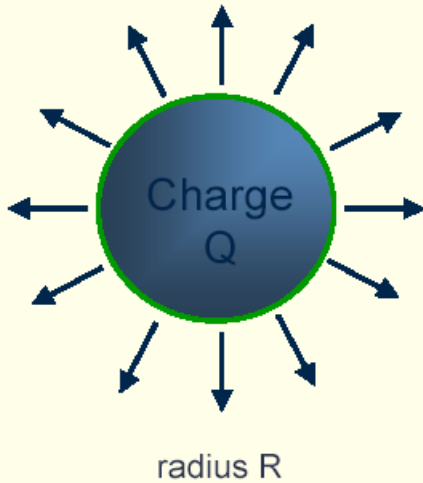


charging energy: $E_c = e^2/2C$

- What is the **capacitance** of an isolated piece of metal (for example a sphere)?



Electric field:

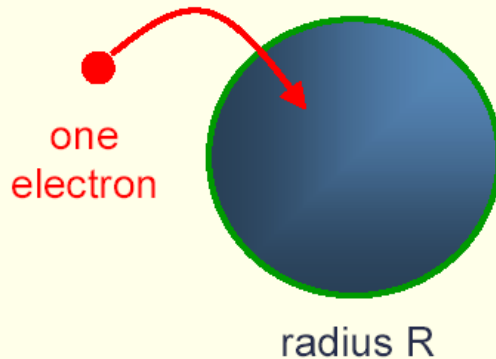
$$\vec{E}(\vec{r}) = \frac{Q}{4\pi\epsilon_0 r^2} \hat{r} \quad (r > R)$$

Voltage:

$$V(R) = -\int_R^{\infty} \vec{E}(\vec{r}) \cdot d\vec{r} = \frac{Q}{4\pi\epsilon_0 R}$$

$$C = Q/V = 4\pi\epsilon_0 R$$

- What is the energy needed to charge the sphere with **one electron** ($1/2QV$ with $Q = e$)?



R	C	E/k_B
10 μm	$1.1 \times 10^{-15} \text{ F}$	0.84 K (^3He)
1 μm	$1.1 \times 10^{-16} \text{ F}$	8.4 K (LHe)
0.1 μm	$1.1 \times 10^{-17} \text{ F}$	84 K (LN_2)
0.01 μm	$1.1 \times 10^{-18} \text{ F}$	840 K (spa)

$$C = 4\pi\epsilon_0 R$$

overview energy scales

- $k_B T$ thermal energy scale; at room temperature 26 meV.
- eV the energy an electron gains when crossing a potential difference V
- μ chemical potential; the energy required to add a particle to the system
- $\mu_B B$ Zeeman energy of an electron in a magnetic field
- E_F Fermi energy (it is the energy of the highest occupied electron state); for metals it is on the order of a few eV. For semiconductors, it can be much smaller.
- ΔE level spacing; energy difference between single-particle states calculated from the Schrödinger Equation
- E_C charging energy $E_C = e^2/2C$ where C is the total capacitance of the system (we come back to this when discussing Coulomb blockade)
- E_T Thouless energy: the energy scale of coherence effects (see lecture notes)

In discussing band bending and semi-conductors: the work function (the energy requires to an electron from the Fermi level into the continuum), the valence and conduction bands

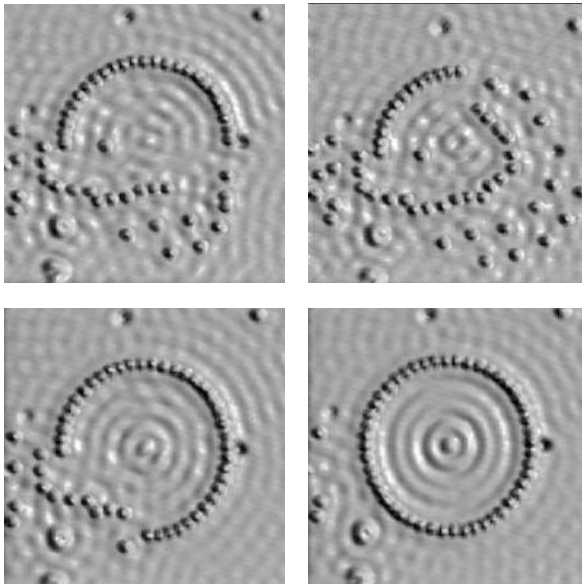
length scales: quantum regime

An important feature of mesoscopic and nanoscale structures is that their dimensions are **COMPARABLE** to the fundamental “size” of the electron causing their electrical properties to be strongly influenced by **QUANTUM-MECHANICAL** transport effects

In solid-state systems the size of the electron is essentially given by the **FERMI WAVELENGTH** λ_F (What is a typical value for a metal?)

⇒ In most **METALS** the electron density is very large (10^{21} cm^{-3}) and the value of the Fermi wavelength is consequently of order a **FEW** nanometers

⇒ In semiconductors however the **LOWER** carrier density ($\ll 10^{21} \text{ cm}^{-3}$) gives rise to wavelengths of several **TENS** of nanometers



• IN THE FIGURES SHOWN HERE A SCANNING TUNNELING MICROSCOPE IS USED TO MOVE IRON ATOMS ON THE SURFACE OF COPPER CREATING A SO-CALLED **QUANTUM CORRAL**

• THE IRON ATOMS TRAP SURFACE ELECTRONS OF COPPER INSIDE THE RING AND **INTERFERENCE** OF THE REFLECTED ELECTRON WAVES PRODUCES THE RIPPLES INSIDE THE CORRAL

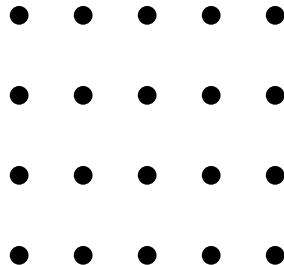
• THIS FIGURE SHOWS VERY NICELY THE **WAVE-MECHANICAL** PROPERTIES OF THE ELECTRONS ON A SURFACE

scattering

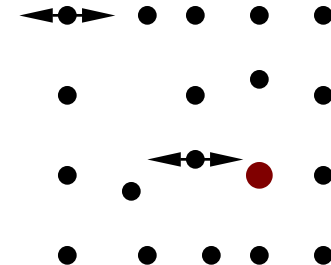
Electron propagation in real materials is **NOT** an uninterrupted process but is instead **DISRUPTED** by electron **SCATTERING** from a number of different sources

The origin of such scattering can be **ANY** source of **DISORDER** that destroys the perfect symmetry of the crystal structure

⇒ Examples of such disorder include **DEFECTS** and **IMPURITIES** in the crystal structure but scattering from other **ELECTRONS** as well as from the quantized **LATTICE VIBRATIONS** (phonons) is also possible



*ELECTRONS IN A **PERFECTLY PERIODIC** POTENTIAL PROPAGATE **WITHOUT BEING** SCATTERED ... THIS WELL KNOWN RESULT IS REFERRED TO AS **BLOCH'S THEOREM***

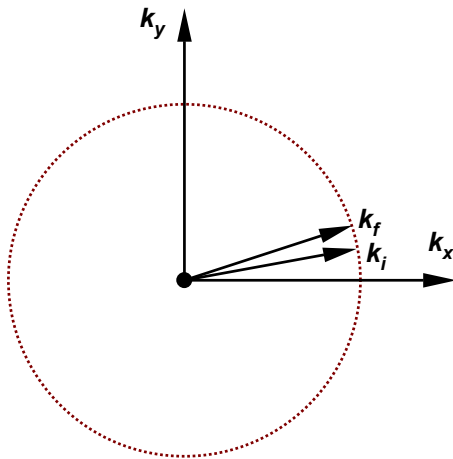


*IN REAL CRYSTALS HOWEVER THE PRESENCE OF INEVITABLE **DISORDER** DISRUPTS ELECTRON PROPAGATION THROUGH THE CRYSTAL STRUCTURE*

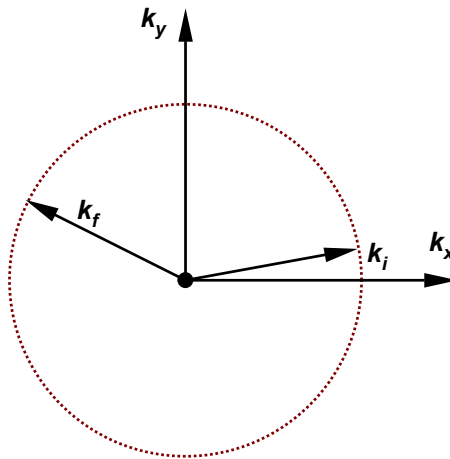
elastic and inelastic scattering

To characterize electron transport in materials we therefore need to introduce various materials-dependent **LENGTH SCALES**

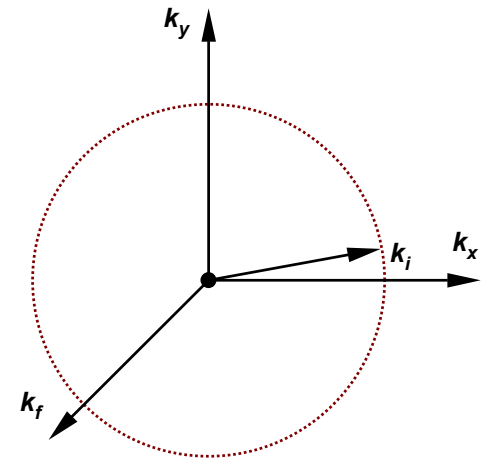
- * These length scales define the distance over which properties such as the electron **MOMENTUM, ENERGY**, and the **PHASE** of the wavefunction are **RANDOMIZED** by scattering in the crystal
- * The values of these length scales are strongly **MATERIAL-DEPENDENT** and can also vary significantly with **TEMPERATURE (WHY?)**



*ELASTIC SCATTERING EVENT
WITH LITTLE MOMENTUM CHANGE*



*ELASTIC SCATTERING EVENT
WITH LARGE MOMENTUM CHANGE*



*INELASTIC SCATTERING EVENT
WITH LARGE MOMENTUM CHANGE*

From the **GOLDEN RULE** in quantum mechanics we know that scattering from a **STATIC** potential does **NOT** change the energy of the electron \Rightarrow We therefore expect that scattering from **FIXED** impurities in the crystal will be **ELASTIC** while that from **PHONONS** and other **ELECTRONS** will be **INELASTIC**

mean free path (ℓ)

An important time scale for electron transport is the **RELAXATION TIME** (τ) which is the average time over which the initial momentum of the electron is **REVERSED** through a series of scattering events in the crystal

- * Using the relaxation time we may introduce the concept of the **MEAN FREE PATH** which may be defined the average **DISTANCE** electrons travel before backscattering

$$l = v_F \tau$$

- * Some **IMPORTANT QUANTITIES** related to the relaxation time and mean free path include

$$\text{Mobility } \mu = \frac{e\tau}{m^*} = \frac{el}{\hbar k_F}$$

$$\text{2D Diffusion Constant } D = \frac{1}{2} v_F^2 \tau$$

$$\text{Conductivity } \sigma = \frac{ne^2\tau}{m^*} = ne\mu$$

$$\text{1D Diffusion Constant } D = v_F^2 \tau$$

- * There is an **elastic** mean free path (ℓ_e ; scattering fixed impurities and boundaries) and an **inelastic** mean free path (ℓ_i ; scattering off phonons and other electrons).

coherent versus incoherent transport

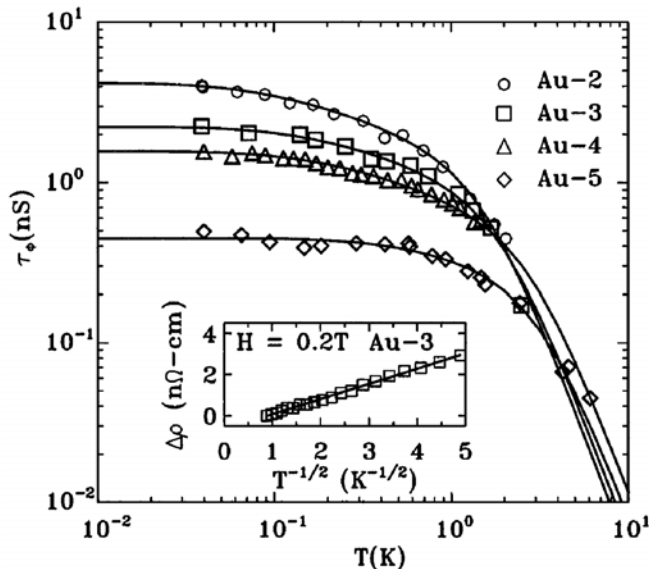
phase breaking length l_ϕ

To account for the disruption of interference effects in real materials we introduce the electron **PHASE-BREAKING TIME** (τ_ϕ) which can be thought of as the average time that elapses between dephasing events

- * The **PHASE-BREAKING LENGTH** (l_ϕ) can be defined as the average distance that electrons **DIFFUSE** in the material before their phase is disrupted through scattering

$$l_\phi = \sqrt{D\tau_\phi} \quad (2.4)$$

- * To observe clear interference effects it is necessary that this length is **COMPARABLE** to the device sizes which often requires that experiments be performed at **LOW TEMPERATURES**

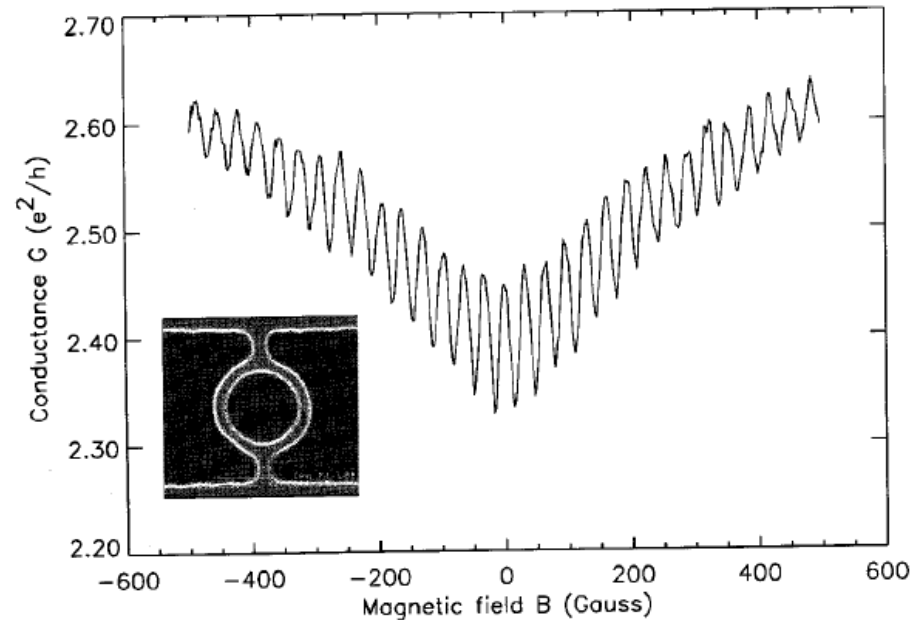


- THE MEASURED VARIATION OF THE PHASE-BREAKING TIME WITH TEMPERATURE IN SMALL **GOLD WIRES**
- OFTEN WE DO NOT DISTINGUISH BETWEEN INELASTIC MEAN FREE PATH AND THE PHASE BREAKING LENGTH. THEY ARE DIFFERENT THOUGH. **WHICH ONE IS LARGER?**

phase coherence

at low temperatures

$$l_{\phi} \geq 100 \mu\text{m}$$



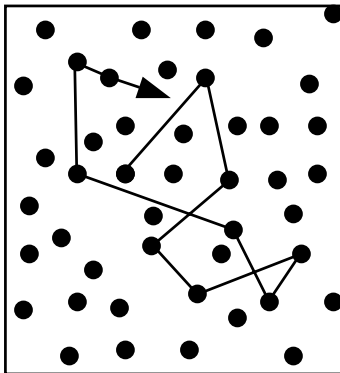
The resistance of a small ring with a diameter of about 1 micron (the light gray areas in the inset) as a function of a magnetic field applied perpendicular to the ring plane shows periodic oscillations, known as *Aharonov-Bohm oscillations*.

They indicate that a significant fraction of the electrons traverse the ring phase coherently.

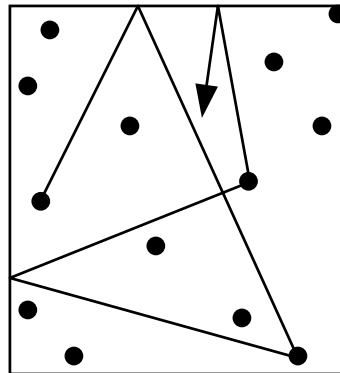
transport regimes

Since submicron structures can now be fabricated on length scales **SMALLER** than the average impurity spacing in semiconductors it is possible to study electron transport in a number of different **REGIMES**

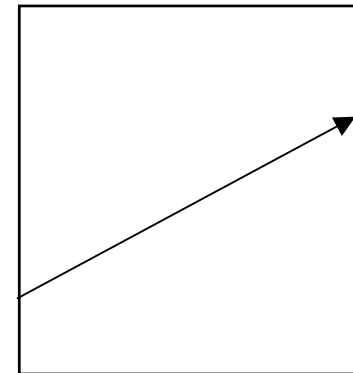
- * In **DIFFUSIVE** conductors the mean free path is much **SMALLER** than the sample dimensions and **DISORDER** scattering dominates
- * In a **QUASI-BALLISTIC** conductor the mean free path and device size are **COMPARABLE**
- * A **BALLISTIC** conductor contains **NO** impurities and so the dominant source of electron scattering is at the device **BOUNDARIES** (Is the resistance zero?)



DIFFUSIVE TRANSPORT



QUASI-BALLISTIC TRANSPORT



BALLISTIC TRANSPORT

When is transport diffusive/ballistic?

When is transport classical/quantum?

diffusive

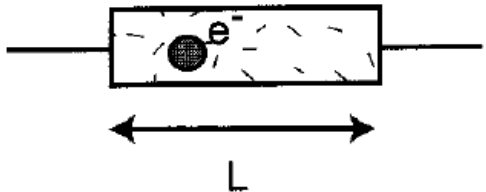
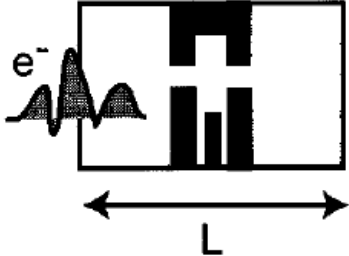
classical: $\lambda_F, \ell_i, \ell_e \ll L$

quantum: $\lambda_F, \ell_e \ll L, \ell_i$

ballistic

classical: $\lambda_F \ll L < \ell_e, \ell_i$

quantum: $\lambda_F, L < \ell_e < \ell_i$

<p>conventional device:</p> 	<p>mesoscopic device:</p> 
<p>$L \gg \ell_e$ diffusive</p>	<p>$L \lesssim \ell_e$ ballistic</p>
<p>$L \gg \ell_\phi$ incoherent</p>	<p>$L \lesssim \ell_\phi$ phase coherent</p>
<p>$L \gg \lambda_F$ no size quantization</p>	<p>$L \lesssim \lambda_F$ size quantization</p>
<p>$e^2/C \ll k_B \Theta$ no single electron charging</p>	<p>$e^2/C \gtrsim k_B \Theta$ single electron charging effects</p>

		GaAs(100)	Si (100)	UNITS
Effective Mass	m	0.067	0.19	$m_e = 9.1 \times 10^{-28} \text{ g}$
Spin Degeneracy	g_s	2	2	
Valley Degeneracy	g_v	1	2	
Dielectric Constant	ϵ	13.1	11.9	$\epsilon_0 = 8.9$ $\times 10^{-12} \text{ F m}^{-1}$
Density of States Electronic Sheet	$\rho(E) = g_s g_v (m/2\pi\hbar^2)$	0.28	1.59	$10^{11} \text{ cm}^{-2} \text{ meV}^{-1}$
Density ^a	n_s	4	1-10	10^{11} cm^{-2}
Fermi Wave Vector	$k_F = (4\pi n_s / g_s g_v)^{1/2}$	1.58	0.56–1.77	10^6 cm^{-1}
Fermi Velocity	$v_F = \hbar k_F / m$	2.7	0.34–1.1	10^7 cm/s
Fermi Energy	$E_F = (\hbar k_F)^2 / 2m$	14	0.63–6.3	meV
Electron Mobility ^a	μ_e	10^4 – 10^6	10^4	$\text{cm}^2/\text{V} \cdot \text{s}$
Scattering Time	$\tau = m\mu_e/e$	0.38–38	1.1	ps
Diffusion Constant	$D = v_F^2 \tau / 2$	140–14000	6.4–64	cm^2/s
Resistivity	$\rho = (n_s e \mu_e)^{-1}$	1.6–0.016	6.3–0.63	k Ω
Fermi Wavelength	$\lambda_F = 2\pi/k_F$	40	112–35	nm
Mean Free Path	$l = v_F \tau$	10^2 – 10^4	37–118	nm
Phase Coherence Length ^b	$l_\phi = (D\tau_\phi)^{1/2}$	200–...	40–400	$\text{nm}(T/\text{K})^{-1/2}$
Thermal Length	$l_T = (\hbar D / k_B T)^{1/2}$	330–3300	70–220	$\text{nm}(T/\text{K})^{-1/2}$
Cyclotron Radius	$l_{\text{cycl}} = \hbar k_F / eB$	100	37–116	$\text{nm}(B/\text{T})^{-1}$
Magnetic Length	$l_m = (\hbar / eB)^{1/2}$	26	26	$\text{nm}(B/\text{T})^{-1/2}$