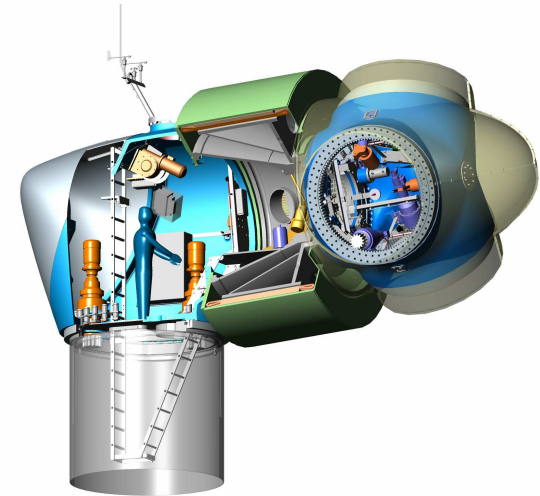
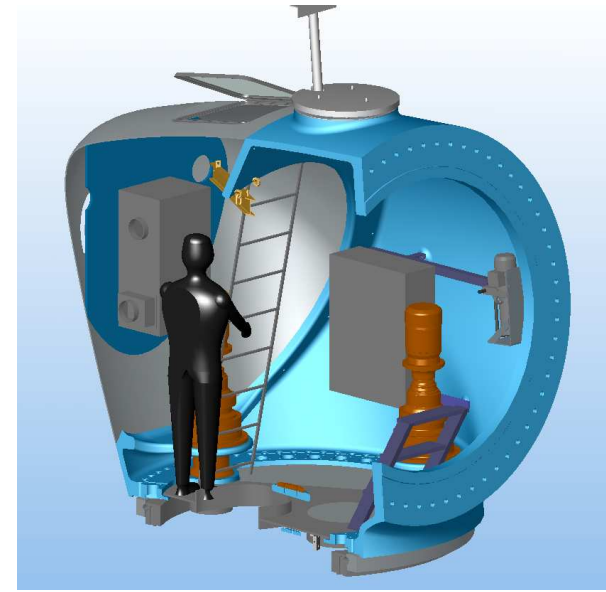
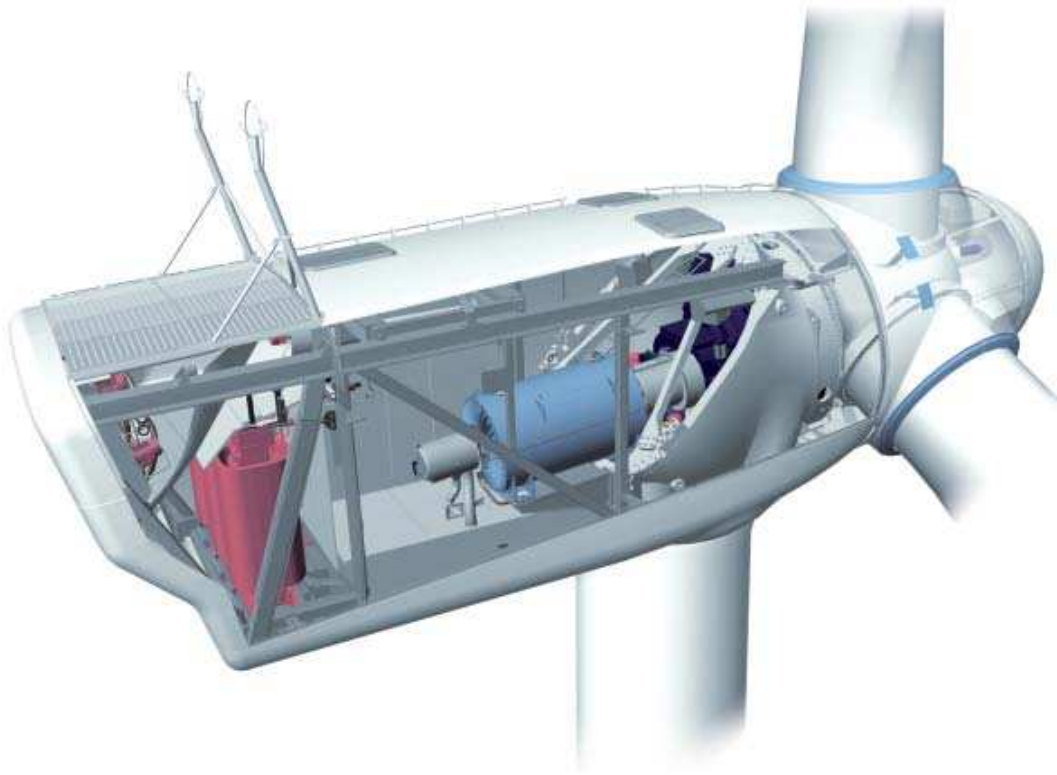
# Traditional bedplate



Cast-iron mainframe  
for rotor loads

Welded frame to carry  
other components

# Compact frames



# Yaw system

2007-2008

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# Yaw system



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© 1998 www.WINDPOWER.org

Bearing  
Engines  
Gearboxes  
Yaw brakes

Cable twist counter &  
pull switch (redundancy)

# Control

## State of the art

- Variable speed (restricted in US through patent)
- Pitch control

## Future advances

- Individual pitch
- Smart rotors



# Multi-MW turbines in the market

**≥ 5MW (prototypes)**

**GE 3.6 – 3.6s**

**Enercon E-112**

**REpower 5M**

**Multibrid M5000**

**Siemens SWT-3.6-107**

**2007-2008**

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# GE 3.6 – 3.6s (3.6 MW)



First prototype: April 2002

Rotor: 104 m

Gearbox: 3-stage (PPE)

Generator: Asynchronous

Doubly-fed

Inverter: Partial (30%)

# Enercon E-112 (4.5 MW)



First prototype: August 2002

Rotor: 114 m

Gearbox: No

Generator: Synchronous

Wound rotor

Inverter: Full (100%)

# REpower 5M (5 MW)

First prototype: November 2004

Rotor: 126 m

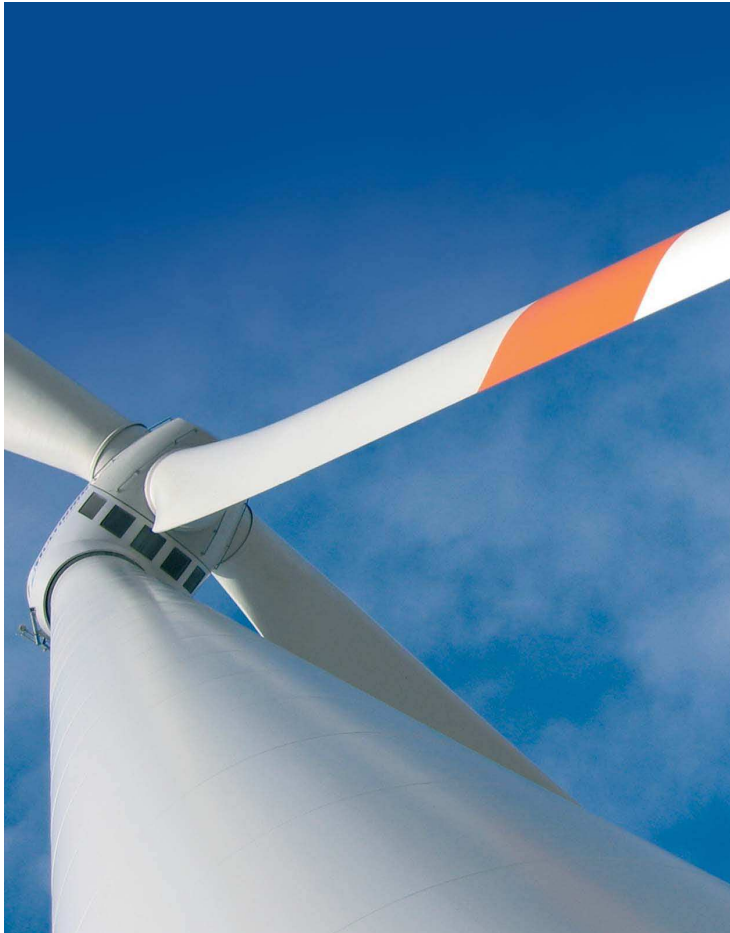
Gearbox: 3-stage (PPE)

Generator: Asynchronous  
Doubly-fed

Inverter: Partial (30%)



# Multibrid M5000 (5MW)



First prototype:	December 2004
Rotor:	116 m
Gearbox:	1-stage (Planet)
Generator:	Synchronous Permanent Magnet
Inverter:	Full (100%)

# Siemens SWT-3.6-107 (3.6 MW)



First prototype: December 2004

Rotor: 107 m

Gearbox: 3-stage (PPE)

Generator: Asynchronous

Squirrel cage

Inverter: Full (100%)

# Future developments

- Announced by leading manufacturers
  - Vestas V120 (4.5 MW)
  - Upgrade Enercon E-112 → E-126 (?? MW)
- Developers involvement
  - Bard Engineering – Bard VM (5 MW)
  - Econcern – DarwinD (4.5 MW)
- No end to scale and concept evolution